# Reachability Analysis of Rewriting for Software Verification 

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## IRISA

Habilitation à diriger des recherches
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## Motivation : proving safety properties

(1)

$$
\mathrm{n}:=\mathrm{i}
$$

(2)
while (i>1) do \{
(3)

$$
\mathrm{n}:=\mathrm{n} *(\mathrm{i}-1) ;
$$

$$
\begin{equation*}
\text { If } i \geq 1 \text { in (1) } \tag{4}
\end{equation*}
$$

$$
\text { i }:=i-1 ;\}
$$

(5)

$$
\text { If } i \geq 1 \text { in (1) }
$$

then

$$
n \geq 1 \text { in (5) }
$$

$\qquad$
then
(5) with $n=0$ unreachable

## Verification using Model-checking

(1) $\{i \geq 1\}$

$$
\mathrm{n}:=\mathrm{i} \text {; }
$$

(2)
(3)
while (i>1) do \{

$$
\text { (5) }, i=?, n=0
$$

## Verification using Static Analysis and Abstract Interpretation

$$
D=\mathbb{N}
$$

$D^{\#}$ : intervals on $\mathbb{N}$
(1) $\{i \geq 1\}$
n := i;
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## Verification using a Proof Assistant

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n := i;
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## Verification using a Proof Assistant

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\mathrm{n}:=\mathrm{i} \text {; }
$$

(2) $\{i \geq 1, n \geq 1\}$ while ( $\mathrm{i}>1$ ) do $\{$
$\{$ invariant $n \geq 1\}$
n := n*(i-1);
(4)

$$
\text { i := i-1; \} }
$$

(5) $\{n \geq 1\}$

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n := i;
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(4)

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\text { i := i-1; \} }
$$

(5) $\{n \geq 1\}$

FORALL (i: int):
i >=1 IMPLIES (GORAL ( $\mathrm{x}:$ int) :
$\mathrm{x}=\mathrm{i}$ IMPLIES
(FORALL (iO: int): GORAL ( x 0 : int) : $\begin{aligned} \mathrm{xO} & >=1 \text { IMPLIES } \\ \text { iO } & >1 \text { IMPLIES }\end{aligned}$ (FORALL (xi: int): $\mathrm{x} 1=\mathrm{x} 0$ * (iO - 1) IMPLIES x 1 >= 1))))

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```
FORALL (i: int):
    i >=1 IMPLIES
    (FORALL (x: int):
    x = i IMPLIES
        (FORALL (iO: int):
        FORALL (x0: int):
        x0 >= 1 IMPLIES
        iO > 1 IMPLIES
        (FORALL (x1: int):
        x1 = x0 * (i0 - 1)
        IMPLIES x1 >= 1))))
```

(skosimp*)
(replace -6 1)
(lemma "both_sides_times_pos_ge1")
(inst -1 "i0!1-1" "x0!1" "1")
(grind)

## Proving (un)reachability on infinite state systems

- Static analyzers based on abstract interpretation
- Model-checkers adapted to infinite state systems
- Regular model-checking
- Abstract model-checking, ...


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- ... but you may spend weeks, months!


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Is there something in between?

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A verification technique based on tree automata completion integrating

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(1) A model-checking algorithm for finite (or regular) systems
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## Our proposition for (un)reachability analysis

A verification technique based on tree automata completion integrating
(1) A model-checking algorithm for finite (or regular) systems
(2) An abstraction mechanism for infinite non regular systems
(3) A way to refine, by hand, abstractions if automatic verification fails and bonus :
(1) In the end, the same level of confidence as with a Coq proof!

## Outline

(1) Term rewriting and reachability analysis
(2) Regular model-checking of term rewriting systems
(3) Defining abstractions for infinite non regular systems

4 Refining abstractions by hand using equations
(5) Tools and applications
(6) Conclusion and further work

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## Term Rewriting

- Set of ranked symbols

$$
\begin{aligned}
& \mathcal{F}=\{+, 0,1\} \\
& \mathcal{X}=\{x, y, \ldots\}
\end{aligned}
$$

## Term Rewriting

- Set of ranked symbols
- Set of variables

$$
\mathcal{F}=\{+, 0,1\}
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- Set of ground terms

$$
\mathcal{T}(\mathcal{F})=\{0,0+1,(0+0)+(0+1), \ldots\}
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\end{gathered}
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- Set of ground terms
- Set of terms


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- Set of ground terms
- Set of terms $\mathcal{T}(\mathcal{F}, \mathcal{X})=\{x, 0+x, 1+0, \ldots\}$
- Rewrite rules

$$
0+x \rightarrow x
$$

$$
(0+0)+(0+1) \longrightarrow 0+(0+1) \longrightarrow 1
$$

## Term Rewriting

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0+x \rightarrow x
\end{gathered}
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- Term rewriting system (TRS) $=$ set of rewrite rules

With TRS $\mathcal{R}=\{0+x \rightarrow x\}$ :

$$
\begin{aligned}
& 0+1 \rightarrow_{\mathcal{R}} 1 \\
& (0+0)+(0+1) \rightarrow_{\mathcal{R}^{*}} 1
\end{aligned}
$$

## TRS as a formal model of programs

(1)

$$
\begin{aligned}
& \mathcal{F}=\{(-,-,-), 0, s,+, *,(1), \text { (2), (3), (4), (5) }\} \\
& \mathcal{X}=\{I, N, X, Y\}
\end{aligned}
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$$
\mathrm{n}:=\mathrm{i}
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while (i>1) do \{

$$
\begin{array}{ll}
(1), I, N) & \rightarrow((2), I, I) \\
\text { (2) } s(s(I)), N) & \rightarrow(3), s(s(I)), N) \\
\text { (3) }, s(I), N) & \rightarrow(4), s(I), I * N) \\
\text { (4) } s(I), N) & \rightarrow(2), I, N)  \tag{3}\\
\text { (2) }, 0, N) & \rightarrow(5), 0, N) \\
\text { (2), } s(0), N) & \rightarrow(5), s(0), N) \\
0 * X & \rightarrow 0 \\
s(X) * Y & \rightarrow Y+(X * Y)
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Proving safety by (un)reachability analysis :

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\left.(1), i, x) \not \nrightarrow \mathcal{R}^{*}(5), y, 0\right)
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\text { (4) } s(I), N) & \rightarrow((2), I, N) \\
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$$
\text { with } i \geq 1, x, y \in \mathbb{N}
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## Reachability analysis of rewriting

Given a TRS $\mathcal{R}$ and $s, t \in \mathcal{T}(\mathcal{F})$, is $s \rightarrow \mathcal{R}^{*} t$ ?

- Undecidable in general (TRS are Turing-complete)


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where $\mathcal{R}^{*}(\mathcal{L})=\left\{u \mid s \in \mathcal{L} \wedge s \rightarrow \mathcal{R}^{*} u\right\}$
- Decidable, if $\mathcal{R}^{*}(\{s\})$ is finite
( $\approx$ finite model-checking)


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- Decidable, if $\mathcal{R}^{*}(\{s\})$ is finite
( $\approx$ finite model-checking)
- Decidable, for classes of $\mathcal{R}$ such that $\mathcal{R}^{*}(\{s\})$ is regular ( $\approx$ regular model-checking)


## Reachability analysis of rewriting (extended)

Recall that for verification, the problem we have is :

$$
(1), i, x) \nrightarrow_{\mathcal{R}^{*}}(\text { (5) }, y, 0)
$$

$$
\text { with } i \geq 1, x, y \in \mathbb{N}
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## Reachability analysis of rewriting (extended)

Recall that for verification, the problem we have is :

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$$

which can be seen as:


The reachability analysis problem becomes:

$$
\mathcal{R}^{*}(\mathcal{L}) \cap \text { Bad }=\emptyset ?
$$

## Two applications of reachability analysis of rewriting

$$
\mathcal{R}^{*}(\mathcal{L}) \cap \mathrm{Bad}=\emptyset \text { ? }
$$

- Java application verification
[Boichut, Genet, Jensen, Le Roux, 07]


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- Java application verification [Boichut, Genet, Jensen, Le Roux, 07]
- Cryptographic protocol verification
[Genet, Klay, 00]
- $\mathcal{L}=$ protocol initial configurations
- $\mathcal{R}=\left\lvert\, \begin{aligned} & \text { specification of protocol exchanged messages } \\ & \text { deduction rules of the intruder }\end{aligned}\right.$


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- Java application verification [Boichut, Genet, Jensen, Le Roux, 07]
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- $\mathcal{L}=$ protocol initial configurations
- $\mathcal{R}=\left\lvert\, \begin{aligned} & \text { specification of protocol exchanged messages } \\ & \text { deduction rules of the intruder }\end{aligned}\right.$
- Properties : secrecy, authentication, freshness
- Unbounded number of agents, protocol sessions and intruder actions
- Verification of copy-protection on Thomson's SmartRight protocol
[Genet, Tang-Talpin, Viet Triem Tong, 03]


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## How to finitely represent $\mathcal{R}^{*}(\mathcal{L})$ ?

Many formalisms in the litterature :
Set constraints, Horn clauses, Tree Grammars, Tree automata, ...

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Tree automata are well adapted (they are also based on rewriting)

- Finite Tree Automata
(Regular Term Language)
- Tree Automata with constraints

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We stick to (Non-Deterministic) Finite Tree Automata because:
We want to decide (efficiently) if $\mathcal{R}^{*}(\mathcal{L}) \cap \operatorname{Bad}=\emptyset$

- The complexity of the algorithm for $\cap$ is quadratic
- The complexity of the algorithm deciding $=$ ? $\emptyset$ is polynomial
$\mathcal{R}$ classes where $\mathcal{L}$ regular $\Rightarrow \mathcal{R}^{*}(\mathcal{L})$ regular

$\mathcal{R}$ classes where $\mathcal{L}$ regular $\Rightarrow \mathcal{R}^{*}(\mathcal{L})$ regular (II)

Plus some classes incomparable with others :
L-IOSLT Linear I/O Separated Layered Transducing (a.k.a. Tree Transducers) [Seki et al. 02]

Constructor Constructor based + constraints on $\mathcal{L}$ [Réty 99]
WOS Well Oriented Systems [Bouajjani, Touili, 02]

## $\mathcal{R}$ classes where $\mathcal{L}$ regular $\Rightarrow \mathcal{R}^{*}(\mathcal{L})$ regular (III)

G Ground : $s \rightarrow t$
with $s, t \in \mathcal{T}(\mathcal{F})$

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RL-M Right-linear and Monadic $: s \rightarrow f\left(x_{1}, \ldots, x_{n}\right)$ with $s \in \mathcal{T}(\mathcal{F}, \mathcal{X})$

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L-SM Linear (left and right linear) Semi-Monadic:

$$
\begin{array}{r}
s \rightarrow f\left(x_{1}, \ldots, x_{n}, t_{1}, \ldots, t_{m}\right) \\
\text { with } s \in \mathcal{T}(\mathcal{F}, \mathcal{X}), t_{1}, \ldots, t_{n} \in \mathcal{T}(\mathcal{F})
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## Tree automata recognizing regular sets of terms

Representation of $f\left(s^{*}(a)\right)$ by tree grammar/tree automaton

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Representation of $f\left(s^{*}(a)\right)$ by tree grammar/tree automaton

| $\left\{f\left(s^{*}(a)\right)\right\}$ | Tree grammar $G$ <br> axiom $: N_{1}$ |  |
| :--- | ---: | ---: |
| $N_{1}$ | $:=$ | $f\left(N_{2}\right)$ |
| $N_{2}$ | $:=$ | $s\left(N_{2}\right)$ |
| $N_{2}$ | $:=$ | $a$ |

$$
N_{1} \rightarrow_{G}^{*} f(s(s(a)))
$$

## Tree automata recognizing regular sets of terms

Representation of $f\left(s^{*}(a)\right)$ by tree grammar/tree automaton

|  | Tree grammar $G$ |  | Tree automaton $A$ |  |  |
| :--- | ---: | ---: | ---: | :--- | :--- |
| $\left\{f\left(s^{*}(a)\right)\right\}$ |  | axiom $: N_{1}$ | $f\left(s^{*}(a)\right)$ |  |  |
|  |  | final state $: q_{1}$ |  |  |  |
| $N_{1}$ | $:=$ | $f\left(N_{2}\right)$ | $f\left(q_{2}\right)$ | $\rightarrow$ | $q_{1}$ |
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| $N_{2}$ | $:=$ | $a$ | $a$ | $\rightarrow$ | $q_{2}$ |

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$$
f(s(s(a))) \rightarrow_{A}^{*} q_{1}
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| :--- | ---: | ---: | ---: | :--- | :--- |
| $\left\{f\left(s^{*}(a)\right)\right\}$ |  | axiom $: N_{1}$ | $\left\{f\left(s^{*}(a)\right)\right\}$ |  | final state $: q_{1}$ |
|  |  |  |  |  |  |
| $N_{1}$ | $:=$ | $f\left(N_{2}\right)$ | $f\left(q_{2}\right)$ | $\rightarrow$ | $q_{1}$ |
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| $N_{2}$ | $:=$ | $a$ | $a$ | $\rightarrow$ | $q_{2}$ |

$$
N_{1} \rightarrow{ }_{G}^{*} f(s(s(a)))
$$

$$
f(s(s(a))) \rightarrow_{A}^{*} q_{1}
$$

$A=\left\langle\mathcal{F}, \mathcal{Q}, \mathcal{Q}_{f}, \Delta\right\rangle$ where
$\mathcal{Q}=\left\{q_{1}, q_{2}\right\}, \mathcal{Q}_{f}=\left\{q_{1}\right\}, \Delta=\left\{a \rightarrow q_{2}, s\left(q_{2}\right) \rightarrow q_{2}, f\left(q_{2}\right) \rightarrow q_{1}\right\}$

$$
f(s(s(a))) \rightarrow_{A}^{*} q_{1} \text { and } q_{1} \in \mathcal{Q}_{f} \text {. Here } \mathcal{L}(A)=\left\{f\left(s^{*}(a)\right)\right\}
$$

## A unified algorithm to build $\mathcal{R}^{*}(\mathcal{L})$

First step : an upper bound for $\mathcal{R}^{*}(\mathcal{L})$
[Genet, 98]
Definition ( $\mathcal{R}$-closed tree automaton)
Given a tree automaton $\mathcal{B}$ and a $\operatorname{TRS} \mathcal{R}, \mathcal{B}$ is $\mathcal{R}$-closed if $\forall I \rightarrow r \in \mathcal{R}, \forall q \in \mathcal{Q}, \forall \sigma: \mathcal{X} \mapsto \mathcal{Q}:$

$$
I \sigma \rightarrow \mathcal{B}^{*} q \Rightarrow r \sigma \rightarrow \mathcal{B}^{*} q
$$

A unified algorithm to build $\mathcal{R}^{*}(\mathcal{L})$
First step : an upper bound for $\mathcal{R}^{*}(\mathcal{L})$
[Genet, 98]
Definition ( $\mathcal{R}$-closed tree automaton)
Given a tree automaton $\mathcal{B}$ and a $\operatorname{TRS} \mathcal{R}, \mathcal{B}$ is $\mathcal{R}$-closed if $\forall I \rightarrow r \in \mathcal{R}, \forall q \in \mathcal{Q}, \forall \sigma: \mathcal{X} \mapsto \mathcal{Q}:$

$$
I \sigma \rightarrow \mathcal{B}^{*} q \Rightarrow r \sigma \rightarrow \mathcal{B}^{*} q
$$

Theorem (Upper bound)
Given a left-linear $\operatorname{TRS} \mathcal{R}$ and tree automata $\mathcal{A}, \mathcal{B}$.

$$
\left.\begin{aligned}
& \mathcal{L}(\mathcal{B}) \supseteq \mathcal{L}(\mathcal{A}) \\
& \mathcal{B} \text { is } \mathcal{R} \text {-closed }
\end{aligned} \right\rvert\, \Rightarrow \mathcal{L}(\mathcal{B}) \supseteq \mathcal{R}^{*}(\mathcal{L}(\mathcal{A}))
$$

## A unified algorithm to build $\mathcal{R}^{*}(\mathcal{L})$ (II)

Tree automata completion algorithm

- Input : a TRS $\mathcal{R}$ and a tree automaton $\mathcal{A}$
- Output : a $\mathcal{R}$-closed automaton $\mathcal{A}_{\mathcal{R}}^{*}$


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$$
\begin{aligned}
& I \sigma \xrightarrow[\mathcal{R}]{ } \underset{\left.\mathcal{A}\right|_{\downarrow}}{ } \quad \text { q } \\
& q
\end{aligned}
$$

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Compute $\mathcal{A}_{\mathcal{R}}^{1}, \mathcal{A}_{\mathcal{R}}^{2}, \ldots$ until reaching $\mathcal{A}_{\mathcal{R}}^{*}$ a ( $\mathcal{R}$-closed) fixpoint
$\mathcal{A}$ completed into $\mathcal{A}_{\mathcal{R}}^{*} \Rightarrow \mathcal{L}\left(\mathcal{A}_{\mathcal{R}}^{*}\right) \supseteq \mathcal{L}(\mathcal{A})$
$\mathcal{A}_{\mathcal{R}}^{*}$ is $\mathcal{R}$-closed

$$
\Rightarrow \mathcal{L}\left(\mathcal{A}_{\mathcal{R}}^{*}\right) \supseteq \mathcal{R}^{*}(\mathcal{L}(\mathcal{A}))
$$

## Tree Automata Completion may not terminate

$$
\mathcal{R}=\{f(x, y) \rightarrow f(g(x), y)\}
$$

| $\mathcal{A}^{0}$ |  |  |
| ---: | :--- | :--- |
| $f\left(q_{1}, q_{2}\right) \rightarrow q_{0}$ |  |  |
| $a \rightarrow q_{1}$ |  |  |
| $b \rightarrow q_{2}$ |  |  |
| $\{f(a, b)\}$ |  |  |



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| $\mathcal{A}^{0}$ | $\mathcal{A}_{\mathcal{R}}^{1}$ |  |
| ---: | ---: | :--- |
| $f\left(q_{1}, q_{2}\right) \rightarrow q_{0}$ | $g\left(q_{1}\right) \rightarrow q_{3}$ |  |
| $a \rightarrow q_{1}$ | $f\left(q_{3}, q_{2}\right) \rightarrow q_{0}$ |  |
| $b \rightarrow q_{2}$ |  |  |
| $\{f(a, b)\}$ | $\{f(a, b), f(g(a), b)\}$ |  |

Normalization is necessary!


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| $\mathcal{A}^{0}$ | $\mathcal{A}_{\mathcal{R}}^{1}$ | $\cdots$ |
| ---: | ---: | ---: |
| $f\left(q_{1}, q_{2}\right) \rightarrow q_{0}$ | $g\left(q_{1}\right) \rightarrow q_{3}$ | $\cdots$ |
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| $\{f(a, b)\}$ | $\{f(a, b), f(g(a), b)\}$ | $\cdots$ |

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## Exact Normalization Strategy

[Feuillade, Genet, Viet Triem Tong, 04]

## Principle of Exact Normalization Strategy

Normalize new transitions added to $\mathcal{A}$ using $\mathcal{A}$ when possible, use new states otherwise.

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## Theorem

Given a linear $\operatorname{TRS} \mathcal{R}$ and a tree automaton $\mathcal{A}$, if tree automata completion with exact normalization strategy terminates on $\mathcal{A}_{\mathcal{R}}^{*}$, then

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Theorem
Tree automata completion with exact normalization strategy terminates for TRS in classes : G, L-SM, L-G ${ }^{-1}$, L-GSM, L-FPO and L-GFPO.

## Regular classes covered by tree automata completion



- with exact normalization strategy
- with other normalization strategies
- it also covers TRS and tree automata outside of those classes !


## Outline

(1) Term rewriting and reachability analysis
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(3) Defining abstractions for infinite non regular systems

4 Refining abstractions by hand using equations
(5) Tools and applications

6 Conclusion and further work

## Outside of the regular classes

- This is generally the case when the TRS models a program
- We can use over-approximations, i.e.



## Building approximations using normalization rules

[Genet and Viet Triem Tong 2001]

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\mathcal{R}=\{f(x, y) \rightarrow f(g(x), g(y))\}
$$

| $\mathcal{A}^{0}$ |  |  |
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& \begin{array}{|r|r|r|l|}
\hline \mathcal{A}^{0} & & \\
\hline f\left(q_{1}, q_{2}\right) \rightarrow q_{0} \\
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\end{aligned}
$$

## Normalization rules

The pros:

- Expressive and efficient
(crypto and Java verification)
[Genet, Tang-Talpin and Viet Triem Tong, 03]
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The cons:

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- No formal semantics of normalization rules
- Precision of approximation is difficult to estimate/compare


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Intuition behind equational over-approximations
$\mathcal{R}=\left\{\begin{array}{l}\text { (1) } f(x, y) \rightarrow f(g(x), y) \\ (2) f(x, y) \rightarrow f(x, h(y))\end{array}\right.$ prove that $f(a, b) \not \nrightarrow \mathcal{R}^{*} f(a, h(g(b))$ ?
$C_{1}=\{f(a, b)\}$

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\end{aligned}
$$



$$
s \rightarrow_{\mathcal{R} / E} t \Leftrightarrow s=E s^{\prime} \rightarrow_{\mathcal{R}} t^{\prime}=_{E} t
$$

Intuition behind equational over-approximations

$$
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$$
s \rightarrow_{\mathcal{R} / E} t \Leftrightarrow s=E_{E} s^{\prime} \rightarrow_{\mathcal{R}} t^{\prime}=_{E} t \quad\left(e . g . f(a, b) \rightarrow_{\mathcal{R} / E} f(g(g(g(a))), b)\right)
$$

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$s \rightarrow_{\mathcal{R} / E} t \Leftrightarrow s={ }_{E} s^{\prime} \rightarrow_{\mathcal{R}} t^{\prime}={ }_{E} t \quad\left(e . g . f(a, b) \rightarrow_{\mathcal{R} / E} f(g(g(g(a))), b)\right)$ $f(a, b) \nrightarrow_{\mathcal{R} / E}^{*} f(a, h(g(b)))$

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$$
\begin{array}{ll}
s \rightarrow_{\mathcal{R} / E} t \Leftrightarrow s=E s^{\prime} \rightarrow_{\mathcal{R}} t^{\prime}=_{E} t & \left(e . g . f(a, b) \rightarrow_{\mathcal{R} / E} f(g(g(g(a))), b)\right) \\
f(a, b) \nrightarrow_{\mathcal{R} / E}^{*} f(a, h(g(b))) & \Rightarrow f(a, b) \nrightarrow_{\mathcal{R}}^{*} f(a, h(g(b)))
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$$
\begin{array}{lll}
s \rightarrow_{\mathcal{R} / E} t \Leftrightarrow s={ }_{E} s^{\prime} \rightarrow_{\mathcal{R}} t^{\prime}={ }_{E} t & \left(\text { e.g. } f(a, b) \rightarrow_{\mathcal{R} / E} f(g(g(g(a))), b)\right) \\
f(a, b) \not_{\mathcal{R} / E}^{*} f(a, h(g(b))) & \Rightarrow & f(a, b) \not \not_{\mathcal{R}}^{*} f(a, h(g(b)))
\end{array}
$$

[Meseguer, Palomino, Marti-Oliet, 03] [Takai, 04]

## Equations for tree automata approximation

[Genet, Rusu, 09]
Simplification relation $\mathcal{A} \rightsquigarrow_{E} \mathcal{A}^{\prime}$
Given $(u=v) \in E$ and a tree automaton $\mathcal{A}$

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| $u \sigma=E$ | $v \sigma$ |
| :---: | :---: |
| $* \downarrow \mathcal{A}$ | $\mathcal{A} \downarrow *$ |$\quad \Rightarrow \quad$ merging of $q_{1}$ and $q_{2}$ applied to $\mathcal{A}$

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```
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    *u\sigma =E v v\sigma \
denoted by \mathcal{A}\mp@subsup{\rightsquigarrow}{E}{}\mp@subsup{\mathcal{A}}{}{\prime}\mathrm{ , where }\mp@subsup{\mathcal{A}}{}{\prime}=\mathcal{A}{\mp@subsup{q}{1}{}\mapsto\mp@subsup{q}{2}{}}
```

After completion step $i$, we propagate $E$ on $\mathcal{A}_{\mathcal{R}}^{i}$ using $\rightsquigarrow_{E}$ up to a fixpoint

## Equations for tree automata approximation

$$
\begin{aligned}
& \mathcal{R}=\{f(x, y) \rightarrow f(s(x), s(y))\} \text { and } E=\{s(s(x))=s(x)\} \\
& \begin{array}{|r|r|r|}
\hline \mathcal{A}^{0} & & \\
\hline f\left(q_{a}, q_{b}\right) \rightarrow q_{0} & & \\
a \rightarrow q_{a} & & \\
b \rightarrow q_{b} & & \\
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\hline
\end{array}
\end{aligned}
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## Equations for tree automata approximation

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\begin{aligned}
& \mathcal{R}=\{f(x, y) \rightarrow f(s(x), s(y))\} \text { and } E=\{s(s(x))=s(x)\} \\
& \begin{array}{|r|r|r|}
\hline \mathcal{A}^{0} & \mathcal{A}_{\mathcal{R}}^{1} & \\
\hline f\left(q_{a}, q_{b}\right) \rightarrow q_{0} & f\left(q_{1}, q_{2}\right) \rightarrow q_{0} & \\
a \rightarrow q_{a} & s\left(q_{a}\right) \rightarrow q_{1} & \\
b \rightarrow q_{b} & s\left(q_{b}\right) \rightarrow q_{2} & \\
\hline \mathcal{L}\left(\mathcal{A}^{0}\right)=\{f(a, b)\} & \mathcal{L}\left(\mathcal{A}^{1}\right)=\{f(a, b), & \\
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## Properties of $\rightsquigarrow_{E}$

The simplification relation $\rightsquigarrow_{E}$ enjoys the following properties

- If $\mathcal{A} \rightsquigarrow_{E} \mathcal{A}^{\prime}$ then $\mathcal{L}(\mathcal{A}) \subseteq \mathcal{L}\left(\mathcal{A}^{\prime}\right)$


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- Normal forms of $\rightsquigarrow_{E}$ are unique, modulo isomorphism
$\Rightarrow$ equations of $E$ can be used in any order for $\rightsquigarrow!E$


## New completion algorithm : from $\mathcal{A}_{\mathcal{R}, E}^{i}$ to $\mathcal{A}_{\mathcal{R}, E}^{i+1}$

## $i$-th Completion step

- Normalize $r \sigma \rightarrow q^{\prime}$ using exact norm. strat. or new states


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## Simplification

- Find instances of an equation $u=v$ of $E$ in $\mathcal{A}_{\mathcal{R}}^{i+1}$

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\begin{array}{cc}
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\mathcal{A}_{\mathcal{R}}^{i+1}, \notin \mid \downarrow & \\
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q_{1} & \\
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\begin{gathered}
\left.\mathcal{A}_{\mathcal{R}}^{i}\right|_{\downarrow} ^{l \sigma} \underset{\mathcal{R}}{ } \|_{\substack{\epsilon}} \mathcal{A}_{\mathcal{R}}^{i+1} \\
\quad q \\
\\
\mathcal{A}_{\mathcal{R}}^{i+1}
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- Rename $q_{2}$ by $q_{1}$ in $\mathcal{A}_{\mathcal{R}}^{i+1}$
- Repeat until a fixpoint is reached


## Theorems

Theorem (Upper bound)
Let $\mathcal{R}$ be a left-linear TRS, $\mathcal{A}$ be a tree automaton and $E$ be a set of linear equations. If completion terminates on $\mathcal{A}_{\mathcal{R}, E}^{*}$ then

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\mathcal{L}\left(\mathcal{A}_{\mathcal{R}, E}^{*}\right) \supseteq \mathcal{R}^{*}(\mathcal{L}(\mathcal{A}))
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## Theorem (Lower bound)

Let $\mathcal{R}$ be a left-linear TRS, $E$ a set of linear equations and $\mathcal{A}$ a $\mathcal{R} / E$-coherent tree automaton. For any $i \in \mathbb{N}$ :

$$
\mathcal{R}_{/ E}^{*}(\mathcal{L}(\mathcal{A})) \supseteq \mathcal{L}\left(\mathcal{A}_{\mathcal{R}, E}^{i}\right)
$$

and $\mathcal{A}_{\mathcal{R}, E}^{i}$ is $\mathcal{R} / E$-coherent.

## Outline

(1) Term rewriting and reachability analysis
(2) Regular model-checking of term rewriting systems
(3) Defining abstractions for infinite non regular systems
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6 Conclusion and further work

## The Timbuk library

## [Genet, Viet Triem Tong, Boichut, Boyer] <br> (Around 13000 lines of Ocaml)

Timbuk provides

- Tree automata implementation with $\cap, \cup,=?{ }^{?} \emptyset, \subseteq, \ldots$


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- Exact computation of (covered) regular classes
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Given a left-linear $\operatorname{TRS} \mathcal{R}$ and tree automata $\mathcal{A}, \mathcal{B}$ :

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\operatorname{checker}(\mathcal{A}, \mathcal{R}, \mathcal{B})=\text { true } \Rightarrow \mathcal{L}(\mathcal{B}) \supseteq \mathcal{R}^{*}(\mathcal{L}(\mathcal{A}))
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checker extracted from a Coq spec. [Boyer, Genet, Jensen, 08]

## Applications : Java bytecode verification

[Boichut, Genet, Jensen, Le Roux, 07]

$$
\mathcal{R}^{*}(\mathcal{L}) \cap \operatorname{Bad}=\emptyset
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- $\mathcal{R}=\mid$ A Java byte code program $P$ Java Virtual Machine (JVM) semantics
- $\mathcal{L}=$ Java Virtual Machine (JVM) initial state


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- $\mathcal{R}=\left\lvert\, \begin{aligned} & \text { A Java byte code program } P \\ & \text { Java Virtual Machine (JVM) semantics }\end{aligned}\right.$
- $\mathcal{L}=$ Java Virtual Machine (JVM) initial state
- $\mathcal{R}^{*}(\mathcal{L})=$ all JVM states reachable while executing $P$
- Bad $=$ set of forbidden states (e.g. bad control flow, data races, etc.)


## Encoding JVM semantics and bytecode into rewriting

Copster tool
[Barré, Hubert, Le Roux, Genet]

- Translates .class into a left-linear TRS


## Encoding JVM semantics and bytecode into rewriting

Copster tool

- Translates .class into a left-linear TRS
- Copster covers the following Java aspects :
- Class and inheritance
- Object allocation, initialization, access and modification of fields
- Virtual method invocation
- Integer, boolean, characters and string types
- Basic arithmetic and comparisons
- Basic standard library methods (strings, I/O)
- Basic thread operations (creation, synchronization, join)


## An example of verification performed on a Java program

```
class T1 extends java.lang.Thread{
    private int l;
    public T1(int l){this.l=l;}
    public void run(){
    while (true){
    synchronized(Top.lock){
        System.out.println(Top.f);
        Top.f=l;
        System.out.println(Top.f);
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    }}}}
```

```
class Top{
    public static Object lock;
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- Subsequences of the form $\ldots, i, i, \ldots$ with $i \geq 1$ should not occur
- One equation is enough : outstack( $x$,outstack $(y, z)$ ) $=z$


## The RAVAJ Java verification chain

- RAVAJ is an ANR Project between LORIA (Nancy), LIFC (Besançon), France Telecom and IRISA
- Certified reachability analysis chain for Java bytecode programs



## Outline

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$\approx$ Equations could be used on TT, and predicate abstraction on TRS


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## Comparison with other verification techniques

- Classes of $\mathcal{R}$ for which $\mathcal{R}^{*}(\mathcal{L})$ is regular
- only left and right linear TRS
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- Generate equations automatically (in some cases)
+ In practice, strong restrictions on equations (syntactical/coherence)
- Other techniques based on rewriting
- Limited to < regular» properties (e.g. no induction!)
+ Simpler properties $\Rightarrow$ needs less interaction
+ No need for termination or confluence of the TRS


## To sum-up

From the initial (theoretical) idea of tree automata completion, we have shown that this technique
(1) covers many regular classes of the litterature
(2) deals with automatic/guided approximations
(3) is feasible in practice
(9) scales up to verify real software
(3) can be certified using an external proof assistant

## Further Research

- Now : extend the verification capabilities of tree automata completion $\rightsquigarrow$ lift-up to temporal properties
[Boyer, Genet, 09]


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- Within 3 years: certification of distant computation (a.k.a. result certification)


## Further Research (II)

- Extend (word) lattice automata to trees
with T. Legall
- Improve automatic approximations for crypto. protocols

with Y. Boichut

- Other applications of $\mathcal{R}^{*}(\mathcal{L})$
- Checking transformations of SQL query
- Checking transformations of UML model
- Javascript programs verification


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Since the new completion algorithm is based on :

from the $\epsilon$-graph we can obtain the $\mathcal{R} / E$-rewriting graph

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## $\mathcal{R} / E$-Coherent tree automata

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- Transitions $f\left(q_{1}, \ldots, q_{n}\right) \rightarrow q$ recognizing $<$ equivalence classes »
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& \mathcal{R}=\{s \rightarrow t, u \rightarrow v\} \\
& E=\{s=u\}
\end{aligned}
$$

New completion


Old completion


## $\mathcal{R} / E$-Coherent tree automata

In the tree automata we distinguish between

- Transitions $f\left(q_{1}, \ldots, q_{n}\right) \rightarrow q$ recognizing <equivalence classes»
- Epsilon transitions $q \xrightarrow{\epsilon} q^{\prime}$ representing rewriting between classes

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## Definition ( $\mathcal{R} / E$-coherent automaton)

Let $\mathcal{A}=\left\langle\mathcal{F}, \mathcal{Q}, \mathcal{Q}_{f}, \Delta\right\rangle$ be a tree automaton, $\mathcal{R}$ a TRS and $E$ a set of equations. The automaton $\mathcal{A}$ is said to be $\mathcal{R} / E$-coherent if $\forall q \in \mathcal{Q}: \exists s \in \mathcal{T}(\mathcal{F}):$

$$
s \rightarrow_{\mathcal{A}}^{\notin *} q \wedge\left[\forall t \in \mathcal{T}(\mathcal{F}):\left(t \rightarrow_{\mathcal{A}}^{\notin *} q \Rightarrow s=_{E} t\right) \wedge\left(t \rightarrow_{\mathcal{A}}^{*} q \Rightarrow s \rightarrow_{\mathcal{R} / E}^{*} t\right)\right]
$$

## Benchmarks

|  | Combinatory | NSPK | View-Only | Java prog. 1 | Java prog. 2 |
| :--- | :---: | :---: | :---: | :---: | :---: |
| TRS nb of rules | 1 | 13 | 15 | 279 | 303 |
| Initial Aut. size | $43 / 23$ | $14 / 4$ | $21 / 18$ | $26 / 49$ | $33 / 33$ |
| Timbuk 2.2 : |  |  |  |  |  |
| Final Aut. size | $8043 / 23$ | $151 / 16$ | $730 / 74$ | $1127 / 334$ | $751 / 335$ |
| Time (secs) | 51.1 | 19.7 | $\mathbf{6 4 2 0}$ | 25266 | 37387 |
| Timbuk 3.0: |  |  |  |  |  |
| Final Aut. size | $8043 / 23$ | $259 / 104$ | $353 / 100$ |  |  |
| Time (secs) | $\mathbf{6 0 . 1}$ | $\mathbf{3 . 1}$ | 2452 |  |  |
| Tom-based : |  |  |  |  |  |
| Final Aut. size | $8043 / 23$ | $171 / 21$ | $938 / 89$ | $1974 / 637$ | $1611 / 672$ |
| Time (secs) | $\mathbf{5 . 9}$ | $\mathbf{5 . 9}$ | $\mathbf{1 5 0}$ | $\mathbf{3 6 0}$ | 303 |
| Bddbddb-based : |  |  |  |  |  |
| Final Aut. size | $? / 25$ | $? / 183$ | $? / 97$ |  |  |
| Time (secs) | $\mathbf{0 . 0 0 8}$ | $\mathbf{2 . 9}$ | $\mathbf{3 . 3}$ |  |  |

## Applications: Java bytecode verification (II)

Proving safety properties on Java bytecode using reachability analysis

| Java Source .java | Java Byte Code .class |
| :---: | :---: |
| class TestList $\{$ |  |
| public static void main(String[] argv) $\{$ List lpos=null; | public static void main(java |
| InvList lneg=null; | Code: |
| int x ; | 0: aconst_null |
| boolean pos; | 1: astore_1 |
| pos= true; | 2: aconst_null |
| try $\{\mathrm{x}=$ System.in.read() $\}$; $\}$ | 3: astore_2 |
| catch(java.io.IOException e) $\{\mathrm{x}=0$; $\}$ | 4: iconst_1 |
| while (x ! = -1) $\{$ | 5: istore 4 |
| if (pos) \{lpos= new List(x, lpos); | 7: getstatic \#2; |
| pos=false; $\}$ | 10: invokevirtual \#3; |
| ```else {lneg= new InvList(x, lneg); pos=true;}``` | 13: istore_3 |
| try $\{\mathrm{x}=$ System.in.read() ; \} | 47: new |
| catch(java.io. IOException e) $\{\mathrm{x}=0 ;\}$ | 50: dup |
| $\}$ 边 | 51: iload_3 |

Encoding JVM semantics and bytecode into rewriting (II)

| Encoding of an add bytecode $\quad$ add : $\frac{(m, p c, x:: y:: s, I)}{(m, p c+1, x+y:: s, I)}$ |
| :--- | :--- |

## Encoding JVM semantics and bytecode into rewriting (II)

| Encoding of an add bytecode | add : $\frac{(m, p c, x:: y:: s, l)}{(m, p c+1, x+y:: s, l)}$ |
| :--- | :--- |

- public static void foo(...)
(1) Associate add bytecode to $m, p c$

$$
11: \quad \text { add }
$$

frame(foo,11,s,l) -> xframe(add,foo,11,s,l)

## Encoding JVM semantics and bytecode into rewriting (II)

Encoding of an add bytecode

$$
\text { add }: \frac{(m, p c, x:: y:: s, l)}{(m, p c+1, x+y:: s, l)}
$$

(1) Associate add bytecode to $m, p c$
public static void foo(...)

11 : add
frame(foo,11,s,l) -> xframe(add,foo,11,s,l)
(2) Pop $x$ and $y$, start evaluation of $(x+y)$
xframe(add,m,pc,stack(y,stack(x,s)),l) -> xframe(xadd(x,y),m,pc,s,l)

## Encoding JVM semantics and bytecode into rewriting (II)

Encoding of an add bytecode

$$
\text { add }: \frac{(m, p c, x:: y:: s, l)}{(m, p c+1, x+y:: s, l)}
$$

(1) Associate add bytecode to $m, p c$
public static void foo(...)

11: add
frame(foo, 11,s,l) -> xframe(add,foo, 11,s,1)
(3) Pop $x$ and $y$, start evaluation of $(x+y)$
xframe (add,m,pc,stack(y,stack(x,s)),l) -> xframe(xadd (x,y),m,pc,s,l)

- Compute $(x+y)$ xadd(...) -> ...
... -> result(x)


## Encoding JVM semantics and bytecode into rewriting (II)

Encoding of an add bytecode

$$
\text { add }: \frac{(m, p c, x:: y:: s, l)}{(m, p c+1, x+y:: s, l)}
$$

(1) Associate add bytecode to $m, p c$
public static void foo(...)
...
11 : add
frame(foo,11,s,l) -> xframe(add,foo,11,s,l)
(3) Pop $x$ and $y$, start evaluation of $(x+y)$ xframe(add,m,pc,stack(y,stack(x,s)),l) $\rightarrow$ xframe(xadd(x,y),m,pc,s,l)
(3) Compute $(x+y)$ xadd (...) -> ...
... -> result(x)
(9) Push the result on top of $s$ and move to next $p c$ xframe(result( x ),m,pc,s,l) -> frame (m,next (pc), stack( $\mathrm{x}, \mathrm{s}$ ) ,l)

