

#### Visual Tracking & Particle Filters Patrick Pérez

Irisa, Feb. 2012



#### What?

- Definition attempt : on-line or off-line extraction, from an image sequence, of state trajectories that characterize, either in image plane or in real world, some aspects of one or several target objects
- Types of targets (by increasing level of prior)
  - Picked objects: video object manually selected, interest points (corners, blobs), moving entities
  - Objects from a given category: cars, faces, people, etc.
  - Objects from a narrower category: moving cars, walking people, talking heads, face of a given person, a specific object
- Appearance models and inference machineries
  - Depend on tracking task
  - Heavily influenced by current trends in image processing and analysis



Elementary or principal tool for multiple CV applications

A very large range of application domains, including

- Other sciences (neuroscience, ethology, biomechanics, sport, medicine, biology, fluid mechanics, meteorology, oceanography)
- Defense, surveillance, safety, monitoring, control, assistance
- Human-Computer Interfaces

Camera as a sensor (video content as a means)

 Video content production and post-production (compositing, augmented reality, editing, re-purposing, stereo-3D authoring, motion capture for animation, clickable hyper videos, etc.)

Video content management (indexing, annotation, search, browsing)

Video content as central object



#### With 2D dynamic shape prior





http://www2.imm.dtu.dk/~aam/tracking/ http://vision.ucsd.edu/~kbranson/research/cvpr2005.html



#### With 3D shape prior



http://cvlab.epfl.ch/research/completed/realtime\_tracking/ http://www.cs.brown.edu/~black/3Dtracking.html



## With appearance prior

in form of an object detector combined with on-line learning to distinguish among targets





http://www.cs.washington.edu/homes/xren/research/cvpr2008\_casablanca/



## With no appearance prior

Tracking from user selection



http://server.cs.ucf.edu/~vision/projects/sali/CrowdTracking/index.html



## With no appearance prior

Tracking from user selection





http://www.robots.ox.ac.uk/~vgg/research/vgoogle/



## Sources of trouble

- Why is it harder that it might seem?
  - temporal variability of visual appearance
  - Iow video quality: low contrast, noise, motion blur
  - occlusions (partial to total) and clutter
  - unpredictable motions
  - constraints on computational complexity



#### http://comaniciu.net/



# Formalizing tracking

Image-based "measurements":  $\mathbf{z}_t \in \Gamma$ 

- Raw or filtered images (e.g., intensities, colors, texture)
- Low-level features (e.g., edgels, corners, blobs, optical flow)
- High-level detections (e.g., face bounding boxes)

#### Single target "state": $\mathbf{x}_t \in \Lambda$

- Bounding box parameters (up to 6 DoF)
- Segmentation (pixel-wise labeling)
- 3D rigid pose (6 DoF)
- 2D/3D articulated pose (up to 30 DoF)
- 2D/3D deformation modes
- Discrete indices (identity, activity, visibility, expression, appearance examplars, etc.)



## Formalizing tracking

#### Sequential tracking

• Given past and current measurements

$$\mathbf{z}_{1:t} := (\mathbf{z}_1 \cdots \mathbf{z}_t)$$

output an estimate of current hidden state

$$\hat{\mathbf{x}}_t = \mathsf{function}(\mathbf{z}_{1:t})$$

Batch "tracking"

Given batch of measurements Z<sub>1</sub>:T
 output an estimate of all hidden states

$$\hat{\mathbf{x}}_t = \text{function}(\mathbf{z}_{1:T}), t = 1 \cdots T$$



## Deterministic tracking

Sequential tracking

Optimization of ad-hoc objective function

$$\widehat{\mathbf{x}}_t = \arg\min E(\mathbf{x}_t; \widehat{\mathbf{x}}_{t-1}, \mathbf{z}_t)$$

• Or iterative minimization of function  $E(\mathbf{x}_t; \mathbf{z}_t)$  initialized at  $\widehat{\mathbf{x}}_{t-1}$ 

Batch "tracking"

Optimization of ad-hoc compound objective function

$$\widehat{\mathbf{x}}_{1:T} = \arg\min E(\mathbf{x}_{1:T}; \mathbf{z}_{1:T})$$



## Probabilistic tracking

Hidden Markov chain/dynamic state space model

Evolution model (dynamics), typically 1<sup>st</sup>-order Markov chain

$$p(\mathbf{x}_i | \mathbf{x}_{1:i-1}) = p(\mathbf{x}_i | \mathbf{x}_{i-1})$$

Observation model

$$p(\mathbf{z}_i | \mathbf{z}_{1:i-1}, \mathbf{x}_{0:i}) = p(\mathbf{z}_i | \mathbf{x}_i)$$

Joint distribution



## Probabilistic tracking

Sequential tracking

- Sequential MAP estimate:  $\hat{\mathbf{x}}_t = \arg \max p(\mathbf{x}_t | \hat{\mathbf{x}}_{t-1}, \mathbf{z}_t)$
- Computation of the *filtering* pdf  $p(\mathbf{x}_t | \mathbf{z}_{1:t})$ , and point estimate:

$$\hat{\mathbf{x}}_t = \arg \max p(\mathbf{x}_t | \mathbf{z}_{1:t}) \text{ or } \mathbb{E}[\mathbf{x}_t | \mathbf{z}_{1:t}]$$

Batch "tracking"

- Joint MAP estimate:  $\hat{\mathbf{x}}_{1:T} = \arg \max p(\mathbf{x}_{1:T} | \mathbf{z}_{1:T})$
- Computation of *smoothing* pdf  $p(\mathbf{x}_t | \mathbf{z}_{1:T})$ , and point estimates:

$$\widehat{\mathbf{x}}_t = \arg \max p(\mathbf{x}_t | \mathbf{z}_{1:T}) \text{ or } \mathbb{E}[\mathbf{x}_t | \mathbf{z}_{1:T}], \ t = 1 \cdots T$$



# Probabilistic filtering

- Various forms
  - (approximately) linear Gaussian: Kalman filters and variants
  - General case: sequential Monte Carlo approximation (particle filter)
- Pros: transports full distribution knowledge
  - Takes uncertainty into account (helps with clutter, occlusions, weak model)
  - Provides some confidence assessment
  - Allows more powerful parameter estimation
- Cons
  - More computations
  - Curse of dimensionality



## Limitation of KF for visual tracking

- Strong limitations on observations model
  - Measurements must be of same nature as (part of) state, e.g. detected object position
  - Measurement of interest must be identified (data association problem)
- In visual tracking, especially difficult
  - State specifies which part of data is concerned (actual measurement depends on hypothesized state)
  - Clutter is frequent
- Variants of KF (extended KF, unscented KF) can help, to some extent



## Useful deterministic trackers with no prior

- "Point" (fragment) tracking
- Fragment-based object tracking
- Statistics-based object tracking

#### Common denominators

- Iterative search initialized at previous estimate (static camera)
  - Successive linearizations



## Fragment tracking

- Problem: tracking "key points" (SIFT, SURF, STAR, RIFF, FAST), or random image patches, as long as possible
  - Input: detected/chosen patches
  - Output: tracklets of various life-spans



 $\widehat{\mathbf{d}} = \arg\min_{\mathbf{d}} \sum_{\mathbf{p} \in R(\mathbf{x})} |I^{(t+1)}(\mathbf{p} + \mathbf{d}) - I^{(t)}(\mathbf{p})|^2$ SŠD

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## Fragment-based tracking of arbitrary objects

- Track in next frame fragments from current bounding box
- Terminate weak tracklets
- Infer global motion of bounding box
- Select new fragments if necessary
- In effect: part-based adaptive appearance model



 $\hat{\mathbf{x}}_{t+1} = \hat{\mathbf{x}}_t + \text{robust average}(\mathbf{d}_1 \cdots \mathbf{d}_{n_t})$ 



## Fragment-based tracking of arbitrary objects

Can work really well (and fast), with accurate positioning



- Until
  - It drifts (due to partial occlusion, out-of-plane rotation)
  - It breaks down (diverging drift, total occlusion)





## Face grouping

For face recognition in movies and TV series

- Detect faces in each frame
- Connect faces traversed by sufficient fraction of tracklets



http://www.robots.ox.ac.uk/~vgg/research/nface/



## Statistics-based tracking of arbitrary objects

- Instead of pixel-wise appearance modeling, model appearance via global or semi-local statistics
- Examples
  - Texture statistics
  - Color and intensity distributions, possibly part-based
  - Intensities co-occurrences and co-variances
- Archetypical example: tracking with color histograms







http://comaniciu.net/

## Color-based tracking and meanshift

- Global description of tracked region: color histogram
- Reference histogram with B bins

$$\mathbf{q}^* = (q_u^*)_{u=1\cdots B}$$

set at track initialization

- Candidate histogram at current instant q(x) = (q<sub>u</sub>(x))<sub>u=1</sub>...B gathered in region R(x) of current image.
- At each instant

$$\hat{\mathbf{x}}_{t+1} = \arg\min_{\mathbf{x}} \operatorname{dist}(\mathbf{q}^*, \mathbf{q}(\mathbf{x}))$$

- searched around  $\widehat{\mathbf{x}}_t$
- iterative search initialized with  $\widehat{\mathbf{x}}_t$







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## Pros and cons

- Low computational cost (easily real-time)
- Surprisingly robust
  - Invariant to pose and viewpoint
  - Often no need to update reference color model
- Invariance comes at a price
  - Position estimate prone to fluctuation
  - Scale and orientation not well captured
  - Sensitive to color clutter (e.g., teamates in team sports)
- Deterministic local search challenged by
  - abrupt moves
  - occlusions



#### Variants

- Remove background corruption in reference
  - Simple segmentation based on surrounding color at initialization
  - Re-estimation of foreground model
  - Amounts to zero bins for colors more frequent in surrounding than in selection
- Scale/orientation estimation



- Originally: greedy search around current scale/orientation
- Afterwards: incorporate loose spatial layout (via multiple spatial kernels or spatial partionning with sub-models)
- Robustness to camera movement
  - Robust estimation of dominant apparent motion
  - Start search at previous position displaced according to dominant motion



# Particle filtering

- Monte Carlo based on sequential importance sampling
- History
  - Gordon 1993, Novel approach to non-linear/non-Gaussian Bayesian state estimation
  - Kitagawa 1996, Monte Carlo filter and smoother for non-Gaussian nonlinear state space models
  - Isard et Blake 1996, CONDENSATION: CONditional DENSity propagATION for visual tracking
- Reason of success in CV
  - Visual tracking often implies multimodal filtering distributions
  - PF maintains multiple hypothesis: more robust to occlusion and temporary loss
  - Easy to implement and little restrictions on model ingredients



## Generic synopsis

- Given  $\{(\mathbf{x}_{0:i-1}^{(m)}, \pi_{i-1}^{(m)})\}_{m=1\cdots M}$
- One step proposal

$$\tilde{\mathbf{x}}_{i}^{(m)} \sim q(\mathbf{x}_{i} | \mathbf{x}_{i-1}^{(m)}, \mathbf{z}_{i}), \ m = 1 \cdots M$$

Weights update

$$\tilde{\pi}_{i}^{(m)} \propto \pi_{i-1}^{(m)} \frac{p(\mathbf{z}_{i} | \mathbf{x}_{i}^{(m)}) p(\mathbf{x}_{i}^{(m)} | \mathbf{x}_{i-1}^{(m)})}{q(\mathbf{x}_{i}^{(m)} | \mathbf{x}_{i-1}^{(m)}, \mathbf{z}_{i})} \text{ avec } \sum_{m=1}^{M} \tilde{\pi}_{i}^{(m)} = 1$$

Resampling

• If 
$$\sum_{m=1}^{M} \tilde{\pi}_i^{(m)2} > M_{\text{seuil}}^{-1}$$
  
 $\forall m, a_m \sim \sum_{k=1}^{M} \tilde{\pi}_i^{(k)} \delta_k, \ \mathbf{x}_{1:i}^{(m)} = (\mathbf{x}_{1:i-1}^{(a_m)}, \tilde{\mathbf{x}}_i^{(a_m)}) \text{ et } \pi_i^{(m)} = \frac{1}{M}$ 

Otherwise

$$\forall m, \ \mathbf{x}_{1:i}^{(m)} = (\mathbf{x}_{1:i-1}^{(m)}, \tilde{\mathbf{x}}_i^{(m)}) \text{ et } \pi_i^{(m)} = \tilde{\pi}_i^{(m)}$$

Monte Carlo approximation

$$\mathbb{E}[f(\mathbf{x}_i)|\mathbf{z}_{1:i}] \approx \sum_{m=1}^M \pi_i^{(m)} f(\mathbf{x}_i^{(m)})$$

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## Proposal density

Optimal density

$$q(\mathbf{x}_i | \mathbf{x}_{i-1}, \mathbf{z}_i) = p(\mathbf{x}_i | \mathbf{x}_{i-1}, \mathbf{z}_i) = \frac{p(\mathbf{z}_i | \mathbf{x}_i) p(\mathbf{x}_i | \mathbf{x}_{i-1})}{p(\mathbf{z}_i | \mathbf{x}_{i-1})}$$
  

$$\Rightarrow \pi_i^{(m)} \propto \pi_{i-1}^{(m)} p(\mathbf{z}_i | \mathbf{x}_{i-1}^{(m)}) \text{ with } \sum_{m=1}^M \pi_i^{(m)} = 1$$

usually not accessible

Bootstrap filter: classic for its simplicity (but often confused with general SIS)

$$q(\mathbf{x}_i | \mathbf{x}_{i-1}, \mathbf{z}_i) = p(\mathbf{x}_i | \mathbf{x}_{i-1})$$
  

$$\Rightarrow \pi_i^{(m)} \propto \pi_{i-1}^{(m)} p(\mathbf{z}_i | \mathbf{x}_i^{(m)}) \text{ with } \sum_{m=1}^M \pi_i^{(m)} = 1$$

In-between: try and use current data for better efficiency



## "CONDENSATION"

- State: active shape model with autoregressive dynamics
- Observation model: based on edgels near hypothesized silhouette
- Bootstrap filter: proposal and dynamics coincide









## Color-based PF

- Based on color histogram similarities, following Comaniciu's idea
- Bootstrap filter and data model  $p(\mathbf{z}_t | \mathbf{x}_t) \propto \exp \lambda 
  ho[\mathbf{q}(\mathbf{x}_t), \mathbf{q}^*]$



[Perez et al. ECCV'02]



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- Based on color histogram similarities, following Comaniciu's idea
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[Perez et al. ECCV'02]



## Proposal densities

- Exploit current (even future) data
  - Get close to optimal density with approximation or iterative search (beware though!)
  - Use *detection* in proposal: mixture centered on detections and modification of dynamics to allow jumps

$$q(\mathbf{x}_i | \mathbf{x}_{i-1}, \mathbf{z}_i) = \beta p(\mathbf{x}_i | \mathbf{x}_{i-1}) + \frac{1-\beta}{D_i} \sum_{d=1}^{D_i} \mathcal{N}(\mathbf{x}_i; \boldsymbol{\mu}_d, \boldsymbol{\Gamma}_d)$$

$$p(\mathbf{x}_i|\mathbf{x}_{i-1}) = \nu p_{\text{smooth}}(\mathbf{x}_i|\mathbf{x}_{i-1}) + (1-\nu)\mathcal{U}_{\Lambda}(\mathbf{x}_i)$$

- Exploit model graphical structure (esp. for higher dim.)
  - Rao-Blackwellisation (FP on part of state-space, conditional KF on other)
  - Exploits exact or approximate conditional decoupling between state parts
    - Factored, hierarchical, layered sampling...



## MOT PF and detection-based proposal

Color-based detection



#### Object category detection





[Vermaak et al. ICIP'05][Okuma et al. ECCV'04]



## PF with multiple cues

- Complementary cues for improved robustness
  - Persistent though ambiguous (e.g., color) vs. precise though transient (ex: movement)
  - Sensitivity to different clutter, invariant to different perturbations (e.g., global color, local intensity, contours)
- Bayesian fusion often under conditional independency assumption

$$p(\mathbf{z}_{1,i}\cdots\mathbf{z}_{A,i}|\mathbf{x}_i) = \prod_{a=1}^A p(\mathbf{z}_{a,i}|\mathbf{x}_i)$$

Proposal can exploit specificities of different cues



#### PF with multiple cues





[Wu and Huang, ICCV'01][Gatica-Perez et al., 2003]



## On-line adaptation and learning

 Goal: update/expand appearance model on the fly for robustness to unexpected changes (on target and/or environment), esp. if no off-line knowledge on appearance



- Problem: drift if adaptation too rapid, esp. during occlusions
- Some (insufficient) solutions
  - Tunable learning rate
  - Adaptation conditioned on global monitoring
  - Adaptation on one type of measurement if others suffice for tracking (anchoring)

[Jepson et al. PAMI 25(10), 2003]



## On-line subspace learning

- One example: Ross *et al*.
  - $\blacksquare$  Constant time PCA update with new data, with *learning* rate  $\alpha \sim 0.02$
  - Robust metric to account for background corruption
  - Tracking with particle filter





http://www.cs.toronto.edu/~dross/ivt/



## Adaptation with external monitoring

Color model update during zooms





[Lehuger et al. ICIP'06]



#### Adaptation with multiple cues



[Badrinarayanan et al. ICCV'07]



# High dimensions...

- Interactions between parts of state space
  - Through evolution and/or observation
  - Permanently or intermittently
- Articulated objects
  - Admissible values (joints limits)
  - Kinematics
- MOT
  - Intermittent interaction through observation
- Segmentation
  - MRF on pixel labels



#### technicolor



http://www.robots.ox.ac.uk/~cbibby/index.shtml