



# Image/video compression: howto?

Aline ROUMY  
INRIA Rennes

- 1.** Why a need to compress video?
- 2.** How-to compress (lossless)?
- 3.** Lossy compression
- 4.** Transform-based compression
- 5.** Prediction-based compression

# 1

**Why a need to compress video?**

# Definition

**Compression** = store same image/video with less bits

**Compression standards:** given by

ITU International Telecommunication Union

ISO International Organization for Standardization

Joint teams:

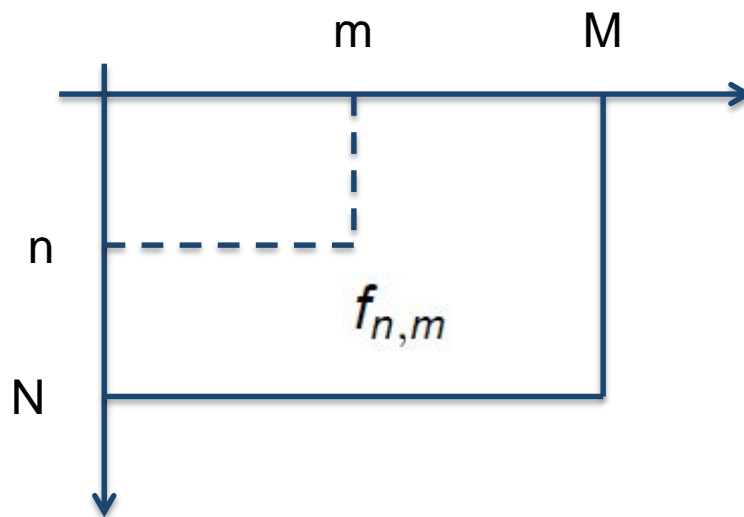
JVT Joint Video Team (H.264/MPEG-4-AVC in 2003)

JCT-VC Joint Collaborative Team on Video Coding for development de HEVC (High efficiency video codec)

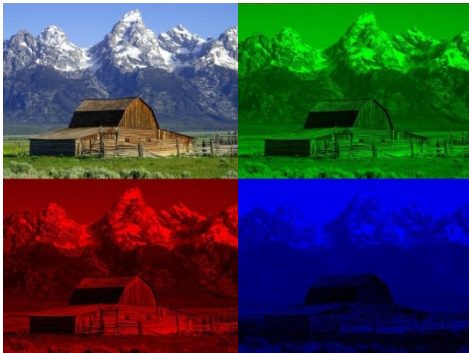
(Jan. 2013, ITU-T H.265 Avril 2013)

# What is a digital image?

- Discrete grid with  $M$  (columns) x  $N$  (rows) pixels
- Canonical processing order: scanning row-by-row from left to right)



# Color image decomposition



R G B (red green blue)



YCbCr (called YUV)

$Y \text{ luminance} = 0,3R + 0,6V + 0,1B$

Cb Cr chrominance

$Cb \sim Y - B$

$Cr \sim Y - R$

backward compatible TV B&W

eye +sensitive to Y than CbCr

# Chroma sub-sampling

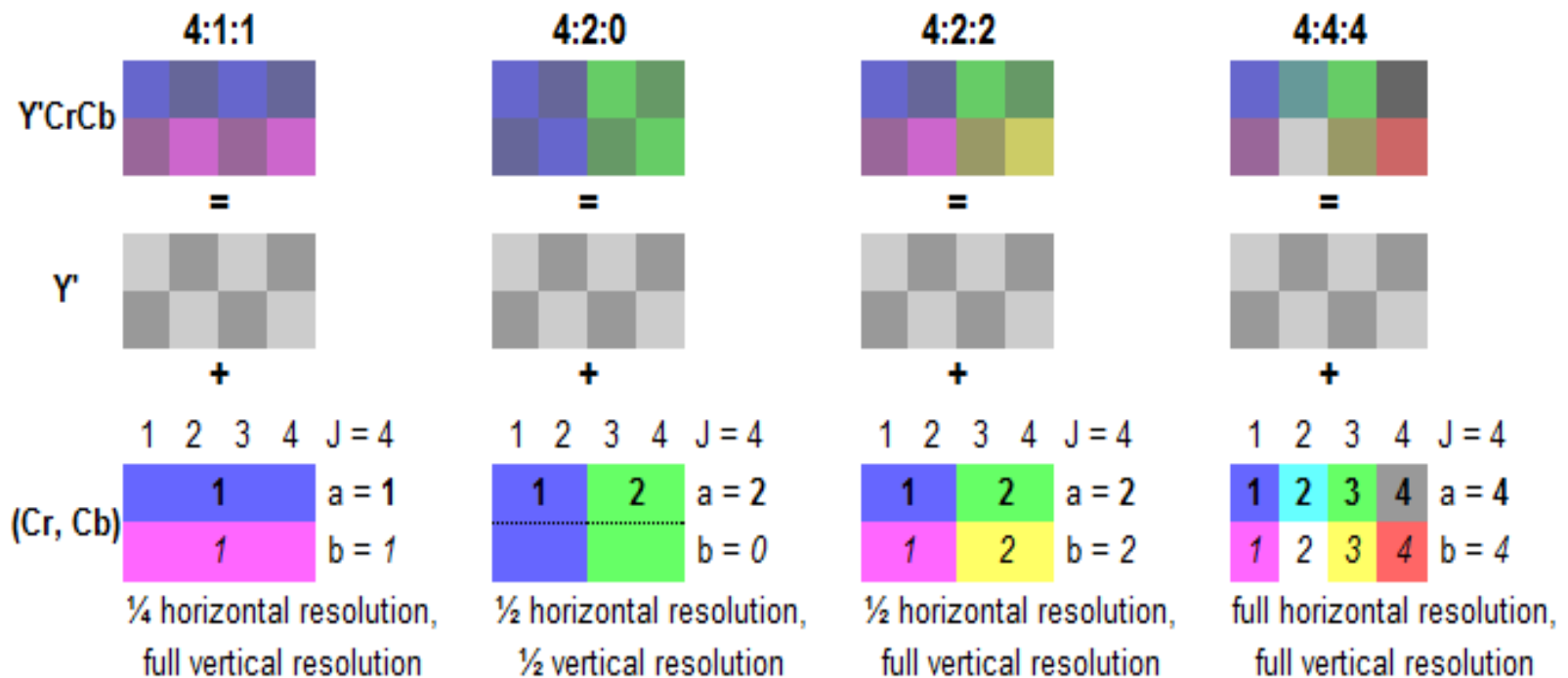
Color sampling is defined by three terms:  $J : a : b$

**J** Horizontal width of the sampling reference region,  
usually 4

**a** Number of chrominance samples (Cr, Cb) in the first row of  $J$   
pixels

**b** Number of **new** chrominance samples (Cr, Cb) in the second  
row of  $J$  pixels

# Chroma sub-sampling





# Why a need to compress?

i.e. transmit same image with less bits.

Example:

HD 1080p =  $1920 \times 1080$ , 50 Hz (images/sec)

	Y luminance	Cb Chrominance	Cr Chrominance
Nb pixels	$1920 \times 1080 = 2.1 \text{ M}$	$960 \times 540 = .5 \text{ M}$	$960 \times 540 = .5 \text{ M}$
Nb bits par pixel	8 bit	8 bit	8 bit
<b>Raw data rate</b>	<b>1.2 Gbps</b>		

Some data rate vs storage capacity (under evolution)

- DVD 4.7 GB 3s
- TNT 20 Mbps
- Ethernet/Fast Ethernet < 10 / 100 / 1000 Mbps
- DSL downlink 384 ... 2048 kbps
- Mobile phone (true datarate 2G /3G /4G) 9.05 / 384 kbps / 40 Mbps

# Quality measure

**Objective criteria** to measure the quality of image compression,  
mapping that depends on

$f_{n,m}$  : original image

$\tilde{f}_{n,m}$  : reconstructed (after compression)

Mean square error (MSE):

$$\mathcal{D} = \frac{1}{NM} \sum_{n=1}^N \sum_{m=1}^M (f_{n,m} - \tilde{f}_{n,m})^2$$

Peak signal-to-noise ratio:

$$\text{PSNR} = 10 \log_{10} \left( \frac{255^2}{\mathcal{D}} \right) \text{ dB}$$

1. Why a need to compress video?
2. **How-to compress (lossless)?**
3. Lossy compression
4. Transform-based compression
5. Prediction-based compression

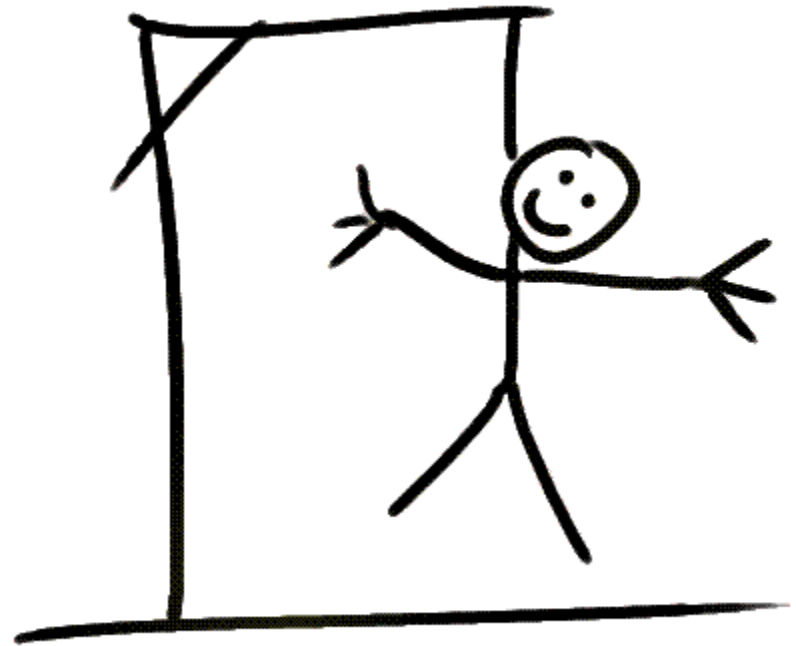
# 2

How-to compress?

# Hangman game

Objective = play... and explain your strategy

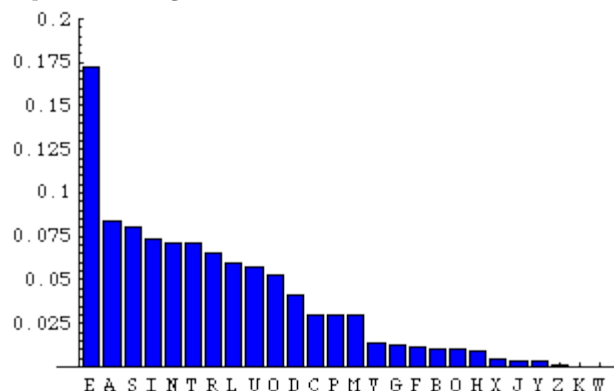
— — — — —



## 2 winning ideas

### 1. Letter frequency

probability



### 2. Correlation between successive letters dependence

	_A	_B	_C	_D	_E	_F	_G	_H	_I	_J	_K	_L	_M	_N	_O	_P	_Q	_R	_S
A_	31	242	392	208	48	135	232	37	1255	32	7	663	350	1378	17	412	44	905	409
B_	158	2	1	2	130	1	2	0	132	4	10	181	1	1	146	1	3	187	29
C_	312	0	73	19	765	2	2	411	209	3	5	124	5	1	677	11	7	100	14
D_	427	1	8	24	2409	2	5	25	378	3	0	14	21	5	231	4	6	134	64
E_	616	176	917	998	782	258	209	67	179	96	8	1382	1056	2121	136	699	190	1514	3318

# Analogy hangman game- compression

- word
- image
- Answer to a question (yes/no).
- 1 bit of the bistream that represents image
- Goal: propose a minimum number of letter
- Goal: propose a minimum number of bits

# Exploit idea 1: Use “non uniformity” of the probabilities

Consider a car race:

Name	A natole	B arnabe	C hef	D arius
Probability to win	1/4	1/2	1/8	1/8

A wins 1 out of 4, etc

For instance, let us consider the winner sequence:

ABBCBBDABCBABBCBBDAABABC...

**Question:** encode the winner sequence into a bitsequence...  
in an efficient way.



# Exploit idea 1: Use “non uniformity” of the probabilities

Consider a car race:

Nom	A natole	B arnabé	C hef	D arius
Probability	1/4	1/2	1/8	1/8
Codeword	00	01	10	11

First try to encode the winner sequence:

ABBCBBDABCBABBCBBDAABABC...  
00 01 01 10 01 01 ... etc

Average length 2 bits/race

Better idea?

# Exploit idea 1: Use “non uniformity” of the probabilities

Consider a car race:

Nom	A natole	B arnabé	C hef	D arius
probability	1/4	1/2	1/8	1/8
codeword	10	0	110	111

Second try to encode the winner sequence:

ABBCBBDABCBABBCBBDAABABC...

Average length (fixed length code)                      2      bits/race

Average length  $2 \cdot 1/4 + 1 \cdot 1/2 + 3 \cdot 1/8 + 3 \cdot 1/8$                       1,75 bits/race

**Optimal length** [information theory Shannon48]                      **1,75 bits/race**

## Idea 2: use dependence between data

Assume that the car races results are dependent

course 2 course 1	_A	_B	_C	_D		
A_	.25	.5	.125	.125		
B_	.25	.5	.125	.125		
C_	.25	.5	0	.25		
D_	.25	.5	.25	0		

## Idea 2: use dependence between data

	_A	_B	_C	_D	average length	Optimal code
A_	.25	.5	.125	.125		
	10	0	110	111	1.75	1.75
B_	.25	.5	.125	.125		
	10	0	110	111	1.75	1.75
C_	.25	.5	0	.25		
	10	0		11	1.5	1.5
D_	.25	.5	.25	0		
	10	0	11		1.5	1.5

## Idea 2: use dependence between data

	_A	_B	_C	_D	Average length	Optimal code
A_	.25	.5	.125	.125		
	.25	10	0	110	1.75	1.75
B_	.25	.5	.125	.125		
	.5	10	0	110	1.75	1.75
C_	.25	.5	0	.25		
	.125	10	0	11	1.5	1.5
D_	.25	.5	.25	0		
	.125	10	0	11	1.5	1.5
					1.69	1.69

**Complexity:** storage grows *exponentially* with the number of letters jointly processed i.e. the memory: from 4 to 16 = 4<sup>2</sup> codewords

# Information theory: a primer

Random process (source)  $\{X_n\}$  Example: model of the pixels

Minimal average length (in bits) to represent one sample

- **discrete i.i.d. source** [Shannon]: **ENTROPY**

$$H(X_1) = - \sum_x p(x) \log_2 p(x) \quad \text{bits/sample}$$

- **discrete stationary ergodic source** [Shannon-McMillan-Breiman]

$$\lim_{n \rightarrow +\infty} \frac{1}{n} H(X_1, \dots, X_n) \quad \text{bits/sample}$$

$$H(X_1, \dots, X_n) = - \sum_{x_1, \dots, x_n} p(x_1, \dots, x_n) \log_2 p(x_1, \dots, x_n)$$

# Information theory

**Theorem:** independence bound for joint entropy

$$H(X_1, \dots, X_n) \leq H(X_1) + \dots + H(X_n)$$

↑  
equality iff  $X_1, \dots, X_n$  independents

Interpretation

1. Exploit the dependency allows to reduce the number of bits. BUT requires to store the joint law (complexity grows exponentially with  $n$ )
2. Separate encoding of independent data is optimal.

# Information theory

Exploit idea: encode SEPARATELY dependent data

- Transform the random vector  $X$  into an equivalent vector  $Y$

$$H(X_1, \dots, X_n) = H(Y_1, \dots, Y_n) \lesssim H(Y_1) + \dots + H(Y_n)$$

Invertible transform

$Y$  independents

Transform the data s.t. the transformed data are independent and s.t. one can compress the data separately (scalar entropy coding = coding with the marginal law).



1. Why a need to compress video?
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3. **Lossy compression**
4. Transform-based compression
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# 3

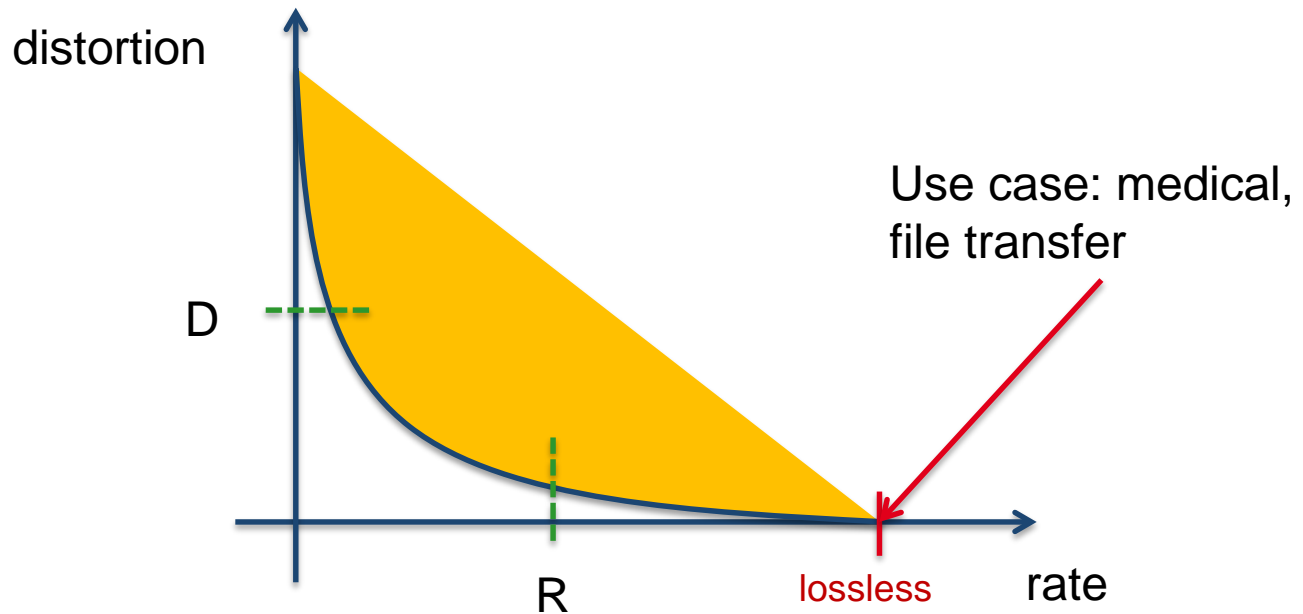
## Lossy compression

# Compression with losses

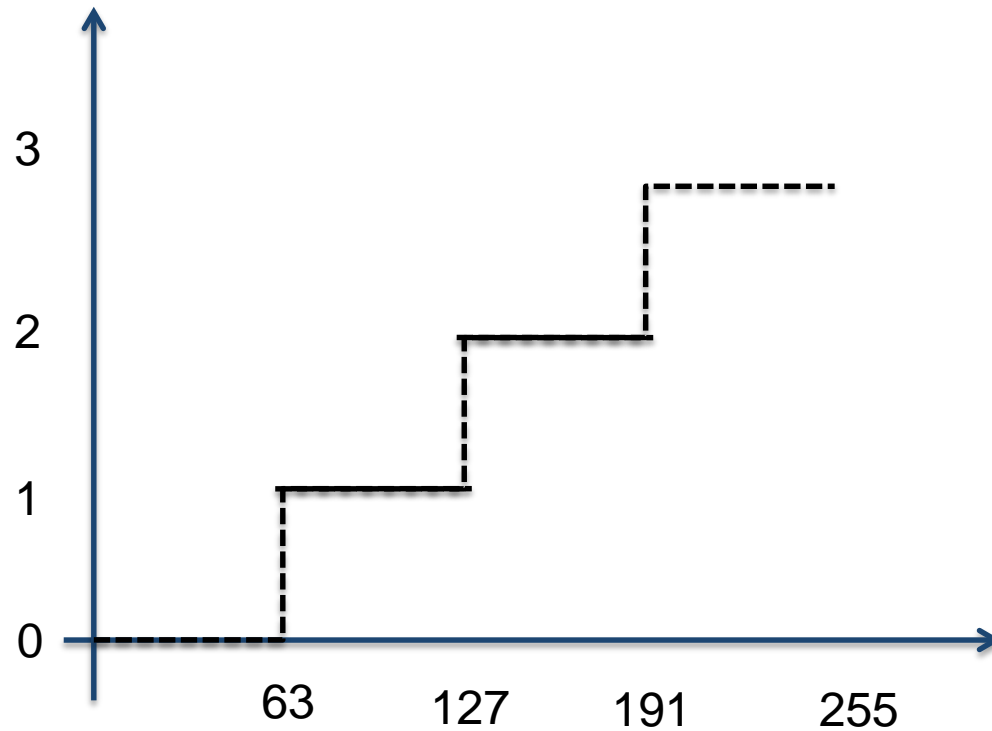
Compression under a rate constraint

rate = nb bits / pixel (or letter)

distortion = distance between original and compressed image



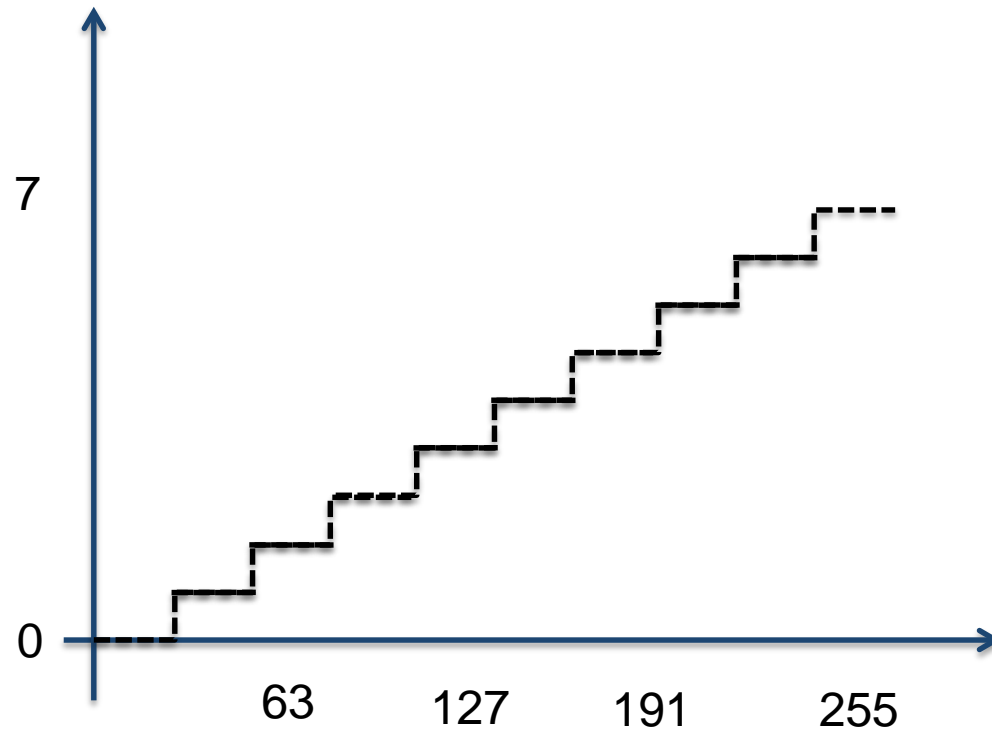
# Implementing lossy compression



Uniform scalar quantization

8 bits → 2 bits

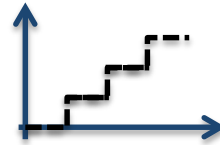
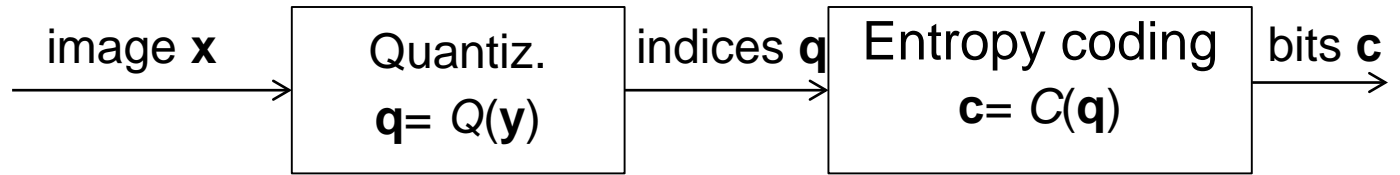
# Implementing lossy compression



Uniform scalar quantization

8 bits  $\rightarrow$  3 bits

# Implementing efficiently lossy compression



A	B	C	D
1/4	1/2	1/8	1/8
10	0	110	111

Uniform scalar quantization + entropy coding

→ degrades by **1.53 dB** with respect to optimal

1. Why a need to compress video?
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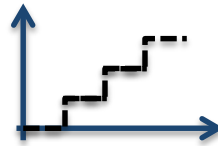
# 4

## Dependent data

transform coding



# Where do we address dependency?

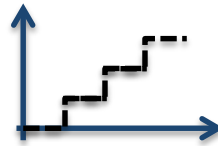
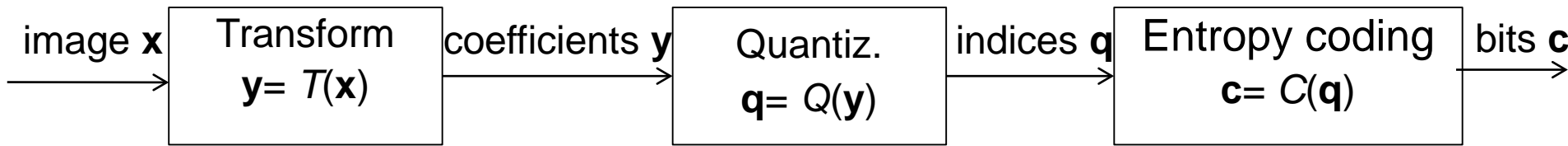


A	B	C	D
1/4	1/2	1/8	1/8
10	0	110	111

Scheme is quasi-optimal if pixels were independent

.....

# Where do we address dependency?



A	B	C	D
1/4	1/2	1/8	1/8
10	0	110	111

Scheme is quasi-optimal if pixels were independent

..... transform the pixels into independent data...

# Exploit data dependency

		a	b	
		c	d	

$(a,b,c,d) \rightarrow (m, b-m, c-m, d-m)$ : invertible transform

Dependence =

Neighboring pixels have similar value

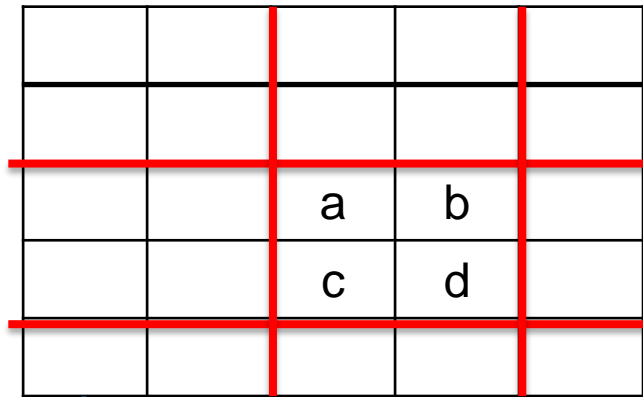
$$m = (a+b+c+d)/4$$

		m	b-m	
		c-m	d-m	

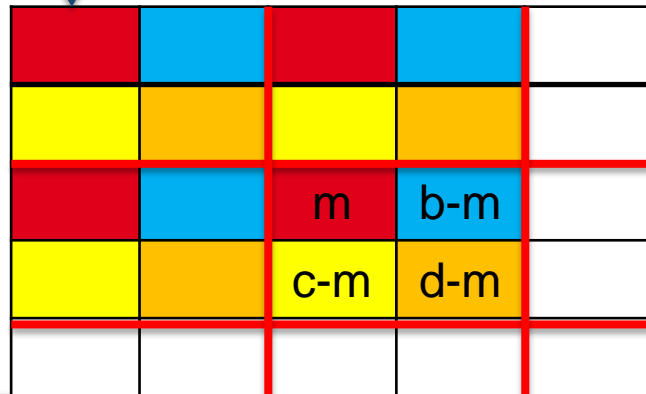
Mean difference:

- small amplitude
- break dependence within a block?

# Exploit data dependency

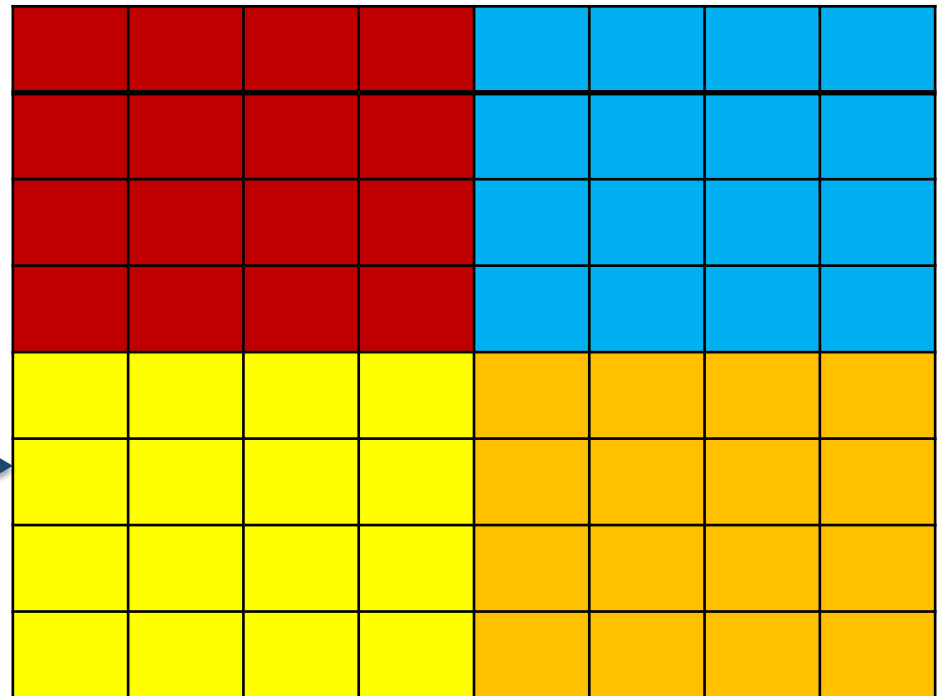


$$m = (a + b + c + d) / 4$$



This transform is a particular case:

- discrete cosine transform (DCT)  
(MPEG 1, 2, 4, HEVC)
- wavelets  
(JPEG-2000, Dirac)





Original Lena (512 x 512 Pixels, 8-Bit Y/ pixel, 257Ko)

**Gray = 0** since m-d coded by m-d+128



**Dependences remain**

Gray = 0



Gray = 0



Wavelet example

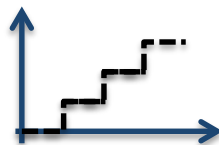
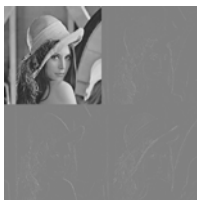
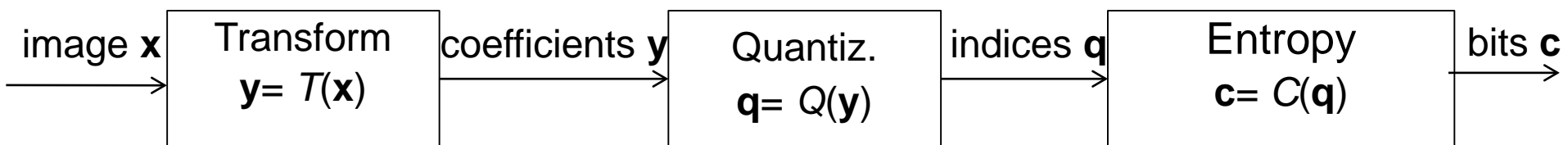


# Transform used in JPEG 2000



Less dependence... but still some remains

# JPEG2000



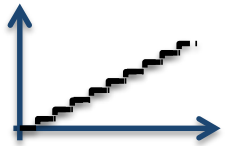
A	B	C	D
1/4	1/2	1/8	1/8
10	0	110	111

# Compression results JPEG2000



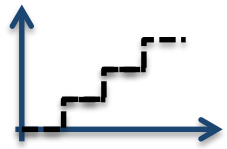
Original Carol (512 x 512 Pixels, 24-Bit RGB/pixel, Size 786kbytes)

# Compression results JPEG2000



75:1, 10.6 kbytes

# Compression results JPEG2000



150:1, 5.3 kbytes

# Compression results JPEG2000



300:1, 2.6 kbytes

# Comparison JPEG (1992) vs. JPEG2000



Lena, 256x256 RGB  
Baseline JPEG: 4572 bytes



Lena, 256x256 RGB  
JPEG-2000: 4572 bytes

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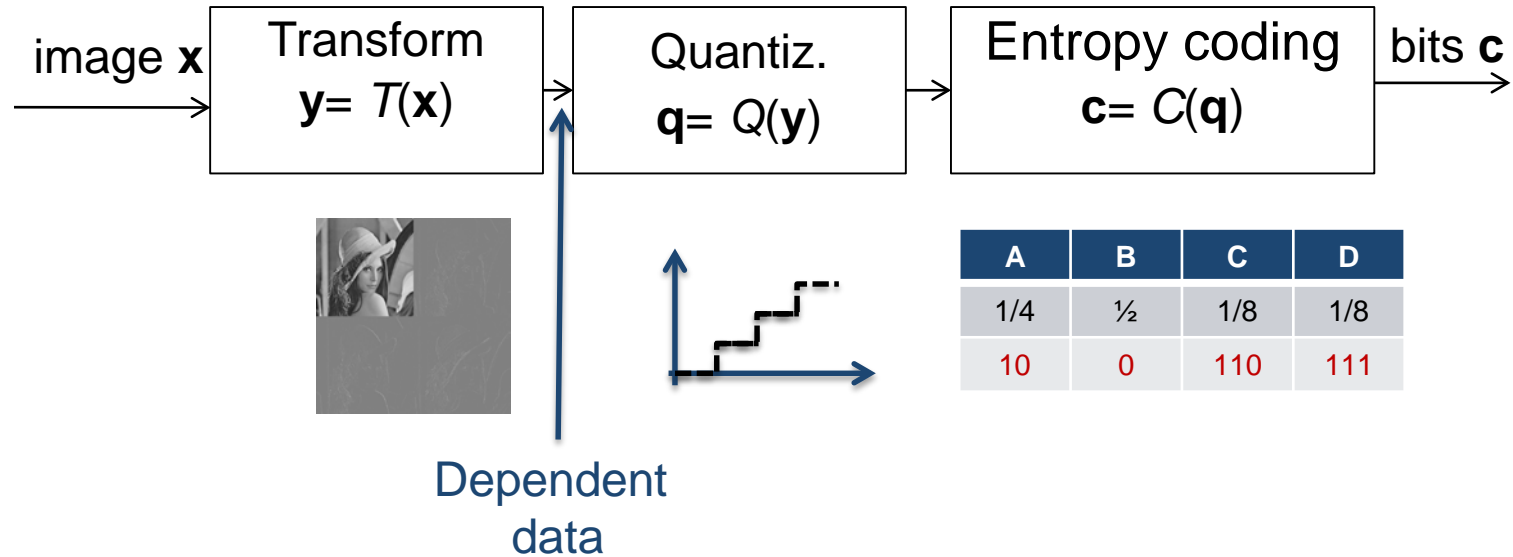


# 5

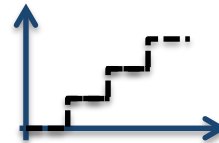
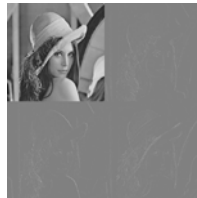
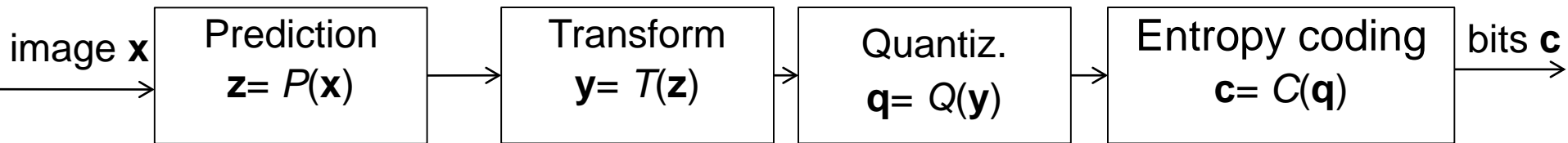
Dependent data

prediction

# Dependency remains



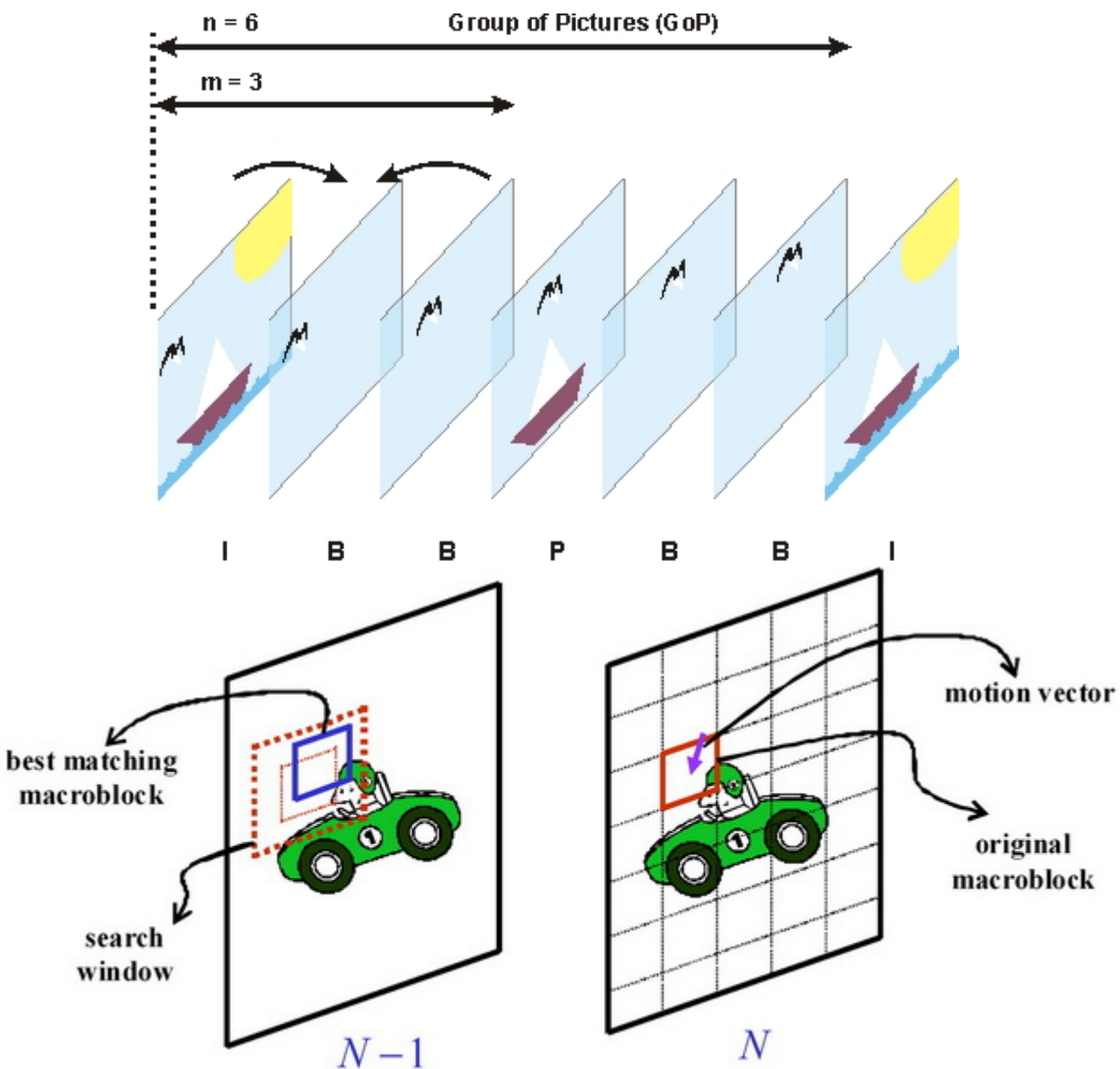
# Dependency remains



A	B	C	D
1/4	1/2	1/8	1/8
10	0	110	111

**Prediction:** further/better suppress dependencies

# Prediction... between images

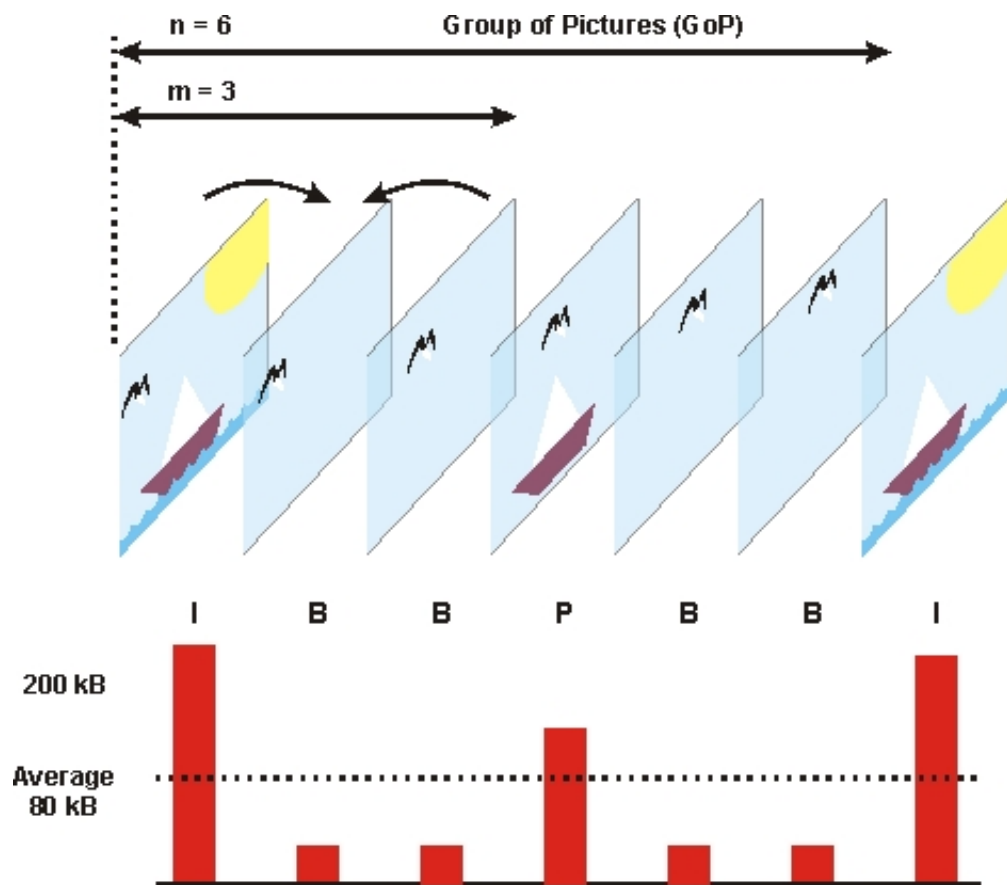


**Intra:** image coded independently of other images (synchro)

**Inter:** (P/B) image expressed in terms of other images

Used in MPEG-2, -4, HEVC

# Prediction... between images: inter prediction



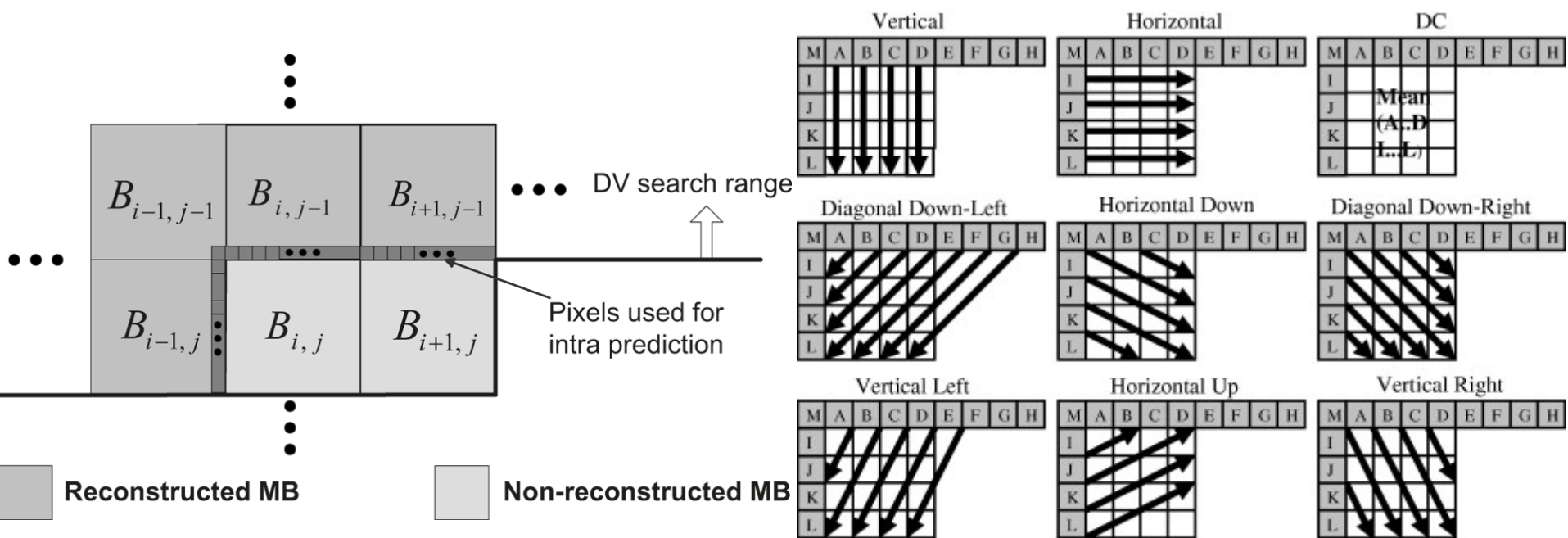
**Intra:** image codée indépendamment des autres (synchro)

**Inter:** (P/B) image codée en fonction d'autres images

Utilisé en MPEG-2, -4, HEVC

Very efficient: rate (inter)  $\ll$  rate (intra)

# Intra prediction (MPEG-4, HEVC)



If efficient prediction, difference between original and prediction (residue) :

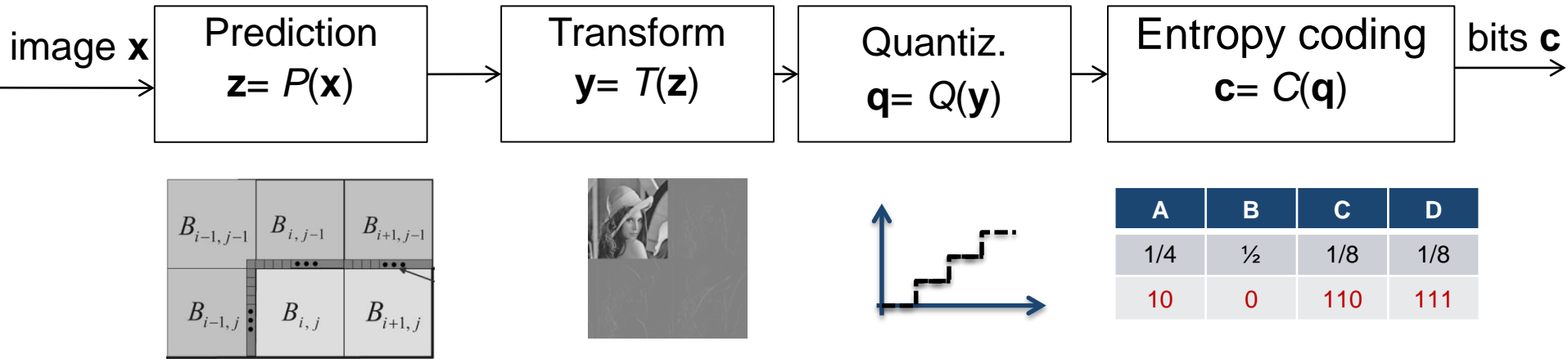
- independent samples [estimation theory]

# 6

## Conclusion

### Take Home Messages

# Take-home-message



Transform and prediction: exploit **pixel dependency**

Quantization: introduce **losses**

Entropy coding: exploit **non uniform** pixel distribution



# Results with HEVC

Vidéo BasketBallPass 416x240 pixels 50Hz (8 + (8+8)/4 bits) 60 Mbps

Quantization step	Rate	Compression ratio
uncompressed	60 Mbps	
22	1 Mbps	60:1
32	340 kbps	176:1
51	30 kbps	2000:1



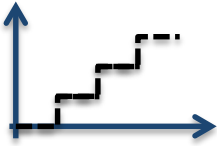
original



60:1

# Results with HEVC

Vidéo BasketBallPass 416x240 pixels 50Hz (8 + (8+8)/4 bits) 60 Mbps



Quantization step	Rate	Compression ratio
<b>uncompressed</b>	<b>60 Mbps</b>	
22	1 Mbps	60:1
<b>32</b>	<b>340 kbps</b>	<b>176:1</b>
51	30 kbps	2000:1



original



176:1

# Results with HEVC

Vidéo BasketballPass 416x240 pixels 50Hz (8 + (8+8)/4 bits) 60 Mbps

Quantization step	Rate	Compression ratio
<b>uncompressed</b>	<b>60 Mbps</b>	
22	1 Mbps	60:1
32	340 kbps	176:1
<b>51</b>	<b>30 kbps</b>	<b>2000:1</b>

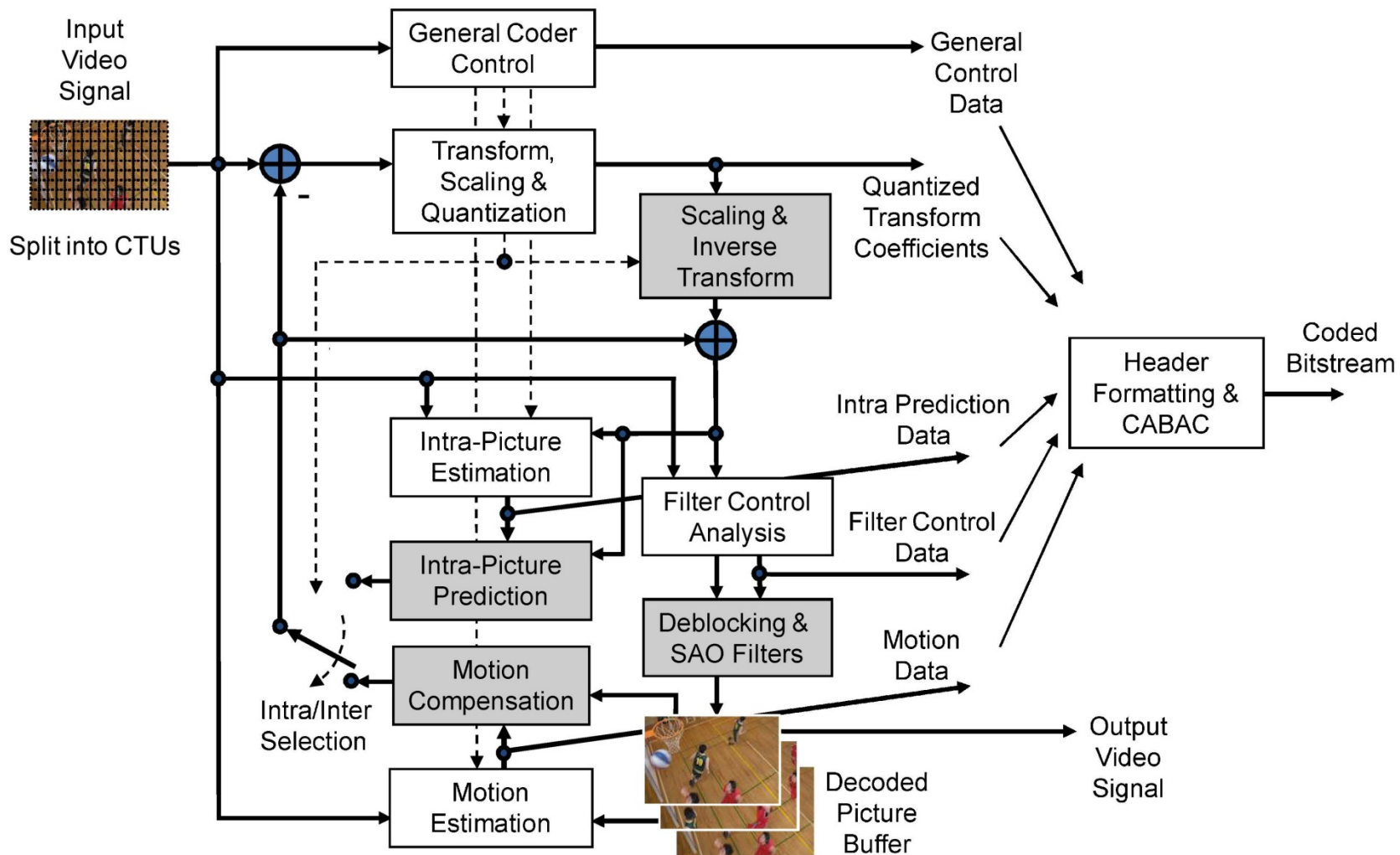


original



2000:1

# Encoding scheme HEVC



Elements in gray correspond to the decoder (*Overview of HEVC Standard, TCSVT Dec 2012*)

# Pour en savoir plus...

1. Thomas Wiegand and Heiko Schwarz:  
**Source Coding: Part I of Fundamentals of Source and Video Coding,**  
*Foundations and Trends in Signal Processing*, vol. 4, no. 1-2, pp. 1-222, January 2011.  
<http://iphome.hhi.de/wiegand/pubs.htm>
2. Ian Richardson  
**The Advanced H 264 video compression standard**, Wiley 2010.
3. Vivienne Sze, Madhukar Budagavi, Gary J. Sullivan  
**High Efficiency Video Coding (HEVC)**, Springer 2014.

Thank you



[www.inria.fr](http://www.inria.fr)