### Reachability Analysis of Rewriting for Software Verification

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#### IRISA

#### Habilitation à diriger des recherches

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Motivation : proving safety properties



#### Verification using Model-checking

(1)  $\{i \ge 1\}$ n := i; (2) while (i>1) do { (3) n := n\*(i-1); (4) i := i-1; } (5)  $\{n \ge 1\}$ 



	$D = \mathbb{N}$	$D^{\#}$ : intervals on $\mathbb N$
1	$\{i \ge 1\}$ n := i;	(1) $i^{\#} = [1; +\infty[, n^{\#} = [0; +\infty[$
2	while (i>1) do $\{$	
<ul><li>(4)</li></ul>	n := n*(i-1);	
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2		② $i^{\#} = [1; +\infty[, n^{\#} = [1; +\infty[$
3	while (i>1) do {	(3) $i^{\#} = [2; \pm \infty[$ $n^{\#} = [1; \pm \infty[$
9	n := n*(i-1);	$\bigcirc I = [2, +\infty[, II] = [1, +\infty[$
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FORALL (i: int): i >=1 IMPLIES (FORALL (x: int): x = i IMPLIES (FORALL (i0: int): FORALL (x0: int): x0 >= 1 IMPLIES i0 > 1 IMPLIES (FORALL (x1: int): x1 = x0 \* (i0 - 1) IMPLIES x1 >= 1))))

```
(skosimp*)
(replace -6 1)
(lemma "both_sides_times_pos_ge1")
(inst -1 "i0!1-1" "x0!1" "1")
(grind)
```

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- Model-checkers adapted to infinite state systems
  - Regular model-checking
  - Abstract model-checking, ...

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- ... but you may spend weeks, months!

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#### Is there something in between?

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- A model-checking algorithm for finite (or regular) systems
- An abstraction mechanism for infinite non regular systems
- **③** A way to refine, by hand, abstractions if automatic verification fails

Our proposition for (un)reachability analysis

A verification technique based on tree automata completion integrating

- A model-checking algorithm for finite (or regular) systems
- An abstraction mechanism for infinite non regular systems
- A way to refine, by hand, abstractions if automatic verification fails and bonus :
- In the end, the same level of confidence as with a Coq proof !

#### Outline



- 2 Regular model-checking of term rewriting systems
- Optiming abstractions for infinite non regular systems
- 4 Refining abstractions by hand using equations
- 5 Tools and applications
- 6 Conclusion and further work

#### Outline

#### 1 Term rewriting and reachability analysis

- 2 Regular model-checking of term rewriting systems
- 3 Defining abstractions for infinite non regular systems
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- Set of ranked symbols
- Set of variables

 $\mathcal{F} = \{+, 0, 1\}$  $\mathcal{X} = \{x, y, \ldots\}$ 

• Set of ranked symbols  $\mathcal{F} = \{+, 0, 1\}$ • Set of variables  $\mathcal{X} = \{x, y, \ldots\}$ • Set of ground terms  $\mathcal{T}(\mathcal{F}) = \{0, 0+1, (0+0) + (0+1), \ldots\}$ 

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• Term rewriting system (TRS) = set of rewrite rules

With TRS  $\mathcal{R} = \{0 + x \rightarrow x\}$ :

$$ig| egin{array}{c} 0+1 o_{\mathcal R} 1 \ (0+0)+(0+1) o_{\mathcal R}^* 1 \end{array}$$

#### TRS as a formal model of programs

		$\mathcal{F} = \{(\_,\_,\_), 0, s \\ \mathcal{X} = \{I, N, X, Y\}$	$\{1, +, *, (1), (2), (3), (4), (5)\}$
1			
	n := i;	(1, I, N)	$\rightarrow$ (2, <i>I</i> , <i>I</i> )
2		(2, s(s(I)), N)	$\rightarrow$ (3, s(s(I)), N)
	while (i>1) do $\{$	(3, s(I), N)	$\rightarrow$ (4, s(1), 1 * N)
3		(4, s(I), N)	$\rightarrow$ (2, $I, N$ )
	n := n*(i-1);	( <b>2</b> , 0, <i>N</i> )	$\rightarrow$ (5, 0, N)
4		(2, s(0), N)	$\rightarrow$ (5, $s(0), N$ )
	i := i-1; }		
(5)		0 * <i>X</i>	$\rightarrow 0$
		s(X) * Y	$\rightarrow Y + (X * Y)$
		•••	

Proving safety by (un)reachability analysis :

 $(1, i, x) \not\rightarrow_{\mathcal{R}}^{*} (5, y, 0)$ 

with  $i \geq 1, x, y \in \mathbb{N}$ 

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$$\begin{array}{c} \mathcal{F} = \{(-, -, -), 0, s, +, *, (\underline{1}, 2), (\underline{3}, 4), (\underline{5})\} \\ \mathcal{X} = \{I, N, X, Y\} \\ \begin{array}{c} (\underline{1}, I, N) & \rightarrow (\underline{2}, I, I) \\ (\underline{2}, s(s(I)), N) & \rightarrow (\underline{3}, s(s(I)), N) \\ (\underline{3}, s(I), N) & \rightarrow (\underline{3}, s(s(I)), N) \\ (\underline{3}, s(I), N) & \rightarrow (\underline{4}, s(I), I * N) \\ (\underline{4}, s(I), N) & \rightarrow (\underline{5}, 0, N) \\ (\underline{2}, s(0), N) & \rightarrow (\underline{5}, s(0), N) \\ (\underline{2}, s(0), N) & \rightarrow (\underline{5}, s(0), N) \\ \end{array}$$

Proving safety by (un)reachability analysis :

 $(1, i, x) \not\rightarrow_{\mathcal{R}}^{*} (5, y, 0)$ 

with  $i \geq 1, x, y \in \mathbb{N}$ 

Given a TRS  $\mathcal{R}$  and  $s, t \in \mathcal{T}(\mathcal{F})$ , is  $s \rightarrow_{\mathcal{R}}^{*} t$ ?

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where  $\mathcal{R}^*(\mathcal{L}) = \{ u \mid s \in \mathcal{L} \land s \rightarrow_{\mathcal{R}}^* u \}$ 

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$$\mathcal{R}$$
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• Decidable, for classes of  $\mathcal R$  such that  $\mathcal R^*(\{s\})$  is regular ( $\approx$  regular model-checking)

#### Reachability analysis of rewriting (extended)

Recall that for verification, the problem we have is :

 $((1, i, x) \not\rightarrow_{\mathcal{R}}^* ((5, y, 0)) \qquad \text{with } i \ge 1, x, y \in \mathbb{N}$ 

#### Reachability analysis of rewriting (extended)

Recall that for verification, the problem we have is :

 $(\textcircled{1}, i, x) \not\rightarrow_{\mathcal{R}}^{*} (\textcircled{5}, y, 0) \qquad \text{with } i \ge 1, x, y \in \mathbb{N}$ 

which can be seen as :



The reachability analysis problem becomes :

 $\mathcal{R}^*(\mathcal{L}) \cap Bad = \emptyset?$ 

Two applications of reachability analysis of rewriting

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• Java application verification [Boichut, Genet, Jensen, Le Roux, 07]

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- Cryptographic protocol verification

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- L= protocol initial configurations
- $\mathcal{R}= \begin{vmatrix} \text{specification of protocol exchanged messages} \\ \text{deduction rules of the intruder} \end{vmatrix}$
Two applications of reachability analysis of rewriting

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- Java application verification [Boichut, Genet, Jensen, Le Roux, 07]
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- L= protocol initial configurations
- $\blacktriangleright \mathcal{R} = \left| \begin{array}{c} \text{specification of protocol exchanged messages} \\ \text{deduction rules of the intruder} \end{array} \right|$
- Properties : secrecy, authentication, freshness
- Unbounded number of agents, protocol sessions and intruder actions
- Verification of copy-protection on Thomson's SmartRight protocol [Genet, Tang-Talpin, Viet Triem Tong, 03]

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Set constraints, Horn clauses, Tree Grammars, Tree automata, ...

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Tree automata are well adapted (they are also based on rewriting)

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(Regular Term Language)

• Tree Automata with constraints

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#### We stick to (Non-Deterministic) Finite Tree Automata because :

We want to decide (efficiently) if  $\mathcal{R}^*(\mathcal{L}) \cap \textit{Bad} = \emptyset$ 

- The complexity of the algorithm for  $\cap$  is quadratic
- $\bullet\,$  The complexity of the algorithm deciding  $=^? \, \emptyset$  is polynomial

• . . .



Plus some classes incomparable with others :

L-IOSLT Linear I/O Separated Layered Transducing (a.k.a. Tree Transducers) [Seki et al. 02]

**Constructor** Constructor based + constraints on  $\mathcal{L}$  [Réty 99]

WOS Well Oriented Systems [Bouajjani, Touili, 02]

**G** Ground :  $s \rightarrow t$ 

with  $s, t \in \mathcal{T}(\mathcal{F})$ 

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**RL-M** Right-linear and Monadic :  $s \to f(x_1, ..., x_n)$ with  $s \in \mathcal{T}(\mathcal{F}, \mathcal{X})$ 

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L-SM Linear (left and right linear) Semi-Monadic :

 $s \to f(x_1, \dots, x_n, t_1, \dots, t_m)$ with  $s \in \mathcal{T}(\mathcal{F}, \mathcal{X}), t_1, \dots, t_n \in \mathcal{T}(\mathcal{F})$ 

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Representation of  $f(s^*(a))$  by tree grammar/tree automaton

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${f(s^*(a))}$	Tree	grammar <i>G</i> axiom : <i>N</i> <sub>1</sub>
N <sub>1</sub>	:=	$f(N_2)$
N <u>2</u>	.—	5(112)
N <sub>2</sub>	:=	а

 $N_1 \rightarrow^*_G f(s(s(a)))$ 

Representation of  $f(s^*(a))$  by tree grammar/tree automaton

	Tree g	rammar G	Tree auto	maton /	4
{f(s*(a	))} a>	diom : $N_1$	${f(s^*(a))}$	)}	final state : <b>q</b> 1
N <sub>1</sub> N <sub>2</sub> N <sub>2</sub>	:= := :=	f(N <sub>2</sub> ) s(N <sub>2</sub> ) a	$f(q_2)$ $s(q_2)$ a	$\rightarrow$ $\rightarrow$ $\rightarrow$	<b>q</b> <sub>1</sub> <b>q</b> <sub>2</sub> <b>q</b> <sub>2</sub>
N <sub>1</sub>	$\rightarrow^*_c f(s(s(s(s(s(s(s(s(s(s(s(s(s(s(s(s(s(s(s$	a)))	f	(s(s(a)))	$)) \rightarrow^*_A q_1$
/v1	$\rightarrow_{G}$	a)))	/	(S(S(d)))	$\rightarrow_A q_1$

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N <sub>1</sub>	$\rightarrow^*_G f(s(s($	a)))	f	(s(s(a))	$)) \rightarrow^*_A q_1$

 $A = \langle \mathcal{F}, \mathcal{Q}, \mathcal{Q}_f, \Delta \rangle \text{ where}$  $\mathcal{Q} = \{q_1, q_2\}, \ \mathcal{Q}_f = \{q_1\}, \ \Delta = \{a \to q_2, s(q_2) \to q_2, f(q_2) \to q_1\}$  $f(s(s(a))) \to_A^* q_1 \text{ and } q_1 \in \mathcal{Q}_f. \text{ Here } \mathcal{L}(A) = \{f(s^*(a))\}$ 

First step : an upper bound for  $\mathcal{R}^*(\mathcal{L})$ 

[Genet, 98]

### Definition ( $\mathcal{R}$ -closed tree automaton)

Given a tree automaton  ${\cal B}$  and a TRS  ${\cal R},\, {\cal B}$  is  ${\cal R}\mbox{-}closed$  if

 $\forall l \rightarrow r \in \mathcal{R}, \ \forall q \in \mathcal{Q}, \ \forall \sigma : \mathcal{X} \mapsto \mathcal{Q} :$ 

$$l\sigma \rightarrow_{\mathcal{B}} ^{*} q \Rightarrow r\sigma \rightarrow_{\mathcal{B}} ^{*} q$$

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#### Theorem (Upper bound)

Given a left-linear TRS  $\mathcal{R}$  and tree automata  $\mathcal{A}, \mathcal{B}$ .

$$\left. \begin{array}{c} \mathcal{L}(\mathcal{B}) \supseteq \mathcal{L}(\mathcal{A}) \\ \\ \mathcal{B} \text{ is } \mathcal{R}\text{-closed} \end{array} \right| \ \Rightarrow \ \begin{array}{c} \mathcal{L}(\mathcal{B}) \supseteq \mathcal{R}^*(\mathcal{L}(\mathcal{A})) \end{array}$$

#### Tree automata completion algorithm

- $\bullet$  Input : a TRS  ${\cal R}$  and a tree automaton  ${\cal A}$
- $\bullet$  Output : a  $\mathcal{R}\text{-closed}$  automaton  $\mathcal{A}_\mathcal{R}^*$

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$$\begin{array}{c} I\sigma \xrightarrow{\mathcal{R}} r\sigma \\ A \downarrow * \\ q \end{array}$$

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Compute  $\mathcal{A}^1_{\mathcal{R}}, \mathcal{A}^2_{\mathcal{R}}, \ldots$  until reaching  $\mathcal{A}^*_{\mathcal{R}}$  a ( $\mathcal{R}$ -closed) fixpoint

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 $\mathcal{A} \text{ completed into } \mathcal{A}_{\mathcal{R}}^* \Rightarrow \mathcal{L}(\mathcal{A}_{\mathcal{R}}^*) \supseteq \mathcal{L}(\mathcal{A}) \\ \Rightarrow \mathcal{L}(\mathcal{A}_{\mathcal{R}}^*) \supseteq \mathcal{R}^*(\mathcal{L}(\mathcal{A}))$ 

 $\mathcal{A}_{\mathcal{R}}^{*}$  is  $\mathcal{R}\text{-closed}$ 

$$\mathcal{R} = \{f(x, y) \to f(g(x), y)\}$$

$\mathcal{A}^{0}$	
$f(q_1,q_2) \to q_0$	
$a  ightarrow q_1$	
$b  ightarrow q_2$	
$\{f(a,b)\}$	



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$$\mathcal{R} = \{f(x, y) \rightarrow f(g(x), y)\}$$

$$\boxed{\begin{array}{c|c} \mathcal{A}^0 & \mathcal{A}^1_{\mathcal{R}} \\ \hline f(q_1, q_2) \rightarrow q_0 & g(q_1) \rightarrow q_3 \\ a \rightarrow q_1 & f(q_3, q_2) \rightarrow q_0 \\ b \rightarrow q_2 & \\ \hline \{f(a, b)\} & \{f(a, b), f(g(a), b)\} \end{array}}$$

Normalization is necessary !



$$\mathcal{R} = \{f(x, y) \rightarrow f(g(x), y)\}$$

$$\boxed{\begin{array}{c|c} \mathcal{A}^0 & \mathcal{A}^1_{\mathcal{R}} \\ \hline f(q_1, q_2) \rightarrow q_0 & g(q_1) \rightarrow q_3 \\ a \rightarrow q_1 & f(q_3, q_2) \rightarrow q_0 \\ b \rightarrow q_2 & \\ \hline \{f(a, b)\} & \{f(a, b), f(g(a), b)\}\end{array}}$$

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 $\mathbf{T}$ 

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$$\begin{array}{c|c} \mathcal{A}^0 & \mathcal{A}^1_{\mathcal{R}} & \dots \\ \hline f(q_1, q_2) \to q_0 & g(q_1) \to q_3 & \dots \\ a \to q_1 & f(q_3, q_2) \to q_0 & \\ b \to q_2 & & \\ \hline \{f(a, b)\} & \{f(a, b), f(g(a), b)\} & \dots \end{array}$$

 $\mathcal{R} - \{f(\mathbf{x}, \mathbf{y}) \rightarrow f(\sigma(\mathbf{y}), \mathbf{y})\}$ 

Normalization is necessary !



## Exact Normalization Strategy

[Feuillade, Genet, Viet Triem Tong, 04]

### Principle of Exact Normalization Strategy

Normalize new transitions added to  ${\cal A}$  using  ${\cal A}$  when possible, use new states otherwise.

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#### Theorem

Given a linear TRS  $\mathcal{R}$  and a tree automaton  $\mathcal{A}$ , if tree automata completion with exact normalization strategy terminates on  $\mathcal{A}_{\mathcal{R}}^*$ , then

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#### Theorem

Tree automata completion with exact normalization strategy terminates for TRS in classes : G, L-SM, L-G<sup>-1</sup>, L-GSM, L-FPO and L-GFPO.

### Regular classes covered by tree automata completion



- with exact normalization strategy
- with other normalization strategies
- it also covers TRS and tree automata outside of those classes !

### Outline

1 Term rewriting and reachability analysis

- 2 Regular model-checking of term rewriting systems
- Optiming abstractions for infinite non regular systems
- 4 Refining abstractions by hand using equations
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### Outside of the regular classes

- This is generally the case when the TRS models a program
- We can use over-approximations, i.e.



 $Approx \cap Bad = \emptyset \quad \Rightarrow \quad \mathcal{R}^*(\mathcal{L}) \cap Bad = \emptyset$ 

### Building approximations using normalization rules

[Genet and Viet Triem Tong 2001]

 $\mathcal{R} = \{f(x, y) \to f(g(x), g(y))\}$   $\boxed{\begin{array}{c} \mathcal{A}^{0} \\ \hline f(q_{1}, q_{2}) \to q_{0} \\ a \to q_{1} \\ b \to q_{2} \end{array}}$ 


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$\mathcal{A}^{0}$	
$f(\mathbf{q}_1,\mathbf{q}_2) \rightarrow \mathbf{q}_0$	
$a  ightarrow q_1$	
$b \rightarrow q_2$	



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$$\begin{array}{c}
f \rightarrow q0 \\
g \quad g \\
| \quad | \\
q1 \quad q2
\end{array}$$

[Genet and Viet Triem Tong 2001]

$\mathcal{A}^0$	
$f(\mathbf{q_1},\mathbf{q_2}) \rightarrow \mathbf{q_0}$	
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 $[f(g(q1),y) \rightarrow z] \rightarrow [g(q1) \rightarrow q1 \quad y \rightarrow z]$ 

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$\mathcal{A}^0$	$\mathcal{A}^1_\mathcal{R}$	
$f(\mathbf{q_1},\mathbf{q_2}) \rightarrow q_0$	$g({m q_1})  o {m q_1}$	
$a  ightarrow q_1$	$g(q_2)  ightarrow q_0$	
$b  ightarrow q_2$	$f(\mathbf{q_1},\mathbf{q_0}) \rightarrow \mathbf{q_0}$	

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[Genet and Viet Triem Tong 2001]

$\mathcal{A}^0$	$\mathcal{A}^1_\mathcal{R}$	$\mathcal{A}^2_\mathcal{R}$
$f(\mathbf{q_1},\mathbf{q_2}) \rightarrow q_0$	$g(\mathbf{q_1})  ightarrow q_1$	$g(q_0)  ightarrow q_0$
$a  ightarrow q_1$	$g(q_2)  ightarrow q_0$	
$b  ightarrow q_2$	$f(\mathbf{q_1},\mathbf{q_0}) \rightarrow \mathbf{q_0}$	

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The pros :

• Expressive and efficient (crypto and Java verification) [Genet, Tang-Talpin and Viet Triem Tong, 03] [Boichut, Genet, Jensen and Le Roux, 07]

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The cons :

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- Hard to write/read
- No formal semantics of normalization rules
- Precision of approximation is difficult to estimate/compare

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$$C_1={f(a,b)}$$

$$C_{2}=\{f(g(a),b)\}$$

$$C_{2} = \{f(g(a),b)\}$$



$$C_{1}=\{f(a,b)\}$$





using  $E = \{g(g(x)) = g(x), h(h(x)) = h(x)\}$ 



 $s \rightarrow_{\mathcal{R}/E} t \iff s =_E s' \rightarrow_{\mathcal{R}} t' =_E t \quad (e.g. \ f(a,b) \rightarrow_{\mathcal{R}/E} f(g(g(g(a))),b))$ 

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 $s \rightarrow_{\mathcal{R}/E} t \Leftrightarrow s =_E s' \rightarrow_{\mathcal{R}} t' =_E t \quad (e.g. \ f(a, b) \rightarrow_{\mathcal{R}/E} f(g(g(g(a))), b))$  $f(a, b) \not\rightarrow^*_{\mathcal{R}/E} f(a, h(g(b))) \Rightarrow f(a, b) \not\rightarrow^*_{\mathcal{R}} f(a, h(g(b)))$ [Meseguer, Palomino, Marti-Oliet, 03] [Takai, 04]

Thomas Genet (IRISA)

#### [Genet, Rusu, 09]

#### Simplification relation $\mathcal{A} \rightsquigarrow_{\mathcal{E}} \mathcal{A}'$

Given  $(u = v) \in E$  and a tree automaton A

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Simplification relation  $\mathcal{A} \rightsquigarrow_E \mathcal{A}'$ Given  $(u = v) \in E$  and a tree automaton  $\mathcal{A}$   $\begin{array}{c|c} u\sigma =_E v\sigma \\ * \downarrow_{\mathcal{A}} & \mathcal{A} \downarrow * \\ q_1 & q_2 \end{array} \Rightarrow \text{ merging of } q_1 \text{ and } q_2 \text{ applied to } \mathcal{A}$ denoted by  $\mathcal{A} \rightsquigarrow_E \mathcal{A}'$ , where  $\mathcal{A}' = \mathcal{A}\{q_1 \mapsto q_2\}$ 

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After completion step *i*, we propagate *E* on  $\mathcal{A}_{\mathcal{R}}^{i}$  using  $\rightsquigarrow_{E}$  up to a fixpoint

$$\mathcal{R} = \{f(x, y) \rightarrow f(s(x), s(y))\}$$
 and  $E = \{s(s(x)) = s(x)\}$ 

$\mathcal{A}^0$	
$f(q_a,q_b)  ightarrow q_0$	
$a  ightarrow q_a$	
$b  ightarrow q_b$	
$\mathcal{L}(\mathcal{A}^0) = \{f(a, b)\}$	

$$\mathcal{R} = \{f(x, y) \rightarrow f(s(x), s(y))\}$$
 and  $E = \{s(s(x)) = s(x)\}$ 

$\mathcal{A}^{0}$	$\mathcal{A}^1_\mathcal{R}$	
$f(q_a,q_b)  ightarrow q_0$	$f(q_1,q_2)  ightarrow q_0$	
$a  ightarrow q_a$	$s(q_{a})  o oldsymbol{q_1}$	
$b  ightarrow q_b$	$s(q_b)  ightarrow rac{q_2}{q_2}$	
$\mathcal{L}(\mathcal{A}^0) = \{f(a, b)\}$	$\mathcal{L}(\mathcal{A}^1) = \{f(a, b),$	
	f(s(a), s(b))	

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 and  $E = \{s(s(x)) = s(x)\}$ 

$\mathcal{A}^{0}$	$\mathcal{A}^1_\mathcal{R}$	$\mathcal{A}^2_\mathcal{R}$
$f(q_a,q_b)  ightarrow q_0$	$f(q_1,q_2)  ightarrow q_0$	$f(q_3,q_4)  ightarrow q_0$
$a  ightarrow q_a$	$s(q_a)  ightarrow {m q_1}$	$s(q_1)  ightarrow q_3$
$b  ightarrow q_b$	$s(q_b)  ightarrow {m q_2}$	$s(q_2)  ightarrow q_4$
$\mathcal{L}(\mathcal{A}^0) = \{f(a, b)\}$	$\mathcal{L}(\mathcal{A}^1) = \{f(a, b),$	$\mathcal{L}(\mathcal{A}_{\mathcal{R}}^2) = \{f(a, b),$
	f(s(a), s(b))	f(s(a), s(b))
		$f(s(s(a)), s(s(b)))\}$

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$\mathcal{A}^{0}$	$\mathcal{A}^1_\mathcal{R}$	$\mathcal{A}^2_\mathcal{R}$
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$\mathcal{L}(\mathcal{A}^0) = \{f(a, b)\}$	$\mathcal{L}(\mathcal{A}^1) = \{ f(a, b), $	$\mathcal{L}(\mathcal{A}_{\mathcal{R}}^2) = \{f(a, b),$
	f(s(a), s(b))	f(s(a), s(b))
		$f(s(s(a)), s(s(b)))\}$

$$egin{array}{lll} s(s(q_{a})) &=_{E} & s(q_{a}) \ \downarrow^{*} & \mathcal{A}^{2}_{\mathcal{R}} & \mathcal{A}^{2}_{\mathcal{R}} & \downarrow^{*} \ q_{3} & q_{1} \end{array}$$
#### Equations for tree automata approximation

$$\mathcal{R} = \{f(x, y) \rightarrow f(s(x), s(y))\}$$
 and  $E = \{s(s(x)) = s(x)\}$ 

$\mathcal{A}^{0}$	$\mathcal{A}^1_\mathcal{R}$	$\mathcal{A}^2_\mathcal{R}$			
$f(q_a,q_b)  ightarrow q_0$	$f(q_1,q_2)  ightarrow q_0$	$f(q_3,q_4)  ightarrow q_0$			
$a  ightarrow q_a$	$s(q_{a})  ightarrow q_{1}$	$s(q_1)  ightarrow q_3$			
$b  ightarrow q_b$	$s(q_b)  ightarrow rac{q_2}{q_2}$	$s(q_2)  ightarrow q_4$			
$\mathcal{L}(\mathcal{A}^0) = \{f(a, b)\}$	$\mathcal{L}(\mathcal{A}^1) = \{ f(a, b), $	$\mathcal{L}(\mathcal{A}_{\mathcal{R}}^2) = \{f(a, b), \mid$			
	f(s(a), s(b))	$f(s(a), s(b))\}$			
		$f(s(s(a)), s(s(b)))\}$			
$s(s(q_a)) =_E s(q_a)$ $s(s(q_b)) =_E s(q_b)$					

$s(s(q_a)$	) =	<i>E S</i>	$(q_a)$	<b>s</b> (:	s(q <sub>b</sub> )	)	$=_E$	s(q
$\downarrow^*$	$\mathcal{A}^2_\mathcal{R}$	$\mathcal{A}_{\mathcal{R}}^2$	$\downarrow^*$		$\downarrow^*$	$\mathcal{A}_{\mathcal{R}}^2$	$\mathcal{A}_{1}^{2}$	$\frac{2}{R} \downarrow^*$
<b>q</b> 3			$q_1$		<b>q</b> 4			<b>q</b> 2

#### Equations for tree automata approximation

$$\mathcal{R} = \{f(x, y) \rightarrow f(s(x), s(y))\}$$
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$\mathcal{A}^{0}$	$\mathcal{A}^1_\mathcal{R}$	$\mathcal{A}^2_\mathcal{R}$			
$f(q_a,q_b)  ightarrow q_0$	$f(q_1,q_2)  ightarrow q_0$	$f(q_3,q_4)  ightarrow q_0$			
$a  ightarrow q_a$	$s(q_a)  ightarrow {m q_1}$	$s(q_1)  ightarrow {old q_3}$			
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$\mathcal{L}(\mathcal{A}^0) = \{f(a, b)\}$	$\mathcal{L}(\mathcal{A}^1) = \{ f(a, b), $	$\mathcal{L}(\mathcal{A}_{\mathcal{R}}^2) = \{f(a, b),$			
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		f(s(s(a)), s(s(b)))			
c(c(a)) = c(a) $c(c(a)) = c(a)$					

$s(s(q_a)$	$) =_{E}$	s(q <sub>a</sub> )	s(s(q <sub>b</sub> ))	$=_E$	$s(q_b)$
$\downarrow^*$	$\mathcal{A}^2_\mathcal{R}$ .	$\mathcal{A}^2_{\mathcal{R}} \downarrow^*$	↓* .	$\mathcal{A}^2_{\mathcal{R}} = \mathcal{A}^2_{\mathcal{R}}$	↓*
<b>q</b> 3	=	$q_1$	$q_4$	=	<b>q</b> 2

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$f(q_a,q_b)  ightarrow q_0$	$f(q_1,q_2)  ightarrow q_0$	$f(q_a,q_b)  ightarrow q_0$
$a  ightarrow q_a$	$s(q_a)  ightarrow oldsymbol{q_1}$	$s(q_1) \to q_1$
$b  ightarrow q_b$	$s(q_b)  ightarrow rac{q_2}{q_2}$	$s(q_2)  ightarrow q_2$
$\mathcal{L}(\mathcal{A}^0) = \{f(a, b)\}$	$\mathcal{L}(\mathcal{A}^1) = \{f(a, b),$	$\mathcal{L}(\mathcal{A}^2_\mathcal{R}) = \{f(s^*(a), s^*(b))\}$
	f(s(a), s(b))	

$s(s(q_a))$	$=_E$	$s(q_a)$	$s(s(q_b))$	$=_E$	$s(q_b)$
$\downarrow^*$	$\mathcal{A}^2_{\mathcal{R}}$ ,	$4^2_{\mathcal{R}} \downarrow^*$	↓* ,	$\mathcal{A}^2_{\mathcal{R}} = \mathcal{A}^2_{\mathcal{P}}$	₂ ↓*
<b>q</b> 3	=	$q_1$	$q_4$	=	<b>q</b> 2

The simplification relation  $\rightsquigarrow_E$  enjoys the following properties

• If  $\mathcal{A} \rightsquigarrow_E \mathcal{A}'$  then  $\mathcal{L}(\mathcal{A}) \subseteq \mathcal{L}(\mathcal{A}')$ 

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- Normal forms of  $\rightsquigarrow_E$  are unique, modulo isomorphism

The simplification relation  $\rightsquigarrow_E$  enjoys the following properties

- If  $\mathcal{A} \rightsquigarrow_{\mathcal{E}} \mathcal{A}'$  then  $\mathcal{L}(\mathcal{A}) \subseteq \mathcal{L}(\mathcal{A}')$
- $\rightsquigarrow_E$  terminates
- $\rightsquigarrow_E$  is locally confluent, modulo isomorphism
- Normal forms of  $\rightsquigarrow_E$  are unique, modulo isomorphism

 $\Rightarrow$  equations of *E* can be used in any order for  $\rightsquigarrow_{E}^{!}$ 

New completion algorithm : from  $\mathcal{A}_{\mathcal{R},\mathcal{E}}^{i}$  to  $\mathcal{A}_{\mathcal{R},\mathcal{E}}^{i+1}$ 

*i*-th Completion step



• Normalize  $r\sigma 
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$$\begin{array}{c|c} u\sigma & = & v\sigma \\ \hline & E & & \downarrow \\ \mathcal{A}_{\mathcal{R}}^{i+1}, \varphi \\ q_1 & q_2 \end{array}$$

- Rename  $q_2$  by  $q_1$  in  $\mathcal{A}_{\mathcal{R}}^{i+1}$
- Repeat until a fixpoint is reached

#### Theorems

#### Theorem (Upper bound)

Let  $\mathcal{R}$  be a left-linear TRS,  $\mathcal{A}$  be a tree automaton and  $\mathcal{E}$  be a set of linear equations. If completion terminates on  $\mathcal{A}^*_{\mathcal{R},\mathcal{E}}$  then  $\mathcal{L}(\mathcal{A}^*_{\mathcal{R},\mathcal{E}}) \supseteq \mathcal{R}^*(\mathcal{L}(\mathcal{A}))$ 

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 $\mathcal{L}(\mathcal{A}^*_{\mathcal{R},E}) \supseteq \mathcal{R}^*(\mathcal{L}(\mathcal{A}))$ 

#### Theorem (Lower bound)

Let  $\mathcal{R}$  be a left-linear TRS, E a set of linear equations and  $\mathcal{A}$  a  $\mathcal{R}/E$ -coherent tree automaton. For any  $i \in \mathbb{N}$ :

 $\mathcal{R}^*_{/E}(\mathcal{L}(\mathcal{A})) \supseteq \mathcal{L}(\mathcal{A}^i_{\mathcal{R},E})$ 

and  $\mathcal{A}^{i}_{\mathcal{R},E}$  is  $\mathcal{R}/E$ -coherent.

#### Outline

1 Term rewriting and reachability analysis

- 2 Regular model-checking of term rewriting systems
- 3 Defining abstractions for infinite non regular systems
- 4 Refining abstractions by hand using equations
- 5 Tools and applications
  - 6 Conclusion and further work

[Genet, Viet Triem Tong, Boichut, Boyer] (Around 13000 lines of Ocaml)

Timbuk provides

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Given a left-linear TRS  $\mathcal{R}$  and tree automata  $\mathcal{A}, \mathcal{B}$  :

$$extsf{checker}(\mathcal{A},\mathcal{R},\mathcal{B}) = extsf{true} \quad \Rightarrow \quad \mathcal{L}(\mathcal{B}) \supseteq \mathcal{R}^*(\mathcal{L}(\mathcal{A}))$$

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checker extracted from a Coq spec.

[Boyer, Genet, Jensen, 08]

Applications : Java bytecode verification

[Boichut, Genet, Jensen, Le Roux, 07]

 $\mathcal{R}^*(\mathcal{L}) \cap Bad = \emptyset$ 

• 
$$\mathcal{R} = \begin{vmatrix} A & Java & byte & code & program P \\ Java & Virtual & Machine & (JVM) & semantics \end{vmatrix}$$

•  $\mathcal{L}$ = Java Virtual Machine (JVM) initial state

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- $\mathcal{R} = \begin{vmatrix} A & Java & byte & code & program P \\ Java & Virtual & Machine & (JVM) & semantics \end{vmatrix}$
- $\mathcal{L}=$  Java Virtual Machine (JVM) initial state
- $\mathcal{R}^*(\mathcal{L})$  = all JVM states reachable while executing P
- Bad = set of forbidden states (e.g. bad control flow, data races, etc.)

Encoding JVM semantics and bytecode into rewriting

Copster tool

[Barré, Hubert, Le Roux, Genet]

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Encoding JVM semantics and bytecode into rewriting

Copster tool

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- Translates .class into a left-linear TRS
- Copster covers the following Java aspects :
  - Class and inheritance
  - Object allocation, initialization, access and modification of fields
  - Virtual method invocation
  - Integer, boolean, characters and string types
  - Basic arithmetic and comparisons
  - Basic standard library methods (strings, I/O)
  - Basic thread operations (creation, synchronization, join)

```
class T1 extends java.lang.Thread{
    private int l;
```

```
public T1(int l){this.l=l;}
```

```
public void run(){
  while (true){
    synchronized(Top.lock){
        System.out.println(Top.f);
        Top.f=l;
        System.out.println(Top.f);
        Top.f=0;
    }}}
```

```
class Top{
  public static Object lock;
  public static int f;
  public static void main(String[]
    int i=1;
    lock = new Object();
    Top.f=0;
    while (i<=2){
      T1 t1 = new T1(i++);
      t1.start();
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- One equation is enough : outstack(x,outstack(y,z))=z

## The RAVAJ Java verification chain

- RAVAJ is an ANR Project between LORIA (Nancy), LIFC (Besançon), France Telecom and IRISA
- Certified reachability analysis chain for Java bytecode programs



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- pprox Equations could be used on TT, and predicate abstraction on TRS

# Comparison with Static Analysis and Abstract Interpretation

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- + A unique checker for certifying all approximations

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  - Generate equations automatically (in some cases)
  - + In practice, strong restrictions on equations (syntactical/coherence)
- Other techniques based on rewriting
  - Limited to « regular » properties (e.g. no induction !)
  - + Simpler properties  $\Rightarrow$  needs less interaction
  - + No need for termination or confluence of the TRS

### To sum-up

From the initial (theoretical) idea of tree automata completion, we have shown that this technique

- O covers many regular classes of the litterature
- eals with automatic/guided approximations
- 3 is feasible in practice
- scales up to verify real software
- S can be certified using an external proof assistant

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  - Equation inference
- Within 3 years : certification of distant computation (a.k.a. result certification)

• Extend (word) lattice automata to trees

with T. Legall

• Improve automatic approximations for crypto. protocols

with Y. Boichut

#### • Other applications of $\mathcal{R}^*(\mathcal{L})$

- Checking transformations of SQL query
- Checking transformations of UML model
- Javascript programs verification

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$$\mathcal{R} = \{f(x, y) \to f(g(x), y), \\ f(x, y) \to f(x, h(y))\}$$

$$E = \{g(g(x)) = g(x), h(h(x)) = h(x)\}$$

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Thomas Genet (IRISA)

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In the tree automata we distinguish between

- Transitions  $f(q_1, \ldots, q_n) \rightarrow q$  recognizing « equivalence classes »
- Epsilon transitions  $q \xrightarrow{\epsilon} q'$  representing rewriting between classes

#### Definition $(\mathcal{R}/E$ -coherent automaton)

Let  $\mathcal{A} = \langle \mathcal{F}, \mathcal{Q}, \mathcal{Q}_f, \Delta \rangle$  be a tree automaton,  $\mathcal{R}$  a TRS and E a set of equations. The automaton  $\mathcal{A}$  is said to be  $\mathcal{R}/E$ -coherent if  $\forall q \in \mathcal{Q} : \exists s \in \mathcal{T}(\mathcal{F})$ :

$$s \rightarrow_{\mathcal{A}}^{\not < \ast} q \land [\forall t \in \mathcal{T}(\mathcal{F}) : (t \rightarrow_{\mathcal{A}}^{\not < \ast} q \Rightarrow s =_{E} t) \land (t \rightarrow_{\mathcal{A}}^{\ast} q \Rightarrow s \rightarrow_{\mathcal{R}/E}^{\ast} t)]$$

#### Benchmarks

va prog. 2 303 33 / 33
303 33 / 33
33 / 33
51 / 335
37387
511 / 672
303

# Applications : Java bytecode verification (II)

Proving safety properties on Java bytecode using reachability analysis

Java Source . java	Java Byte Code .class
<pre>class TestList{</pre>	
<pre>public static void main(String[] argv){</pre>	
List lpos=null;	public static void main(java.l
<pre>InvList lneg=null;</pre>	Code:
int x;	0: aconst_null
boolean pos;	1: astore_1
pos= true;	2: aconst_null
<pre>try {x=System.in.read()};}</pre>	3: astore_2
<pre>catch(java.io.IOException e){x=0;}</pre>	4: iconst_1
while (x != -1){	5: istore 4
<pre>if (pos) {lpos= new List(x, lpos);</pre>	7: getstatic #2; //
<pre>pos=false;}</pre>	10: invokevirtual #3; //
<pre>else {lneg= new InvList(x, lneg);</pre>	13: istore_3
<pre>pos=true;}</pre>	
<pre>try {x=System.in.read();}</pre>	47: new
<pre>catch(java.io.IOException e){x=0;}</pre>	50: dup
}	51: iload_3
3	

Encoding of an add bytecode

$$\mathsf{add}:\frac{(m,pc,x::y::s,l)}{(m,pc+1,x+y::s,l)}$$

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• Associate add bytecode to *m*, *pc* 

public static void foo(...)
...
11 : add

frame(foo,11,s,l) -> xframe(add,foo,11,s,l)

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```

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Pop x and y, start evaluation of (x + y)

xframe(add,m,pc,stack(y,stack(x,s)),l) -> xframe(xadd(x,y),m,pc,s,l)

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xframe(add,m,pc,stack(y,stack(x,s)),l) -> xframe(xadd(x,y),m,pc,s,l)

Outpute (x + y)
xadd(...) -> ...
... -> result(x)

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xframe(add,m,pc,stack(y,stack(x,s)),l) -> xframe(xadd(x,y),m,pc,s,l)

- Show Compute (x + y)
  xadd(...) -> ...
  ... -> result(x)
- Push the result on top of s and move to next pc xframe(result(x),m,pc,s,1) -> frame(m,next(pc),stack(x,s),1)

Thomas Genet (IRISA)