# A Call to Regularity

Moshe Y. Vardi\*

Rice University

\*Joint work with D. Calvanese, G. De Giacomo, and M. Lenzerini



## **Birth of Relational Databases**

#### A Short History of Databases:

- 1950s: Data scattered each user to herself.
- 1960s: Databases central data repository.
- 1970: E.F. Codd relational model
- Data stored in tables (relations)
- First-order logic used to query data
- *1970s*: Development of relational database systems, with SQL as query language.
- 1980s: Relational databases become dominant.

## **Database Query Languages**

- Standard database query languages (e.g., SQL 2.0) are essentially 1st-order.
- Aho and Ullman, 1979: 1st-order languages are weak; add *recursion*
- Gallaire and Minker, 1978: add recursion via *logic* programs
- SQL 3.0, 1999: recursion added

# A Theory of Database Query Language

- Chandra-Harel, 1979: A theory of computable relational queries.
- Chandra-Harel, 1980: Expressiveness and computational complexity of relational queries.

#### Expressiveness costs money!!!

- 1st-order queries: *LOGSPACE*
- Recursive queries: *PTIME*

### Datalog

Datalog: Chandra-Harel, 1982

- Function-free logic programs
- Existential, positive fixpoint logic
- Select-project-join-union-recur queries

**Example**: *Transitive Closure* 

Path(x, y) := Edge(x, y)Path(x, y) := Path(x, z), Path(z, y)

**Definition**: A program P is *bounded* if it is equivalent to a non-recursive program.

**Example**: Impressionable Shopper

Buys(x,y) :- Trendy(x), Buys(z,y)Buys(x,y) :- Likes(x,y)

## **Data Complexity**

#### **Definitions**:

- The stage function s<sub>P</sub>(n) of a program P is the least m such that P<sup>m</sup>(D) = P<sup>∞</sup>(D) for each D with at most n elements.
- A query Q is in STAGE(f(n)) if it is expressible by a program P such that  $s_P(n)$  is in O(f(n)).

Database complexity and computational complexity:

- $STAGE(polylog n) \subseteq NC$
- $STAGE(poly n) \subseteq PTIME$

Gap Theorem [Kanellakis, 1992]:

- P is bounded iff it defines a query in STAGE(1)
- P is unbounded iff it does not define a query in STAGE(f(n)), for f(n) in  $o(\log n)$ .

Gaifman, Mairson, Sagiv, V., 1987: Boundedness is undecidable.

# **Research Program - Study Boundary**

### Parameters:

- Number of derived predicates
- Arity of derived predicates
- Number of rules
- Nonlinear vs. linear (one recursive call per rule)
- I/O convention

GMSV: undecidability holds for linear programs with a single 4-ary derived predicate.

## **Binary Programs**

Binary programs: binary derived predicates.

**Theorem** [Hillebrand, Kanellakis, Mairson, V., 1995]: Boundedness is undecidable for programs with a single binary derived predicate.

**Proof**: Reduction from halting problem for Turing machines:

- $\Sigma$ : tape alphabet
- Base predicates:  $Zero(x), Succ(x, y), Q_a(x)$  for  $a \in \Sigma$
- Derived predicates: Fing(x,y)- pointers to corresponding positions in successive configurations

Cosmadakis, Gaifman, Kanellakis, V., 1988: Boundedness is decidable for unary programs.

### **Query Containment**

**Query Optimization**: Given Q, find Q' such that:

• 
$$Q \equiv Q'$$

 $\bullet~Q^\prime$  is "easier" than Q

**Query Containment**:  $Q_1 \sqsubseteq Q_2$  if  $Q_1(B) \subseteq Q_2(B)$  for all databases B.

**Fact**:  $Q \equiv Q'$  iff  $Q \sqsubseteq Q'$  and  $Q' \sqsubseteq Q$ 

**Consequence**: Query containment is a *key* database problem.

# **Query Containment**

Other applications:

- query reuse
- query reformulation
- information integration
- cooperative query answering
- integrity checking
- . . .

**Consequence**: Query containment is a *fundamental* database problem.

# **Decidability of Query Containment**

- *SQL*: undecidable
  - Folk Theorem
  - Poor theory and practice of optimization
- SPJU: decidable
  - Chandra&Merlin–1977, Sagiv&Yannakakis–1982
  - Rich theory and practice of optimization
- Datalog: undecidable
  - Shmueli-1977
  - Difficult theory and practice of optimization

**Unfortunately**, most decision problems involving Datalog are undecidable - almost no interesting, well-behaved fragments.

## **1990s: Back to Binary Relations**

### WWW:

- Nodes
- Edges
- Labels

*Semistructured Data*: WWW, SGML documents, library catalogs, XML documents, Meta data, ....

Formally:  $(D, E, \Lambda_+, \lambda)$ 

- D nodes
- $E \subseteq D^2$  edges
- $\Lambda_+$  labels
- $\lambda: E \to \Lambda_+$  labeling (alt., also node labels)

### **Path Queries**

Active Research Topic: What is the right query language for semistructured data?

Basic Element of all proposals: path queries

- Q(x,y) : -x L y
- L: formal language over labels

• 
$$a \cdot \underline{l_1} \cdots \underline{l_k} \cdot b$$

• Q(a,b) holds if  $l_1 \cdots l_k \in L$ 

**Example**: Regular Path Query  $Q(x, y) :- x (Wing \cdot Part^+ \cdot Nut) y$ 

### **Path-Query Containment**

$$Q_1(x,y) := x \ L_1 \ y$$
  
 $Q_2(x,y) := x \ L_2 \ y$ 

Language-Theoretic Lemma 1:  $Q_1 \sqsubseteq Q_2 \text{ iff } L_1 \subseteq L_2$ 

**Proof**: Consider a database  $a \cdot \underline{l_1} \cdots \underline{l_k} \cdot b$  with  $l_1 \cdots l_k \in L_1$ 

**Corollary**: Path-Query Containment is

- undecidable for context-free path queries
- decidable for regular path queries.

### **Regular Path Queries**

#### **Observations**:

- A fragment of Transitive-Closure Logic
- A fragment of binary Datalog

- Concatenation: 
$$E(x,y) := E_1(x,z), E_2(z,y)$$
  
- Union:  $E(x,y) := E_1(x,y)$   
 $E(x,y) := E_2(x,y)$   
- Transitive Closure:  $P(x,y) := E(x,z)$   
 $P(x,y) := E(x,z), E(z,y)$ 

#### **Consequence**:

- Data complexity: NLOGSPACE
- Expression complexity: *PTIME*

**Containment**: PSPACE-complete, via nondeterministic automata (Stockmeyer, 1973).

Language Containment – Upper Bound

**Lemma**:  $L(E_1) \subseteq L(E_2)$  iff  $L(E_1) - L(E_2)) = \emptyset$ 

Algorithm for checking whether  $L(E_1) \subseteq L(E_2)$ :

- 1. Construct NFAs  $A_i$  such that  $L(A_i) = L(E_i) linear blow-up$ .
- 2. Construct  $\overline{A_2}$  such that  $L(\overline{A_2}) = \Sigma^* L(A_2) exponential blow-up.$
- 3. Construct  $A = A_1 \times \overline{A_2}$  such that  $L(A) = L(E_1) L(E_2) quadratic blow-up$ .
- 4. Check if there is a path from start state to final state in A NLOGSPACE.

**Bottom Line:** *PSPACE* 

### **Two-Way RPQs**

**Extended Alphabet**:  $\Lambda_{-} = \{a_{-} : a \in \Lambda_{+}\}$  $\Lambda = \Lambda_{+} \cup \Lambda_{-}$ 

#### **Inverse Roles:**

Part(x, y): y part of x  $Part_{-}(x, y)$ : x part of y

#### **Example:** Step Siblings

 $\begin{array}{lll} Q(x,y) &: - \\ x & [(father_{-} \cdot father) + (mother_{-} \cdot mother)]^{+} & y \end{array}$ 

Containment: Two-way nondeterministic automata

- Hopcroft and Ullman, 1979: 2DFA
- Hopcroft, Motwani and Ullman, 2000: ???

### 2NFA

- $A = (\Sigma, S, S_0, \rho, F)$
- $\Sigma$  finite alphabet
- S finite state set
- $S_0 \subseteq S$  initial states
- $F \subseteq S$  final states
- $\rho: S \times \Sigma \to 2^{S \times \{-1,0,+1\}}$  transition function

**Theorem**: Rabin&Scott, Shepherdson, 1959  $2NFA \equiv 1NFA$ 

## **2RPQ Containment**

### Difficulties:

- 2NFA  $\rightarrow$  1NFA: exponential blow-up
  - Consequence: Doubly exponential complementation
- Difference between query and language containment
  - $\begin{array}{ll} \ Q_1(x,y) & :- \ x \ Parent \ y \\ Q_2(x,y) & :- \ x \ Parent \cdot Parent_- \cdot Parent \ y \end{array}$
  - $Q_1 \sqsubseteq Q_2$  but  $L(Parent) \not\subseteq L(Parent \cdot Parent_- \cdot Parent)$

#### Back to Basics: $2NFA \rightarrow 1NFA$

Theorem: Vardi, 1988

Let  $A=(\Sigma,S,S_0,\rho,F)$  be a 2NFA. There is a 1NFA  $A^c$  such that

- $L(A^c) = \Sigma^* L(A)$
- $||A^c|| \in 2^{O(||A||)}$

**Proof**: Guess a subset-sequence counterexample  $a_0 \cdots a_{k-1} \not\in L(A)$  iff there is a sequence  $T_0, T_1, \cdots, T_k$  of subsets of S such that

1. 
$$S_0 \subseteq T_0$$
 and  $T_k \cap F = \emptyset$ .

- 2. If  $s \in T_i$  and  $(t, +1) \in \rho(s, a_i)$ , then  $t \in T_{i+1}$ , for  $0 \le i < k$ .
- 3. If  $s \in T_i$  and  $(t,0) \in \rho(s,a_i)$ , then  $t \in T_i$ , for  $0 \le i < k$ .
- 4. If  $s \in T_i$  and  $(t, -1) \in \rho(s, a_i)$ , then  $t \in T_{i-1}$ , for  $0 < i \le k$ .

### **Foldings**

**Definition**: Let  $u, v \in \Lambda^*$ . We say that v folds onto u, denoted  $v \rightsquigarrow u$ , if v can be "folded" on u, e.g.,

 $abb\_bc \rightsquigarrow abc.$ 

Pictorially,  $\xrightarrow{a} \cdot \xrightarrow{b} \cdot \xleftarrow{b} \cdot \xrightarrow{b} \cdot \xrightarrow{c} \rightsquigarrow \xrightarrow{a} \cdot \xrightarrow{b} \cdot \xrightarrow{c}$ 

**Definition**: Let *E* be an RE over  $\Lambda$ . Then  $fold(E) = \{v : u \rightsquigarrow v, u \in L(E)\}.$ 

Language-Theoretic Lemma 2:

Let  $Q_1(x, y) := x E_1 y$   $Q_2(x, y) := x E_2 y$ be 2RPQs. Then  $Q_1 \sqsubseteq Q_2$  iff  $L(E_1) \subseteq fold(E_2)$ .

### **2RPQ containment**

**Theorem**: Let E be an RE over  $\Lambda$ . There is a 2NFA  $\tilde{A}_E$  such that

- $L(\tilde{A}_E) = fold(E)$
- $||\tilde{A}_E|| \in O(||E||)$

Containment  $Q_1(x, y) := x E_1 y$  $Q_2(x, y) := x E_2 y$ 

TFAE

- $Q_1 \sqsubseteq Q_2$
- $L(E_1) \subseteq fold(E_2)$ .
- $L(E_1) \subseteq L(\tilde{A}_{E_2}).$
- $L(E_1) \cap L(\tilde{A}_{E_2}^c) = \emptyset$
- $L(A_{E_1} \times \tilde{A}_{E_2}^c) = \emptyset$

**Bottom-line**: 2RPQ containment is PSPACEcomplete.

## **View-Based Query Processing**

- Global database: B over  $\Lambda_+$
- Views:  $\{V_1, \ldots, V_n\}$ ,  $V_i$  is a query
- View extensions:  $\{\mathcal{E}_1, \ldots, \mathcal{E}_n\}$ ,  $\mathcal{E}_i \subseteq V_i(B)$
- Global query Q over  $\Lambda$
- Local query over  $V_1, \ldots, V_n$

### **Query Processing**

- 1. View-based query answering: approximate Q(B) using view-extension information.
- 2. *View-based query rewriting*: approximate global query by a local query based on view definitions
- 3. View-based query losslessness: Compare global query with its view-based approximation.
- 4. *View-based query containment*: Compare viewbased approximations of two global queries.

### **View-Based Query Rewriting**

- Global database: B over  $\Lambda_+$
- Views:  $\{V_1, \ldots, V_n\}$ ,  $V_i$  is a query
- View extensions:  $\{\mathcal{E}_1, \ldots, \mathcal{E}_n\}$ ,  $\mathcal{E}_i \subseteq V_i(B)$
- Global query Q over  $\Lambda$
- Local query over  $V_1, \ldots, V_n$

#### **Query Rewriting**

$$\Delta_{+} = \{v_1, \dots, v_n\}$$
$$\Delta = \Delta_{+} \cup \Delta_{-}$$

- Find regular expression  $\mathcal{E}$  over  $\Delta$  such that  $\mathcal{E}[v_i \mapsto V_i, v_{i,-} \mapsto rev(V_i)] \sqsubseteq Q.$ 
  - $rev(v) = v_{-}, rev(v_{-} = v), rev(e_1 + e_2) = rev(e_1) + rev(e_2), rev(e_1; e_2) = rev(e_2); rev(e_1), rev(e^*) = rev(e)^*$
- Find maximal such  $\mathcal{E}$ .

**Example**: Q = abcd,  $V_1 = ab$ ,  $V_2 = cd$ :  $Q = V_1V_2$ 

#### **Counterexample Method**

**Candidate Rewriting**:  $w = a_1 \dots a_k \in \Delta^k$ 

- w is a bad rewriting if  $w[v_i \mapsto V_i, v_{i,-} \mapsto rev(V_i)] \not\sqsubseteq Q.$
- w is a *bad* rewriting if there are *witnesses*  $w_1, \ldots, w_k \in \Lambda^*$  such that  $w_1 \ldots w_k \not\sqsubseteq L(Q)$ , where

$$- w_i \in L(V_j) \text{ if } a_i = v_j.$$
  
$$- w_i \in L(rev(V_j)) \text{ if } a_i = v_{j,-}.$$

•  $a_1w_1 \dots a_kw_k$ : counterexample word

**Example**: Q = abcd,  $V_1 = ab$ ,  $V_2 = cd$ 

•  $v_1v_1$ : bad rewriting,  $v_1v_2$ : good rewriting

• 
$$w_1 = ab$$
,  $w_2 = ab$ : witnesses

•  $v_1w_1v_1w_2$ : counterexample word

### **Regular Counterexamples**

**Counterexample Word**:  $a_1w_1 \dots a_kw_k$ 

1. 
$$w_i \in L(V_j)$$
 if  $a_i = v_j$ .

- 2.  $w_i \in L(rev(V_j))$  if  $a_i = v_{j,-}$ .
- 3.  $w_1 \ldots w_k \not\sqsubseteq L(Q)$

#### Checking counterexample words with 2NFA:

- Check (1) and (2) with 2NFA for  $V_j$
- Use folding technique to construct 2NFA to check  $w_1 \dots w_k \sqsubseteq L(Q)$  and then complement.

#### Complexity: exponential

## From Counterexamples to Rewritings

### **Constructing Good Rewritings**

- 1. Construct 1NFA  $A_1$  for counterexample words *(exponential)*.
- 2. Project out witness words to get 1NFA  $A_2$  for bad rewritings  $(a_1w_1 \dots a_kw_k \mapsto a_1 \dots a_k)$  (*linear*).
- 3. Complement  $A_2$  to get 1NFA  $A_3$  for good rewritings *(exponential)*.

#### Theorem:

- Construction yields maximal rewriting (represented by a 1DFA).
- Doubly expoential complexity is optimal.
- Checking whether the rewriting is equivalent to Q is 2EXPSPACE-complete.

## **Conjunctive Queries**

**Conjunctive Query**: Existential, conjunctive, positive first-order logic, i.e., first-order logic without  $\forall, \lor, \neg$ ; written as a rule

 $Q(x_1, \ldots, x_n) := R_1(x_3, y_2, x_4), \ldots, R_k(x_2, y_3)$ 

### Significance:

- Most common SQL queries (*Select-Project-Join*)
- Core of Datalog

### **Example:**

Triangle(x, y, z) : - Edge(x, y), Edge(y, z), Edge(z, x)

## **Conjunctive Query Containment**

#### **Canonical Database** $B^Q$ :

- Each variable in Q is a distinct element
- Each subgoal  $R(x_3, y_2, x_4)$  of Q gives rise to a tuple  $R(x_3, y_2, x_4)$  in  $B^Q$

**Fact:** (Chandra and Merlin, 1977) For conjunctive queries  $Q_1$  and  $Q_2$ , TFAE:

- The containment  $Q_1 \sqsubseteq Q_2$  holds
- There is a homomorphism  $h: B^{Q_2} \to B^{Q_1}$  that is the identity on distinguished variables.

## **Conjunctive 2RPQ**

**C2RPQ**: Core of all semistructured query languages  $Q(x_1, \ldots, x_n) := -y_1 E_1 z_1, \ldots, y_m E_m z_m$ 

•  $E_i - 2RPQ$ 

#### Intuition:

- C2RPQs are obtained from CQ by replacing atoms with REs over  $\Lambda.$
- C2RPQs are Select-Project-"Regular Join" queries.

#### **Example:**

$$Q(x,y) := z \quad (Wing \cdot Part^+ \cdot Nut) \quad x,$$
  
 $z \quad (Wing \cdot Part^+ \cdot Nut) \quad y$ 

# **C2RPQ Containment**

**Difficulty**: Earlier techniques do not apply

- No canonical database
- No language-theoretic lemma

**Solution**: Combine and extend earlier ideas

- Infinite family of canonical databases
  - Each variable in Q is a distinct element
  - Each subgoal  $y_i E_i z_i$  of Q is replaced by a simple path labeled by a word in  $L(E_i)$ .
- Represent canonical databases as words over a larger alphabet
- Develop automata-theoretic characterization of C2RPQ containment.

**Bottom-line**: C2RPQ containment is EXPSPACE-complete.

# In Conclusion

### **Regular queries**:

- A rich but well-behaved fragment of Datalog
- Of special interest for semistructured data
- Beautiful application of classical formal-language theory
- Novel theory of regular paths in labeled graphs

**Research Question**: What is the ultimate class of regular queries?

- RPQs
- 2RPQs
- C2RPQs
- UC2RPQs
- . . .