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#### Specification vs. Implementation

Wanted: a correct implementation w.r.t. the specification.

Two approaches:

• Given a specification and an implementation, check if the implementation satisfies the specification

[Model Checking]

• From a given specification, automatically construct an implementation

 $\rightarrow$  [Synthesis]

I. Synthesis... In which setting?

# Synthesis: The Sequential Case



# Synthesis: The Sequential Case



Specification

One Agent

?

## Synthesis: The Sequential Case

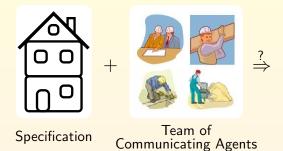


# Synthesis: The Distributed Case



Specification

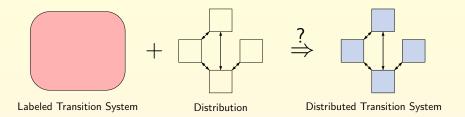
## Synthesis: The Distributed Case



## Synthesis: The Distributed Case



#### Distributed Implementation

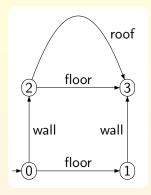


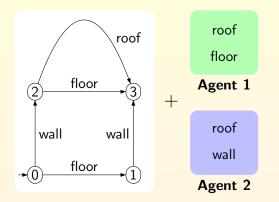
#### Synthesis of Distributed Transition Systems

Input: Given a labeled transition system TS and a distribution  $\Delta$  of actions over a set of agents,

Output: Build, if possible, a distributed transition system over  $\Delta$  whose global state space is equivalent to TS

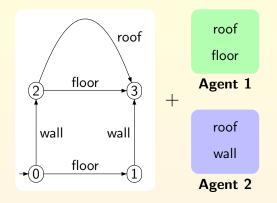
equivalent : graph-isomorphic / trace-equivalent / bisimilar

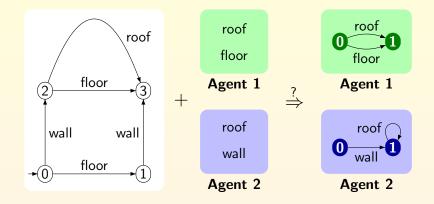




**Distribution** of {floor,wall,roof} over  $\{1,2\}$ :

- $\sum_{local}(1) = \{roof, floor\}, \sum_{local}(2) = \{roof, wall\}$
- dom(roof)={1,2}, dom(floor)={1}, dom(wall)={2}





A synchronous product of transition systems consists of a set of local transition systems synchronizing on common actions.

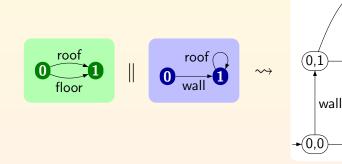
An action is executed if only if all local transition systems from its domain are able to execute that action.

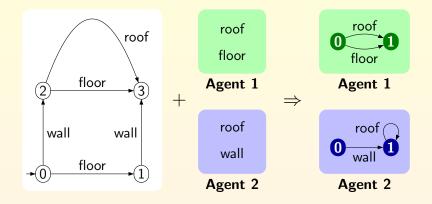
roof

wall

floor

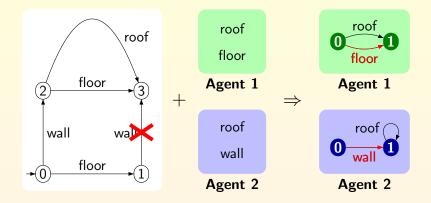
floor





#### The specification is implementable!

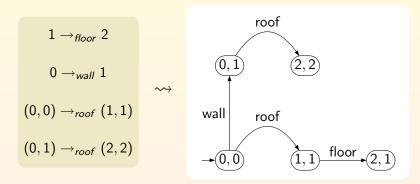
### Building a House... Not Always Possible!



When the edge (1,wall,3) is deleted, the specification is no longer implementable!

Asynchronous automata [Zielonka87] generalize the synchronous products allowing more communication during synchronization.

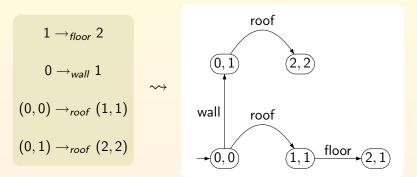
An action is executed only for chosen tuples of local states of its domain.



### Asynchronous Automata

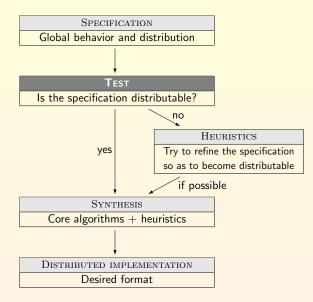
Asynchronous automata [Zielonka87] generalize the synchronous products allowing more communication during synchronization.

An action is executed only for chosen tuples of local states of its domain.



Not implementable as a synchronous product! (cf. wall roof floor)

### Synthesis Flow – the whole truth

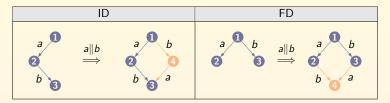


### II. Distributed systems... Characterizations?

A distribution generates an independence relation  $\|\ \subseteq \Sigma \times \Sigma$ 

$$a \| b \iff dom(a) \cap dom(b) = \emptyset$$

The independent and forward diamond rules are:



The global state space of a distributed system satisfies ID and FD.

Characterizations of 'distributable' global transitions systems given in the literature:

[Zielonka87], [Morin98,99], [CastellaniMukundThiagarajan99]

- modulo isomorphism: theory of regions (ID and FD necessary, but not sufficient)
- modulo trace-equivalence:
  - $\rightarrow~\mathcal{SP}:$  product languages
  - $\rightarrow$   $\mathcal{AA}$ : ID and FD necessary and sufficient
- modulo bisimulation: by some modifications of the above

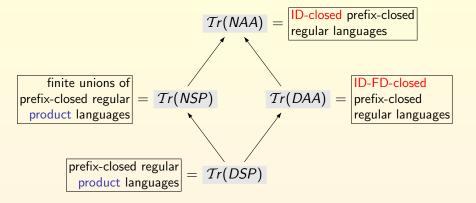
The execution trace language Tr(TS) = the set of all possible finite executions of *TS* starting in an initial state.

- any execution trace language Tr(TS) is prefix-closed
- For any asynchronous automaton AA, Tr(AA) is ID-closed,
   i.e., uabv ∈ Tr(AA) ∧ a||b ⇒ ubav ∈ Tr(AA)
- For any deterministic asynch. aut. AA, Tr(AA) is FD-closed,
   i.e., ua ∈ Tr(AA) ∧ ub ∈ Tr(AA) ∧ a||b ⇒ uab ∈ Tr(AA)

#### Zielonka's Theorem (variant)

For any prefix-closed ID-FD-closed regular language L, there exists a finite deterministic asynch. automaton AA with Tr(AA) = L.

### Languages of Distributed Transition Systems



Several other variants classified:

 $\rightarrow$  global final states / local final states / acyclic specifications

### III. Implementability Test... How difficult?

#### Distributed Implementability

Instance: a transition system TS and a distribution  $\Delta$  of actions over a set of agents Question: Is there a distributed transition system over  $\Delta$ equivalent with TS?

distributed transition system :  $\mathcal{SP}$  /  $\mathcal{AA}$  equivalent : isomorphic / trace-equivalent / bisimilar

Previous characterizations provide decision procedures, leading easily to upper bounds. We filled most of the missing lower bounds.

#### Synchronous products (with one global initial state)

Specification (TS)	Isomorphism	Trace Equivalence	Bisim. (determ. impl.)
Nondeterministic	NP-complete	DCDACE complete	PSPACE-complete
Deterministic	P [Mor98]	PSPACE-complete	FSFACE-complete

#### Asynchronous automata (with multiple global initial states)

Specification (TS)	Isomorphism	Trace Equivalence	Bisim. (determ. impl.)
Nondeterministic	NP-complete	PSPACE-complete	D
Deterministic	P [Mor98]	Р	F

#### Synchronous products (with one global initial state)

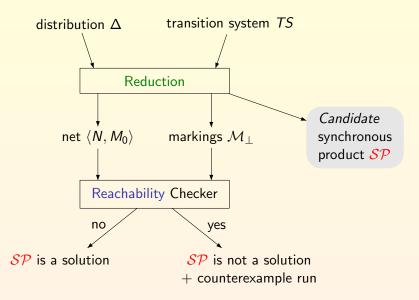
2	· ·	0	/
Specification (TS)	Isomorphism	Trace Equivalence	Bisim. (determ. impl.)
Nondeterministic	NP-complete	PSPACE-complete	PSPACE-complete
Deterministic	P [Mor98]	F SFACE-complete	F SFACE-complete
Acyclic & Nondet.	NP-complete	coNP-complete	coNP-complete
Acyclic & Determ.	P [Mor98]		contraction -complete

#### Asynchronous automata (with multiple global initial states)

Specification (TS)	Isomorphism	Trace Equivalence	Bisim. (determ. impl.)
Nondeterministic	NP-complete	PSPACE-complete	D
Deterministic	P [Mor98]	Р	
Acyclic & Nondet.	NP-complete	coNP-complete	D
Acyclic & Determ.	P [Mor98]	Р	F

IV. Synthesis of deterministic distributed transition systems... More efficient?

## Synthesis of Deterministic Synchronous Products



## A Heuristic for Smaller Asynchronous Automata

• Zielonka's procedure outputs very large asynchronous automata



- Usually smaller asynchronous automata accepting the same language exist
- Heuristic idea

Unfold the initial transition system guided by Zielonka's construction and test if any of the intermediary transition systems is already asynchronous (modulo isomorphism):

Using the characterization for implementability modulo isomorphism, we gave alternatives to Zielonka's construction in the particular cases of:

- transitive distributions
- conflict-free specifications
- acyclic specifications

#### If the initial specification is not 'distributable'...

#### Relaxed synthesis problem

Given a distribution  $\Delta$  and a transition system *TS*, find an asynchronous automaton  $\mathcal{A}\mathcal{A}$  over  $\Delta$  such that  $\mathcal{T}r(\mathcal{A}\mathcal{A}) \subseteq \mathcal{T}r(\mathcal{T}S)$  and  $\Sigma(\mathcal{A}\mathcal{A}) = \Sigma(\mathcal{T}S)$ .

We proved the above problem to be undecidable.

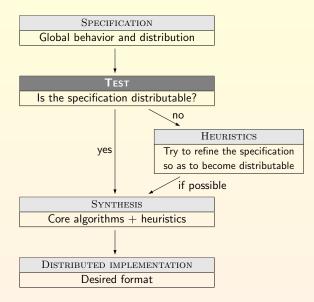
Proposed NP-complete heuristic:

IDFD subautomaton synthesis problem

Given a transition system *TS*, find a reachable subautomaton  $\mathcal{A}$  with  $\Sigma(\mathcal{A}) = \Sigma(TS)$  satisfying ID&FD.

#### V. A Case Study – Mutual exclusion

### Synthesis Flow – reloaded



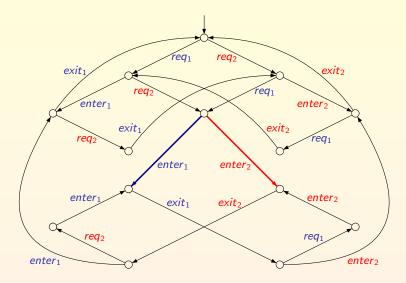
- actions:  $\Sigma := \{req_1, enter_1, exit_1, req_2, enter_2, exit_2\}$
- processes:  $Proc := \{A_1, A_2, V_1, V_2\}$

- $\rightarrow$  *req*<sub>1</sub> and *req*<sub>2</sub> are independent
- $\rightarrow$  each action involves only one process and one variable

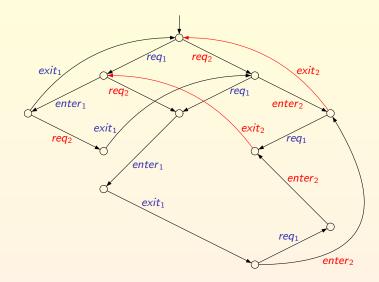
Behavior *Mutex* of a mutual exclusion algorithm:

- the runs are interleavings of the local behaviours (req<sub>i</sub> enter<sub>i</sub> exit<sub>i</sub>)\*
- **forbid** sequences where *enter*<sub>1</sub> is followed by *enter*<sub>2</sub> without *exit*<sub>1</sub> in between (mutual exclusion)
- forbid sequences where req<sub>1</sub> is followed by two enter<sub>2</sub> without enter<sub>1</sub> in between (strong absence of starvation)
- any execution of *Mutex* is the prefix of another execution of *Mutex* (deadlock freedom)

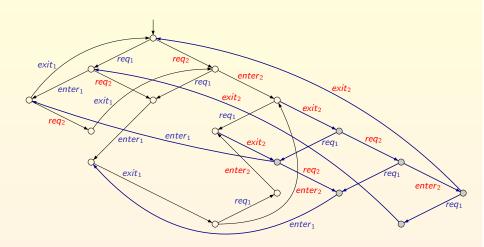
## Global Automaton (1) – FD not satisfied



# Global Automaton (2) – Distributable



### Global Automaton of the Solution



#### Initialization: $v_1 := 0$ : $v_2 := 0$ Agent 1 Agent 2 [NCS1]: [NCS2]: ncs1: ncs<sub>2</sub>: $\langle \text{ case } (v_1 = 0): v_1 := 1; \text{ goto } e_1$ $\langle \text{ case } (v_2 = 0): v_2 := 1; \text{ goto } e_2$ case $(v_1 = 2)$ : $v_1 := 1$ ; goto $e'_1$ case $(v_2 = 2)$ : $v_2 := 3$ ; goto $e_2$ case $(v_1 = 3)$ : $v_1 := 4$ ; goto $e_1^{\dagger}$ $e_2$ : ( await $v_1 \in \{0, 2, 3, 4\}$ then $e_1$ : (await $v_2 \in \{0, 1\}$ then case $(v_1 = 0)$ : $v_1 := 2$ ; goto $cs_2$ case $(v_2 = 0)$ : goto $cs_1$ case $(v_1 = 2)$ : $v_1 := 0$ ; goto $cs_2$ case ( $v_2 = 1$ ): goto $cs'_1$ > case $(v_1 = 3)$ : $v_1 := 2$ ; goto $cs_2$ $e_1'$ : $\langle \text{ await } v_2 \in \{2,3\} \text{ then }$ case $(v_1 = 4)$ : $v_1 := 1$ ; goto $cs_2$ ) case $(v_2 = 2)$ : $v_2 := 0$ ; goto $cs_1$ CS<sub>2</sub>: [CS2]; case ( $v_2 = 3$ ): $v_2 := 1$ ; goto $cs'_1$ > case $(v_2 = 1)$ : $v_2 := 2$ ; goto $ncs_2$ $cs_1$ : [CS1]; $v_1 := 0$ ; goto $ncs_1$ case $(v_2 = 3)$ : $v_2 := 0$ ; goto $ncs_2$ $cs'_1$ : [CS1]; $v_1 := 3$ ; goto $ncs_1$

Particularity: Priority is given to the first process in case both processes request access

Prototypes to support the full synthesis cycle:

- Synchronous products:
  - $\rightarrow\,$  Via projections on local alphabets [translation to the input of the reachability checkers of the Model-Checking Kit]
- Asynchronous automata:
  - → heuristics for finding an ID-FD subautomata [implementation in the constraint-based logic programming framework Smodels]
  - $\rightarrow\,$  unfolding-based heuristics for Zielonka [implementation in C]
- Benchmarks: mutual exclusion, dining philosophers.
   E.g., for mutual exclusion with N processes:
  - → original Zielonka's construction can handle only N=2 processes (specification size: |TS| = 14, |Proc| = 4,  $|\Sigma| = 6$ )
  - → our heuristics can handle up to N=5 processes (specification size: |TS| = 25,537, |Proc| = 10,  $|\Sigma| = 15$ )

VI. Coming to an end...

The results presented today can be found online at:

http://www.inf.uni-konstanz.de/~stefanes/phd-thesis.pdf

or on DBLP:

2005	
3 <u>EE</u>	<u>Keijo Heljanko</u> , Alin Stefanescu: Complexity Results for Checking Distributed Implementability. <u>ACSD 2005</u> : 78-87
	2003
2 <u>EE</u>	Alin Stefanescu, Javier Esparza, Anca Muscholl: Synthesis of Distributed
	Algorithms Using Asynchronous Automata. CONCUR 2003: 27-41
	2002
1 <u>EE</u>	Alin Stefanescu: Automatic Synthesis of Distributed Systems. ASE 2002: 315

Synthesis of synchronous products and asynchronous automata:

- A careful study and survey of characterizations of the global structure (graph isomorphism) and behaviors (traces of executions) of the two theoretical models with several variants
- Matching computational complexity bounds for the implementability tests for several combinations
- Alternatives to Zielonka's construction in special cases
- Several heuristics for finding smaller synthesized solutions
- Prototype implementations for most of the algorithms

Merci de votre patience !

Appendix

A synchronous product of transition systems  $\mathcal{SP}$  over a distribution  $(\Sigma, Proc, \Delta)$  consists of

- a set of *local* state spaces  $(Q_p)_{p \in Proc}$  and
- a set of *local* transitions relations  $(\rightarrow_p)_{p \in Proc}$  with  $\rightarrow_p \subseteq Q_p \times \Sigma_{local}(p) \times Q_p$ .

The global state space of SP consists of the global states  $Q \subseteq \prod_{p \in Proc} Q_p$  reachable from a set of initial global states I by

$$(q_p)_{p \in Proc} \xrightarrow{a} (q'_p)_{p \in Proc} \Leftrightarrow \begin{cases} q_p \xrightarrow{a}_p q'_p & \text{for all } p \in dom(a) \\ q_p = q'_p & \text{for all } p \notin dom(a) \end{cases}$$

An asynchronous automaton  $\mathcal{A}\mathcal{A}$  over a distribution  $(\Sigma,\textit{Proc},\Delta)$  consists of

- a set of *local* state spaces  $(Q_p)_{p \in Proc}$  and
- a set of *local* transition relations  $(\rightarrow_a)_{a \in \Sigma}$  with  $\rightarrow_a \subseteq \prod_{p \in dom(a)} Q_p \times \prod_{p \in dom(a)} Q_p$

The global state space of AA consists of the global states  $Q \subseteq \prod_{p \in Proc} Q_p$  reachable from a set of initial global states I by

$$(q_p)_{p\in Proc} \xrightarrow{a} (q'_p)_{p\in Proc} \Leftrightarrow \begin{cases} (q_p)_{p\in dom(a)} \to_a (q'_p)_{p\in dom(a)} \text{ and} \\ q_p = q'_p \text{ for all } p \notin dom(a). \end{cases}$$

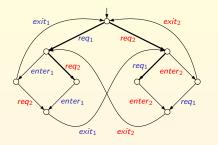
### Theorem (Morin99)

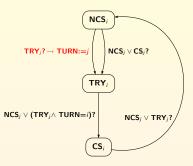
Let  $(\Sigma, Proc, \Delta)$  be a distribution and  $TS = (Q, \Sigma, \rightarrow, I)$  be a transition system. Then, TS is isomorphic to an asynchronous automaton over  $\Delta$  if and only if for each  $p \in Proc$  there exists an equivalence relation  $\equiv_p \subseteq Q \times Q$  with:

AA<sub>1</sub>: If 
$$q_1 \xrightarrow{a} q_2$$
, then  $q_1 \equiv_{Proc \setminus dom(a)} q_2$ .  
AA<sub>2</sub>: If  $q_1 \equiv_{Proc} q_2$ , then  $q_1 = q_2$ .  
AA<sub>3</sub>: If  $q_1 \xrightarrow{a} q'_1$  and  $q_1 \equiv_{dom(a)} q_2$ , then there exists  $q'_2$   
such that  $q_2 \xrightarrow{a} q'_2$  and  $q'_1 \equiv_{dom(a)} q'_2$ .

### Mutual Exclusion – A Classical Solution

[EmersonClarke82] automatically synthesise a *Mutex* alg. from a CTL spec.





- actions req1 and req2 are not independent
- involved implementation (*req<sub>i</sub>* tests TRY<sub>j</sub>, update TURN, moves to TRY<sub>j</sub>)

 $Spec \subseteq \Sigma^*$  for Mutex(2) can be chosen such that:

- Spec ⊆ shuffle(prefix((req1enter1exit1)\*), prefix((req2enter2exit2)\*))
- Spec ⊆ Σ\*\[Σ\*enter<sub>1</sub>(Σ\exit<sub>1</sub>)\*enter<sub>2</sub>Σ\*] and its dual (mutual exclusion)
- $Spec \subseteq \Sigma^* \setminus [\Sigma^* req_1(\Sigma \setminus enter_1)^* enter_2(\Sigma \setminus enter_1)^* enter_2\Sigma^*]$ and its dual (absence of starvation)