

# Flat Counter Automata Almost Everywhere!\*

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**Abstract.** This paper argues that flatness appears as a central notion in the verification of counter automata. A counter automaton is called flat when its control graph can be “replaced”, equivalently w.r.t. reachability, by another one with no nested loops. From a practical view point, we show that flatness is a necessary and sufficient condition for termination of accelerated symbolic model checking, a generic semi-algorithmic technique implemented in successful tools like FAST, LASH or TREX. From a theoretical view point, we prove that many known semilinear subclasses of counter automata are flat: reversal bounded counter machines, lossy vector addition systems with states, reversible Petri nets, persistent and conflict-free Petri nets, etc. Hence, for these subclasses, the semilinear reachability set can be computed using a *uniform* accelerated symbolic procedure (whereas previous algorithms were specifically designed for each subclass).

## 1 Introduction

*Petri nets* and *counter automata* are widely used formalisms to model concurrent distributed systems. Basically, a counter automaton is a finite-state automaton extended with counters that hold nonnegative integer values. Operations on counters can be defined by formulas in Presburger arithmetic. As the counters are unbounded, counter automata are naturally *infinite-state* systems.

Various formalisms have been proposed to model desired properties on systems. In this work, we only consider *safety* properties: these properties (of the original system) may often be expressed by *reachability properties* on the model.

Reachability properties are algorithmically checkable for *finite-state* systems (and efficient implementations exist). However, the situation is more complex for *infinite-state* systems: the reachability problem is undecidable even for restricted classes of systems, such as Minsky machines [Min67].

*Dedicated algorithms for counter automata.* Many specialized algorithms have been designed to solve verification problems for various classes of counter automata. The reachability problem for Petri nets has been proved decidable [May84, Kos82]. The binary reachability relation is effectively semilinear for reversible Petri nets [Tai68] and for BPP-nets [Esp97], and the reachability set post\* is effectively semilinear for cyclic Petri

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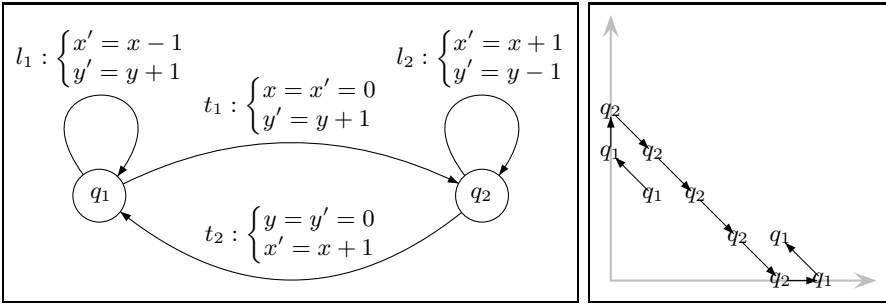


Fig. 1. A non-flat counter automaton

nets [AK77], for persistent Petri nets [LR78, May81] and for regular Petri nets [VVN81]. The reachability sets  $\text{post}^*$  and  $\text{pre}^*$  are effectively semilinear for reversal-bounded counter machines [Iba78], for lossy VASS [BM99] and for 2-dimensional VASS [HP79]. It was later shown that  $\text{post}^*/\text{pre}^*$  are still effectively semilinear for various extensions of 2-dim VASS [FS00b, FS00a]. However, these methods suffer from serious drawbacks: (1) they cannot be easily extended or combined, (2) from an implementation perspective, a dedicated tool would be needed for each specialized algorithm, and (3) in practice, counter automata rarely belong entirely to one of these semilinear classes. Thus, generic symbolic model-checking techniques for general (undecidable) classes have been recently developed and implemented.

*Accelerated symbolic model-checking.* Verification of reachability properties usually proceeds through an iterative fixpoint computation of the *forward reachability set*  $\text{post}^*$  (resp. *backward reachability set*  $\text{pre}^*$ ), starting from the initial states (resp. from the error states). When the state space is infinite, finite *symbolic representations* for sets of states are required. To help termination of this fixpoint computation, so-called *acceleration* techniques (or *meta-transitions*) are applied [BW94, BGW97, BH99, FIS03, FL02]. Basically, acceleration consists in computing in one step the effect of iterating a given loop (of the control flow graph). Accelerated symbolic model checkers such as LASH [Las], TREX [ABS01], and FAST [BFLP03] implement this approach.

Even though it behaves well in practice, accelerated symbolic model-checking is only a *semi*-algorithm: it does not provide any guarantee of termination. For instance, iteration of loops is not sufficient to compute the whole semilinear reachability set of the counter automata depicted in figure 1, with initial state  $(q_1, (0, 0))$  (see Examples 2.4 and 4.5). Thus, we would like to combine the best of both approaches, by integrating, for each known semilinear class, the dedicated algorithm’s technology into improved acceleration techniques that would ensure termination of the generic accelerated semi-algorithm for this class. A first step towards this objective consists in characterizing the classes for which the generic accelerated semi-algorithm fails to terminate.

*Our contribution.* In this work, we investigate termination of accelerated symbolic model-checking for known semilinear classes of counter automata. A natural notion in

this framework is *flatness* [FO97, CJ98]: a counter automaton  $S$  is called *flat*<sup>1</sup> when its control graph can be “replaced”, equivalently w.r.t. reachability, by another one with no nested loops. We show that (global) flatness is a necessary and sufficient condition for termination of (binary) reachability set computations by acceleration-based semi-algorithms. In particular, we get that accelerated symbolic model checkers terminate on a given system iff this system is flat (and a suitable search strategy is used).

We then turn our attention to the analysis of flatness for known semilinear classes of counter automata. We show that most of the known semilinear classes of counter automata (in particular the ones cited above) are flat. Our main technical contributions are the proofs of flatness for the following classes: reversal-bounded counter machines, reversible Petri nets and conflict-free Petri nets. In particular, we obtain that the binary reachability relation is effectively semilinear of conflict-free Petri nets. We also show that cyclic Petri nets, persistent Petri nets, regular Petri nets and Lossy/Inserting counter machines are flat, and we recall that BPP-nets and 2-dim VASS are flat. As flatness implies effective semilinearity of the forward/binary reachability set, our results give new “uniform” proofs that these classes are semilinear. In particular, we obtain a simpler semilinearity proofs for reversal-bounded counter machines and reversible Petri nets.

It is also remarkable that accelerated symbolic model checkers designed to analyse counter automata, such as LASH and FAST, terminate on all these classes. From a practical viewpoint, our approach has several benefits: (1) we can apply a *generic* algorithm, which was designed for a much larger class of (undecidable) systems, and (2) the — forward, backward and binary — reachability sets can be computed using the same generic algorithm.

*Outline.* The paper is organized as follows. Section 2 presents general counter automata. We introduce the notion of flatness in Section 3 and we show that flatness is a necessary and sufficient condition for termination of accelerated symbolic model-checking. In the last two sections, we show that many known semilinear restricted classes of counter automata are flat: Section 4 deals with classes of counter machines, and Section 5 deals with classes of Petri nets.

*Proofs.* Some proofs had to be omitted due to space constraints. A self-contained long version of this paper (with detailed proofs for all results) can be obtained from the authors.

## 2 General Counter Automata

This section is devoted to the presentation of general counter automata. We will consider in section 4 a more effective subclass of counter automata based on guarded commands. We first give basic definitions and notations that will be used throughout the paper.

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<sup>1</sup> Our notion of flatness is actually more general than in [CJ98]: there, a system is called flat when it contains no nested loops.

### 2.1 Numbers, Vectors, Relations

Let  $\mathbb{Z}$  (resp.  $\mathbb{N}$ ,  $\mathbb{Z}^-$ ,  $\mathbb{Q}$ ,  $\mathbb{Q}_+$ ) denotes the set of *integers* (resp. *nonnegative integers*, *nonpositive integers*, *rational numbers*, *nonnegative rational numbers*). We denote by  $\leq$  the *usual total order on  $\mathbb{Q}$* . Given  $k, l \in \mathbb{N}$ , we write  $[k .. l]$  (resp.  $[k .. \infty)$ ) for the *interval of integers*  $\{i \in \mathbb{N} / k \leq i \leq l\}$  (resp.  $\{i \in \mathbb{N} / k \leq i\}$ ). We write  $|X|$  the *cardinal* of any finite set  $X$ .

Given a set  $X$  and  $n \in \mathbb{N}$ , we write  $X^n$  for the set of  $n$ -dim *vectors*  $x$  of elements in  $X$ . For any index  $i \in [1 .. n]$ , we denote by  $x[i]$  the  $i^{th}$  *component* of an  $n$ -dim vector  $x$ .

We now focus on  $n$ -dim vectors of (integer or rational) numbers. We write  $0$  for the *all zero vector*:  $0[i] = 0$  for all  $i \in [1 .. n]$ . We also denote by  $\leq$  the *usual partial order on  $\mathbb{Q}^n$* , defined by  $x \leq y$  if for all  $i \in [1 .. n]$  we have  $x[i] \leq y[i]$ .

Operations on  $n$ -dim vectors are componentwise extensions of their scalar counterpart (e.g. for  $x, x' \in \mathbb{Q}^n$ ,  $x + x'$  is the vector  $y \in \mathbb{Q}^n$  defined by  $y[i] = x[i] + x'[i]$  for all  $i \in [1 .. n]$ ). For  $\alpha \in \mathbb{Q}$  and  $x \in \mathbb{Q}^n$ ,  $\alpha x$  is the vector  $y \in \mathbb{Q}^n$  defined by  $y[i] = \alpha x[i]$  for all  $i \in [1 .. n]$ .

These operations are classically extended on sets of  $n$ -dim vectors (e.g. for  $P, P' \subseteq \mathbb{Q}^n$ ,  $P + P' = \{p + p' / p \in P, p' \in P'\}$ ). Moreover, in an operation involving sets of  $n$ -dim vectors, we shortly write  $x$  for the singleton  $\{x\}$  (e.g. for  $P \subseteq \mathbb{Q}^n$  and  $x \in \mathbb{Q}^n$ , we write  $x + P$  for  $\{x\} + P$ ).

A *binary relation*  $R$  on some set  $X$  is any subset of  $X \times X$ . We shortly write  $x R x'$  whenever  $(x, x') \in R$ . Given a set  $Y$ , we denote by  $R[Y]$  the *relational image* of  $Y$  by  $R$ , defined by  $R[Y] = \{x \in X / \exists y \in Y, y R x\}$ . The *inverse* of a binary relation  $R$  on  $X$  is the binary relation  $R^{-1}$  on  $X$  defined by  $x R^{-1} x'$  iff  $x' R x$ . We say  $R$  is *symmetric* if  $R = R^{-1}$ . Given two binary relations  $R_1, R_2$  on  $X$ , the *composed binary relation*  $R_1 \cdot R_2$  on  $X$  is defined by  $x (R_1 \cdot R_2) x'$  if we have  $x R_1 y$  and  $y R_2 x'$  for some  $y \in X$ . We denote by  $R^*$  the *reflexive and transitive closure* of  $R$ . The *identity relation on  $X$*  is the binary relation  $Id_X = \{(x, x) / x \in X\}$ . In the rest of the paper, we will only consider binary relations, and they will shortly be called *relations*.

### 2.2 Presburger Arithmetic and Semilinear Sets

*Presburger arithmetic* (the first order additive theory over the integers  $\langle \mathbb{Z}, +, \leq \rangle$ ) is a decidable logic used in a large range of applications. As described in [Lat04], this logic is central in many areas including integer programming problems, compiler optimization techniques, program analysis tools and model-checking.

Presburger-definable subsets of  $\mathbb{Z}^n$  may also be represented in terms of *semilinear sets* [GS66]. For any subset  $P \subseteq \mathbb{Z}^n$ , we denote by  $P^*$  the set of all (finite) linear combinations of vectors in  $P$ :

$$P^* = \left\{ \sum_{i=0}^k c_i p_i / k, c_0, \dots, c_k \in \mathbb{N} \text{ and } p_0, \dots, p_k \in P \right\}$$

A subset  $S \subseteq \mathbb{Z}^n$  is said to be a *linear set* if  $S = (x + P^*)$  for some  $x \in \mathbb{Z}^n$  and for some finite subset  $P \subseteq \mathbb{Z}^n$ ; moreover  $x$  is called the *basis* and vectors in  $P$  are called

periods. A *semilinear set* is any finite union of linear sets. Let us recall that semilinear sets are precisely the subsets of  $\mathbb{Z}^n$  that are definable in Presburger arithmetic [GS66].

Observe that any finite non empty set  $Q$  can be “encoded” using a bijection  $\eta$  from  $Q$  to  $[1 .. |Q|]$ . Thus, these semilinearity notions and Presburger-definability notions naturally carry<sup>2</sup> over subsets of  $Q \times \mathbb{Z}^n$  and over relations on  $Q \times \mathbb{Z}^n$ .

### 2.3 Counter Automata

**Definition 2.1.** A  $n$ -dim counter automaton  $\mathcal{S}$  (counter automaton for short), is defined as a tuple  $\mathcal{S} = (Q, T, \alpha, \beta, (G_t)_{t \in T})$ , where  $Q$  is a finite non empty set of locations,  $T$  is a finite non empty set of transitions,  $\alpha : T \rightarrow Q$  and  $\beta : T \rightarrow Q$  are the source and target mappings, and  $(G_t)_{t \in T}$  is a family of binary relations on  $\mathbb{N}^n$  called flow guards.

An  $n$ -dim counter automaton is basically a finite graph whose edges are labeled by relations over  $n$ -dim vector of integers. Each component  $i \in [1 .. n]$  corresponds to a counter ranging over  $\mathbb{N}$ . Operationally, control flows from one location to another along transitions, and counters simultaneously change values according to the transition’s flow guard.

Formally, let  $\mathcal{S} = (Q, T, \alpha, \beta, (G_t)_{t \in T})$  be a  $n$ -dim counter automaton. The set of configuration  $\mathcal{C}_{\mathcal{S}}$  of  $\mathcal{S}$  is  $Q \times \mathbb{N}^n$ , and the semantics of each transition  $t \in T$  is given by the action reachability relation  $\mathcal{R}_{\mathcal{S}}(t)$  over  $\mathcal{C}_{\mathcal{S}}$  defined by:

$$(q, x) \mathcal{R}_{\mathcal{S}}(t) (q', x') \quad \text{if} \quad q = \alpha(t) \quad \text{and} \quad q' = \beta(t) \quad \text{and} \quad x G_t x'$$

**Definition 2.2.** An initialized  $n$ -dim counter automaton  $(\mathcal{S}, I)$  is a tuple such that  $\mathcal{S}$  is an  $n$ -dim counter automaton and  $I \subseteq \mathcal{C}_{\mathcal{S}}$ .

We write  $T^+$  for the set of all *non empty words*  $t_0 \cdot \dots \cdot t_k$  with  $t_i \in T$ , and  $\varepsilon$  denotes the *empty word*. The set  $T^+ \cup \{\varepsilon\}$  of all *words*  $\pi$  over  $T$  is denoted by  $T^*$ . For any word  $\pi \in T^*$  and for any  $t \in T$ , we let  $|\pi|_t$  denote the number of occurrences of  $t$  in  $\pi$ . Flow guards and transition reachability relations are naturally extended to words:

$$\begin{cases} G_{\varepsilon} = Id_{\mathbb{N}^n} \\ G_{\pi \cdot t} = G_{\pi} \cdot G_t \end{cases} \quad \begin{cases} \mathcal{R}_{\mathcal{S}}(\varepsilon) = Id_{\mathcal{C}_{\mathcal{S}}} \\ \mathcal{R}_{\mathcal{S}}(\pi \cdot t) = \mathcal{R}_{\mathcal{S}}(\pi) \cdot \mathcal{R}_{\mathcal{S}}(t) \end{cases}$$

A *language* over  $T$  is any subset  $L$  of  $T^*$ . We also extend flow guards and reachability relations to languages :  $G_L = \bigcup_{\pi \in L} G_{\pi}$  and  $\mathcal{R}_{\mathcal{S}}(L) = \bigcup_{\pi \in L} \mathcal{R}_{\mathcal{S}}(\pi)$ . For any language  $L \subseteq T^*$  and for any set of configurations  $I \subseteq \mathcal{C}_{\mathcal{S}}$ , we respectively denote by  $\text{post}_{\mathcal{S}}(L, I)$  and by  $\text{pre}_{\mathcal{S}}(L, I)$  the set of *successor* configurations  $(\mathcal{R}_{\mathcal{S}}(L))[I]$  and the set of *predecessor* configurations  $(\mathcal{R}_{\mathcal{S}}(L))^{-1}[I]$ .

**Definition 2.3.** Given a counter automaton  $\mathcal{S}$ , the one-step reachability relation of  $\mathcal{S}$  is the relation  $\mathcal{R}_{\mathcal{S}}(T)$ , shortly written  $\mathcal{R}_{\mathcal{S}}$ . The global reachability relation of  $\mathcal{S}$  is the relation  $\mathcal{R}_{\mathcal{S}}(T^*)$ , shortly written  $\mathcal{R}_{\mathcal{S}}^*$ . Given a subset  $I \subseteq \mathcal{C}_{\mathcal{S}}$ , the sets  $\text{post}_{\mathcal{S}}(T^*, I)$ , shortly written  $\text{post}_{\mathcal{S}}^*(I)$ , and  $\text{pre}_{\mathcal{S}}(T^*, I)$ , shortly written  $\text{pre}_{\mathcal{S}}^*(I)$ , are respectively called the forward reachability set of  $(\mathcal{S}, I)$  and the backward reachability set of  $(\mathcal{S}, I)$ .

<sup>2</sup> Obviously, the extension of these notions does not depend on the “encoding”  $\eta$ .

Remark that the global reachability relation is the reflexive and transitive closure of the one-step reachability relation. A *reachability subrelation* is any relation  $R \subseteq \mathcal{R}_S^*$ . For the reader familiar with transition systems, the operational semantics of  $\mathcal{S}$  can be viewed as the infinite-state transition system  $(\mathcal{C}_S, \mathcal{R}_S)$ .

The *inverse counter automaton*  $\mathcal{S}^{-1}$  of a counter automaton  $\mathcal{S}$  is obtained from  $\mathcal{S}$  by replacing the flow guards  $G_t$  with their inverse  $G_t^{-1}$ . As  $\text{pre}_S(L, I) = \text{post}_{S^{-1}}(L, I)$  for every  $L \subseteq T^*$  and  $I \subseteq \mathcal{C}_S$ , we restrict our attention (without loss of generality) to the *global reachability relation* and the *forward reachability set* (shortly called *reachability set* from now on).

Consider two locations  $q$  and  $q'$  in a system  $\mathcal{S}$ . A word  $\pi \in T^*$  is called a *path from  $q$  to  $q'$*  if either (1)  $\pi = \varepsilon$  and  $q = q'$ , or (2)  $\pi = t_0 \cdots t_k$  with  $k \in \mathbb{N}$  and satisfies:  $q = \alpha(t_0)$ ,  $q' = \beta(t_k)$  and  $\beta(t_{i-1}) = \alpha(t_i)$  for every  $i \in [1..k]$ . A path from  $q$  to  $q$  is called a *loop on  $q$* , or shortly a *loop*. We denote by  $\Pi_S(q, q')$  the set of all paths from  $q$  to  $q'$  in  $\mathcal{S}$ . The set  $\bigcup_{q, q' \in Q} \Pi_S(q, q')$  of all *paths* in  $\mathcal{S}$  is written  $\Pi_S$ . A *trace* of an initialized counter automaton  $(\mathcal{S}, I)$  is any word  $\pi \in T^*$  such that  $\text{post}(\pi, I) \neq \emptyset$ . Note that every trace is a path, but the converse is not true.

*Notation.* In the following, we will simply write  $\mathcal{R}$  (resp.  $\text{post}$ ,  $\Pi$ ,  $\mathcal{C}$ ) instead of  $\mathcal{R}_S$  (resp.  $\text{post}_S$ ,  $\Pi_S$ ,  $\mathcal{C}_S$ ), when the underlying counter automaton is unambiguous. We will also sometimes write  $\rightarrow$  (resp.  $\xrightarrow{\sigma}$ ,  $\xrightarrow{L}$ ,  $\xrightarrow{*}$ ) instead of  $\mathcal{R}$  (resp.  $\mathcal{R}(\sigma)$ ,  $\mathcal{R}(L)$ ,  $\mathcal{R}^*$ ).

*Example 2.4.* Consider the 2-dim counter automaton  $\mathcal{E}$  depicted in figure 1. Counters are denoted by  $x$  and  $y$  and flow guards are given by predicates over  $x$ ,  $y$ ,  $x'$ , and  $y'$  (with an implicit conjunction between equalities). Intuitively, the loop  $l_1$  on location  $q_1$  transfers the contents of the first counter into the second counter, while the loop  $l_2$  on location  $q_2$  does the converse. *Intermediate locations* along  $(q_1, (1, 2)) \xrightarrow{l_1 t_1 l_2^4 t_2 l_1} (q_1, (4, 1))$  are also depicted above. This counter automaton exhibits a simple global reachability relation, since it is readily seen that  $(q_1, (x, y)) \xrightarrow{*} (q_1, (x', y'))$  if and only if:  $(x' + y') - (x + y)$  is even, and  $x' + y' = x + y$  implies  $x' \leq x$ . Relation  $(q_2, (x, y)) \xrightarrow{*} (q_2, (x', y'))$  is similar, and thus we obtain, by composition with relations  $\mathcal{R}_{\mathcal{E}}(t_1)$  and  $\mathcal{R}_{\mathcal{E}}(t_2)$ , that  $\mathcal{E}$  has a semilinear global reachability relation.  $\square$

### 3 Flatness as a Criterion for Acceleration Completeness

We now investigate termination of accelerated symbolic reachability computations on counter automata. An important concept used in this paper is that of *semilinear path scheme (SLPS)* [LS04].

**Definition 3.1.** [LS04] A linear path scheme (LPS for short) for a counter automaton  $\mathcal{S}$  is any language  $\rho \subseteq \Pi_S$  of the form  $\rho = \sigma_0 \theta_1^* \sigma_1 \cdots \theta_k^* \sigma_k$  where  $\sigma_0, \theta_1, \sigma_1, \dots, \theta_k, \sigma_k$  are words. A semilinear regular path scheme (SLPS for short) is any finite union of LPS.

**Definition 3.2.** A counter automaton  $\mathcal{S}$  (resp. initialized counter automaton  $(\mathcal{S}, I)$ ) is called globally flat (resp. flat) if there exists an SLPS  $\rho$  for  $\mathcal{S}$  satisfying  $\mathcal{R}^* = \mathcal{R}(\rho)$  (resp.  $\text{post}^*(I) = \text{post}(\rho, I)$ ).

This *flatness* condition may seem to be a very restrictive property. However, we will later prove that most of the known semilinear classes of counter automata are in fact flat. The following lemma follows from Lemma 4.1 in [LS04], and it will be crucial to prove flatness for several classes of counter automata. Observe that this lemma is not a (direct) consequence of Parikh’s Theorem, since we require the SLPS  $\rho$  to be a subset of the considered regular language  $L$ . Recall that, assuming a linear order  $T = \{t_1, \dots, t_m\}$  on  $T$ , the *Parikh map*  $\Psi$  is the total mapping from  $T^*$  to  $\mathbb{N}^m$  defined by  $\Psi(\pi) = (|\pi|_{t_1}, \dots, |\pi|_{t_m})$ .

**Lemma 3.3.** *Given a counter automaton  $\mathcal{S}$ , for any regular language  $L \subseteq \Pi$ , there exists an SLPS  $\rho \subseteq L$  such that  $\Psi(\rho) = \Psi(L)$ .*

Accelerated symbolic model-checking consists in the usual iterative fixpoint computation, accelerated with the computation of (the effect of) some loops. In order to cope with the many variants, we analyze termination for generic versions of these accelerated reachability computations. Thus, the semi-algorithms presented below cannot be directly implemented. Effectivity issues will be discussed in Remark 3.5.

Semi-Algorithm $\text{Accel-}\mathcal{R}^*(\mathcal{S})$
<b>Input:</b> A counter automaton $\mathcal{S}$ .
<b>Output:</b> The global reachability relation $\mathcal{R}_{\mathcal{S}}^*$ .
<pre> let <math>R \leftarrow Id_{\mathcal{C}_{\mathcal{S}}}</math> repeat forever   select one of the following tasks:     • if <math>\mathcal{R}(T) \cdot R \subseteq R</math> return <math>R</math>     • select <math>\pi \in T^*</math> and <math>R', R'' \subseteq R</math>       let <math>R \leftarrow R \cup (R' \cdot \mathcal{R}(\pi^*) \cdot R'')</math>     • select <math>t \in T</math> and <math>R', R'' \subseteq R</math>       let <math>R \leftarrow R \cup (R' \cdot \mathcal{R}(t) \cdot R'')</math> </pre>

Semi-Algorithm $\text{Accel-post}^*(\mathcal{S}, I)$
<b>Input:</b> An initialized counter automaton $(\mathcal{S}, I)$ .
<b>Output:</b> The reachability set $\text{post}_{\mathcal{S}}^*(I)$ .
<pre> let <math>X \leftarrow I</math> repeat forever   select one of the following tasks:     • if <math>\text{post}(T, X) \subseteq X</math> return <math>X</math>     • select <math>\pi \in T^*</math> and <math>X' \subseteq X</math>       let <math>X \leftarrow X \cup \text{post}(\pi^*, X')</math>     • select <math>t \in T</math> and <math>X' \subseteq X</math>       let <math>X \leftarrow X \cup \text{post}(t, X')</math> </pre>

**Theorem 3.4.** *Given any counter automaton  $\mathcal{S}$  and any subset  $I \subseteq \mathcal{C}_{\mathcal{S}}$ , we have:*

- i) for every terminating execution of  $\text{Accel-}\mathcal{R}^*(\mathcal{S})$  (resp.  $\text{Accel-post}^*(\mathcal{S}, I)$ ), the returned value  $\text{ret}$  satisfies:  $\text{ret} = \mathcal{R}_{\mathcal{S}}^*$  (resp.  $\text{ret} = \text{post}_{\mathcal{S}}^*(I)$ ).*
- ii) there exists a terminating execution of  $\text{Accel-}\mathcal{R}^*(\mathcal{S})$  (resp.  $\text{Accel-post}^*(\mathcal{S}, I)$ ) iff  $\mathcal{S}$  is globally flat (resp.  $(\mathcal{S}, I)$  is flat).*

*Remark 3.5.* In order to implement these two semi-algorithms, a symbolic representation for sets of (pairs of) configurations is required. Semilinear sets are usually used since (1) they are expressive enough to express most practical flow guards, and (2) they enjoy nice decidability and closure properties. Moreover, effective acceleration results [FL02, CJ98, Boi03] can be used in order to perform the second task of the algorithm (for some classes of semilinear flow guards).

*Remark 3.6.* Model-checkers FAST, LASH and TREX implement “deterministic refinements” of the semi-algorithms  $\text{Accel-post}^*$  and  $\text{Accel-}\mathcal{R}^*$ . FAST takes as input an initialized counter automaton in the form of a *finite-linear system*, where flow guards

are given by partial integral affine transformations with semilinear definition domains. The heuristics implemented in FAST ensure termination for all flat finite-linear system [FL02].

## 4 Flat Counter Machines

In the remaining of this paper, we focus on a restricted class of counter automata, called counter machines, where flow guards are restricted semilinear relations given by guarded commands. Counter machines form a fairly large class of counter automata, as it contains for instance Petri nets and Minsky machines. We will show, in this section and in the next section, that many known semilinear subclasses of counter machines are flat.

First, we introduce some new notations that will be used subsequently. Recall that a *minimal element* of a subset  $X \subseteq \mathbb{Q}^n$  is any  $m \in X$  such that for every  $x \in X$ , if  $x \leq m$  then  $x = m$ . We denote by  $\text{Min}(X)$  the *set of minimal elements* of  $X$ . It is well known that any subset of  $\mathbb{N}^n$  has finitely many minimal elements [Dic13].

For every  $i \in [1 .. n]$ , we denote  $e_i$  the  $i^{\text{th}}$  *basis vector* of  $\mathbb{N}^n$  defined by:  $e_i[j] = 1$  if  $j = i$  and  $e_i[j] = 0$  otherwise. The set  $\{=, \geq\}^n$  will be considered as an alphabet, and every symbol  $\# \in \{=, \geq\}^n$  will also denote the partial order on  $\mathbb{Q}^n$  defined by:  $x \# y$  if  $x[i] \#[i]y[i]$  for all  $i \in [1 .. n]$ .

### 4.1 Counter Machines

Flow guards of counter machines belong to a basic subclass of semilinear relations, called guarded commands, which we now present. An  $n$ -*dim guarded command* is any relation over  $\mathbb{N}^n$  that may be written as  $\{(x, x') \in \mathbb{N}^{2n} / x \# \mu \text{ and } x' = x + \delta\}$  for some  $\# \in \{=, \geq\}^n$ ,  $\mu \in \mathbb{N}^n$ , and  $\delta \in \mathbb{Z}^n$  such that  $\mu + \delta \geq 0$ .

*Remark 4.1.* The class of  $n$ -dim guarded commands is the closure under composition of three kinds of basic relations:

- *increment* of a counter  $i \in [1 .. n]$  :  $\{(x, x') \in \mathbb{N}^{2n} / x' = x + e_i\}$
- *decrement* of a counter  $i \in [1 .. n]$  :  $\{(x, x') \in \mathbb{N}^{2n} / x' = x - e_i\}$
- *0-test* of a counter  $i \in [1 .. n]$  :  $\{(x, x') \in \mathbb{N}^{2n} / x[i] = 0 \text{ and } x' = x\}$

**Definition 4.2.** An  $n$ -dim counter machine (*counter machine for short*) is an 8-tuple  $\mathcal{S} = (Q, T, \alpha, \beta, (G_t)_{t \in T}, \#, \mu, \delta)$ , where  $(Q, T, \alpha, \beta, (G_t)_{t \in T})$  is a counter automaton, and where  $\# : T \rightarrow \{=, \geq\}^n$ ,  $\mu : T \rightarrow \mathbb{N}^n$  and  $\delta : T \rightarrow \mathbb{Z}^n$  are three transition labelings satisfying:  $\mu(t) + \delta(t) \geq 0$  and  $G_t = \{(x, x') / x \#(t) \mu(t) \text{ and } x' = x + \delta(t)\}$  for every  $t \in T$ .

Transition labelings  $\#, \mu$  and  $\delta$  will be called *condition labeling*, *min labeling* and *displacement labeling* respectively. We extend the displacement labeling  $\delta$  to words in the obvious way:  $\delta(\varepsilon) = 0$  and  $\delta(\pi \cdot t) = \delta(\pi) + \delta(t)$ .



When  $\#(t) \in \{\geq\}^n$  for every transition  $t \in T$ , we say that the counter machine  $\mathcal{S}$  is *test-free*. The class of test-free counter machines is equivalent to the class of *vector addition systems with states* [HP79].

Obviously, any counter machine may be viewed as a counter automaton. In the following, we will identify a counter machine with its corresponding counter automaton. Observe that for any configurations  $(q, \mathbf{x})$  and  $(q', \mathbf{x}')$  of a counter machine  $\mathcal{S}$ , and for any word  $\pi \in T^*$ , we have:  $(q, \mathbf{x}) \xrightarrow{\pi} (q', \mathbf{x}')$  implies  $\mathbf{x}' = \mathbf{x} + \delta(\pi)$ .

The following *acceleration theorem* for counter machines, which was actually proved for larger classes of counter automata, shows that the reachability subrelation “along” any SLPS is effectively semilinear. As a direct consequence of this theorem (see for instance [LS04]), we obtain that flatness (resp. global flatness) implies effective semilinearity of the reachability set (resp. of the global reachability relation).

**Theorem 4.3** ([CJ98, FL02, Boi03]). *For any SLPS  $\rho$  in a counter machine  $\mathcal{S}$ , the reachability subrelation  $\mathcal{R}_{\mathcal{S}}(\rho)$  is effectively semilinear.*

**Corollary 4.4.** *The global reachability relation  $\mathcal{R}_{\mathcal{S}}^*$  (resp. reachability set  $\text{post}_{\mathcal{S}}^*(I)$ ) of any globally flat counter machine  $\mathcal{S}$  (resp. flat initialized counter machine  $(\mathcal{S}, I)$ ) is effectively semilinear.*

Our example counter automaton  $\mathcal{E}$ , which actually is a counter machine, shows that the converse of this corollary does not hold (see also Remark 4.11).

*Example 4.5.* Recall that the counter automaton  $\mathcal{E}$  introduced in Example 2.4 has a semilinear global reachability relation. In particular the reachability set  $\text{post}_{\mathcal{E}}^*(I)$  is semilinear for any semilinear set  $I \subseteq \mathcal{C}_{\mathcal{E}}$ . However,  $(\mathcal{E}, (q_1, (0, 0)))$  is not flat. Intuitively, any loop  $\theta \in T^*$  is either in  $l_1^* l_2^* l_1^* t_1 T^* t_2 l_1^*$ , or in  $l_2^* t_2 T^* t_1 l_2^*$ . In each case, we can verify that  $\text{post}_{\mathcal{E}}(\theta^*, I)$  is finite for any finite  $I \subseteq \mathcal{C}_{\mathcal{E}}$ . An induction over the length of an SLPS  $\rho$ , proves that  $\text{post}_{\mathcal{E}}(\theta^*, I)$  is finite for any finite  $I \subseteq \mathcal{C}_{\mathcal{E}}$  and for any SLPS  $\rho$ . As the reachability set  $\text{post}_{\mathcal{E}}^*(\{(q_1, (0, 0))\}) = \{(q_1, (x, y)) / x + y \in 2\mathbb{N}\} \cup \{(q_2, (x, y)) / x + y - 1 \in 2\mathbb{N}\}$  is infinite we deduce that  $(\mathcal{E}, (q_1, (0, 0)))$  is not flat.

*Remark 4.6.* Unfortunately, flatness is undecidable for counter machines. Indeed, the boundedness problem (is  $\text{post}_{\mathcal{S}}^*(\{(q, \mathbf{x}_0)\})$  finite?), which is known to be undecidable for 2-dim counter machines, is reducible to the flatness problem as follows: (1) if  $(\mathcal{S}, I)$  is flat, then we can compute a semilinear description  $\text{post}_{\mathcal{S}}^*(I)$  and decide whether  $\text{post}_{\mathcal{S}}^*(I)$  is finite ; (2) if  $(\mathcal{S}, I)$  is not flat, then  $\text{post}_{\mathcal{S}}^*(\{(q, \mathbf{x}_0)\})$  is necessarily infinite.

## 4.2 Reversal-Bounded Counter Machines

We focus in this subsection on reversal-bounded counter machines. Intuitively, an initialized counter machine  $(\mathcal{S}, I)$  will be called reversal-bounded when there exists  $r \in \mathbb{N}$  such that every counter in every run of  $\mathcal{S}$  from  $I$  makes at most  $r$  reversals (alternations between nondecreasing and nonincreasing modes) [Iba78]. The definition will be made precise with the use letter morphisms.

Consider a finite set  $T$  of transitions and a displacement labeling  $\delta : T \rightarrow \mathbb{Z}^n$ . For every  $i \in [1..n]$ , we define the morphism  $\varphi_i^\delta : T^* \rightarrow \{+, -\}^*$  by:  $\varphi_i^\delta(t) = +$  if  $\delta(t)[i] > 0$ ,  $\varphi_i^\delta(t) = -$  if  $\delta(t)[i] < 0$ , and  $\varphi_i^\delta(t) = \varepsilon$  if  $\delta(t)[i] = 0$ .

**Definition 4.7.** *An initialized counter machine  $(\mathcal{S}, I)$ , with transition set  $T$  and displacement labeling  $\delta$ , is called reversal-bounded if there exists  $r \in \mathbb{N}$  such that  $\varphi_i^\delta(\pi) \in (\{+\}^* \cup \{-\}^*)^r$  for every  $i \in [1..n]$  and every trace  $\pi$  of  $\mathcal{S}$  from  $I$ . A counter machine  $\mathcal{S}$  is called globally reversal-bounded if  $(\mathcal{S}, \mathcal{C}_{\mathcal{S}})$  is reversal-bounded.*

Recall that the global reachability relation (resp. reachability set) of any reversal-bounded counter machine (resp. initialized counter machine) is effectively semilinear [Iba78]. We show that these two classes are flat. Note that these results do not follow from the effective semilinearity proof given in [Iba78] which uses Parikh's Theorem and manipulations on semilinear sets.

**Proposition 4.8.** *Every reversal-bounded initialized counter machine is flat. Every globally reversal-bounded counter machine is globally flat.*

### 4.3 Lossy/Inserting Counter Machines

Let us now focus on lossy/inserting counter machines. An  $n$ -dim counter machine will be called lossy (resp. inserting) when for every location  $q$  and for every counter  $i \in [1..n]$ , there is a loop<sup>3</sup> on  $q$  whose flow guard is the decrement (resp. increment) of counter  $i$ . Formally:

**Definition 4.9.** *A counter machine  $\mathcal{S}$ , with location set  $Q$  and transition set  $T$ , is called lossy (resp. inserting) if for every  $q \in Q$  and for every  $i \in [1..n]$ , there exists a loop  $\pi$  on  $q$  such that  $G_\pi = \{(x, x') \in \mathbb{N}^{2n} / x' = x - \mathbf{e}_i\}$  (resp.  $G_\pi = \{(x, x') \in \mathbb{N}^{2n} / x' = x + \mathbf{e}_i\}$ ).*

Observe that the inverse of any lossy (resp. inserting) counter machine is an inserting (resp. lossy) counter machine. The reachability set of any initialized lossy (resp. inserting) counter machine is obviously semilinear since it is downward (resp. upward) closed (w.r.t. the usual partial order on configurations of counter automata). Moreover, it is effectively semilinear for any initialized lossy test-free counter machine and for any initialized inserting counter machine [BM99]. We show that these two classes are flat.

**Proposition 4.10.** *Every initialized lossy test-free counter machine is flat. Every initialized inserting counter machine is flat.*

The previous proposition cannot be extended to global flatness, since there exists a 3-dim lossy test-free counter machine having a non semilinear (and hence non flat) global reachability relation [LS04]. Moreover, the test-freeness condition cannot be relaxed for lossy counter machines, since the semilinear reachability set is not in general constructible for initialized lossy counter machines [DJS99, BM99]. The following remark shows that the test-freeness condition cannot be removed even in dimension 2.

<sup>3</sup> We use an explicit representation of losses and insertions. Our flatness results given in Proposition 4.10 also hold when losses and insertions are "hardcoded" in the semantics.

*Remark 4.11.* Recall that every initialized 2-dim lossy counter machine has an effectively semilinear reachability set [FS00a]. Still, there are initialized 2-dim lossy counter machines that are not flat. Consider for instance our example counter machine  $(\mathcal{E}, \{(q_1, (1, 0))\})$ , which is not flat according to Example 2.4, augmented with loss loops on each location: the resulting 2-dim lossy counter machine obviously remains non flat.

#### 4.4 Test-Free 2-Dim Counter Machines

We briefly recall in this section known results on test-free 2-dim counter machines. The reachability set of any initialized test-free 2-dim counter machine is effectively semilinear [HP79]. Moreover, the global reachability relation is also effectively semilinear for this class [LS04]. The proof of this second result actually used flatness-based proof techniques:

**Proposition 4.12 ([LS04]).** *Every test-free 2-dim counter machine is globally flat.*

### 5 Flat Petri Nets

We now restrict our attention to a well-known and extensively studied subclass of counter machines: Petri nets. Usually, a Petri net is given by a directed graph whose nodes are either places or transitions. We give an equivalent definition in terms of counter machines.

**Definition 5.1.** *An  $n$ -dim Petri net (Petri net for short) is any test-free  $n$ -dim counter machine whose location set is a singleton.*

As the set  $Q$  of locations in a Petri net is a singleton, we unambiguously denote any configuration  $(q, \mathbf{x})$  by  $\mathbf{x}$ .

#### 5.1 Cyclic and Reversible Petri Nets

We focus in this subsection on two subclasses of Petri nets: *cyclic Petri nets* [AK77] and *reversible Petri nets* [Tai68]. Intuitively, an initialized Petri net will be called cyclic if its reachability set is a strongly connected component ; and a Petri net will be called reversible if every transition has an inverse.

**Definition 5.2.** *An initialized Petri net  $(\mathcal{S}, I)$  is called cyclic if  $I \subseteq \text{post}^*(X)$  for every  $X \subseteq \text{post}^*(I)$ . A Petri net  $\mathcal{S}$  is called globally cyclic if  $(\mathcal{S}, \mathbf{x}_0)$  is cyclic for every  $\mathbf{x}_0 \in \mathcal{C}_{\mathcal{S}}$ .*

**Definition 5.3.** *A Petri net with transition set  $T$  is called reversible if for every  $t \in T$ , there exists  $t' \in T$  such that  $\mathcal{R}(t') = \mathcal{R}(t)^{-1}$ .*

Observe that a Petri net is globally cyclic iff its global reachability relation is symmetric iff for every transition  $t$ , there exists a path  $\pi$  such that  $\mathcal{R}(\pi) = \mathcal{R}(t)^{-1}$ . Thus, every reversible Petri net is globally cyclic. It is well-known that the global reachability relation (resp. reachability set) of any reversible Petri net (resp. cyclic initialized Petri net) is effectively semilinear [AK77, Tai68, BF97]. We show that these three classes are flat.

**Proposition 5.4.** *Every cyclic initialized Petri net is flat. Every globally cyclic Petri net is globally flat.*

*Remark 5.5.* Recall that global flatness implies effective semilinearity of the global reachability relation. Hence, combined with the short proof given in [Hir94] that every congruence on  $\mathbb{N}^n$  is semilinear, the previous proposition gives an easy proof of effective semilinearity of  $\mathcal{R}^*$  for reversible petri nets. The first proof (and only proof, to our knowledge) of this result is presented in [Tai68] and it is very difficult to read.

## 5.2 Regular Petri Nets

We now turn our attention to the class of regular Petri nets [VVN81]. Recall that the trace set of an initialized Petri net  $(\mathcal{S}, I)$  is the set of all paths  $\pi \in T^*$  such that  $\text{post}(\pi, I) \neq \emptyset$ .

**Definition 5.6.** *An initialized Petri net is called regular if its trace set is a regular language.*

A singly-initialized Petri net is any initialized Petri net  $(\mathcal{S}, I)$  where  $I$  is a singleton. It follows from Parikh's Theorem that the reachability set of any regular singly-initialized Petri net is effectively semilinear [VVN81]. We deduce from Lemma 3.3, which is a variant of Parikh's Theorem, that this class is actually flat.

**Proposition 5.7.** *Every regular singly-initialized Petri net is flat.*

## 5.3 Persistent and Conflict-Free Petri Nets

Persistent and Conflict-free Petri nets are among the first subclasses of Petri nets introduced in the literature. Intuitively, a Petri net is conflict-free if every "enabled" transition remains enabled until it is taken. For persistent Petri nets, this condition only has to hold for reachable configurations.

**Definition 5.8.** *An initialized Petri net  $(\mathcal{S}, I)$  is called persistent if for any transitions  $t_1, t_2$  with  $t_1 \neq t_2$ , and for any  $x, x_1, x_2 \in \text{post}_{\mathcal{S}}^*(I)$  such that  $x \xrightarrow{t_1} x_1$  and  $x \xrightarrow{t_2} x_2$ , there exists  $x' \in \text{post}_{\mathcal{S}}^*(I)$  such that  $x \xrightarrow{t_1 t_2} x'$ .*

**Definition 5.9.** *A Petri net  $\mathcal{S}$  is called conflict-free if  $(\mathcal{S}, \mathcal{C}_{\mathcal{S}})$  is persistent.*

Semilinearity of the reachability set for singly-initialized persistent Petri nets was first proved in [LR78] in a non-constructive way, and a constructive proof was later presented in [May81]. It turns out that flatness, and hence effective semilinearity, can actually be deduced from the first proof. Let us first recall two lemmas from [LR78]: a weaker version of Lemma 3.1 and Lemma 4.3.

**Lemma 5.10.** *Given any singly-initialized persistent Petri net  $(\mathcal{S}, \{x_0\})$ , for any two traces  $\sigma_1$  and  $\sigma_2$  with  $\Psi(\sigma_1) \leq \Psi(\sigma_2)$ , there exists a path  $\sigma'$  such that  $\sigma_1 \sigma'$  is a trace and  $\Psi(\sigma_2) = \Psi(\sigma_1) + \Psi(\sigma')$ .*

**Lemma 5.11.** *For any singly-initialized persistent Petri net  $(\mathcal{S}, \{x_0\})$ , there exists a finite set  $F$  of paths  $\pi \in T^+$  with  $\delta(\pi) \geq 0$  such that for every  $x_0 \xrightarrow{*} x \xrightarrow{*} x'$ , if  $x \leq x'$  then there exists  $\pi_1, \dots, \pi_k \in F$  such that  $x \xrightarrow{\pi_1 \cdots \pi_k} x'$ .*

Following the proof given in [LR78] that singly-initialized persistent Petri nets have semilinear reachability sets, we deduce the following theorem.

**Theorem 5.12.** *Every semilinearly-initialized persistent Petri net is flat.*

**Corollary 5.13.** *Every conflict-free Petri net is globally flat.*

*Remark 5.14.* Recall that global flatness implies effective semilinearity of the global reachability relation. Hence, we obtain that the global reachability relation is effectively semilinear for conflict-free Petri nets.

## 5.4 BPP-Nets

We briefly recall in this section known results on BPP-nets. An  $n$ -dim Petri net, with transition set  $T$  and min labeling  $\mu$ , is called a *BPP-net* if for every  $t \in T$ ,  $\mu(t) = \mathbf{e}_i$  for some  $i \in [1..n]$ .

Let us recall that the global reachability relation is effectively semilinear for BPP-nets [Esp97, FO97]. The proof of this result given in [FO97] actually uses flatness-based proof techniques:

**Proposition 5.15 ([FO97]).** *Every BPP-net is globally flat.*

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